

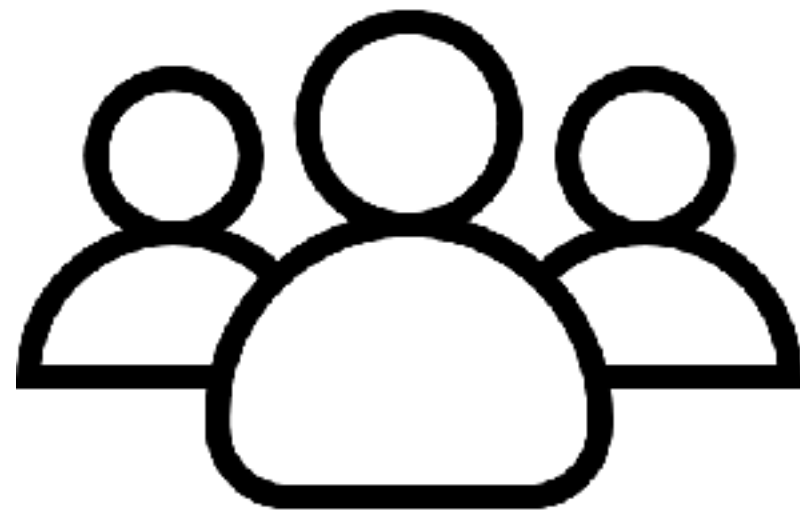
Tutorial on LSM-trees

Database System Technology

Niv Dayan

Groups

Form for registration was sent today :)
Complete by Sunday



Question 1 - picking a storage engine

We would like to choose KV-store engine between BerkeleyDB vs. RocksDB for a workload consisting of 10% random gets and 90% random puts. BerkeleyDB uses a B-tree. RocksDB uses a leveled LSM-tree with size ratio $T=10$ and a buffer size of $P=2^{26}$ entries. Assume $N=2^{40}$ and $B=2^7$. All internal nodes fit in memory. We are using a disk drive. What is your choice and why?



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B-tree: Each “put” costs 1 read & 1 write I/O. Each get costs 1 read I/O.

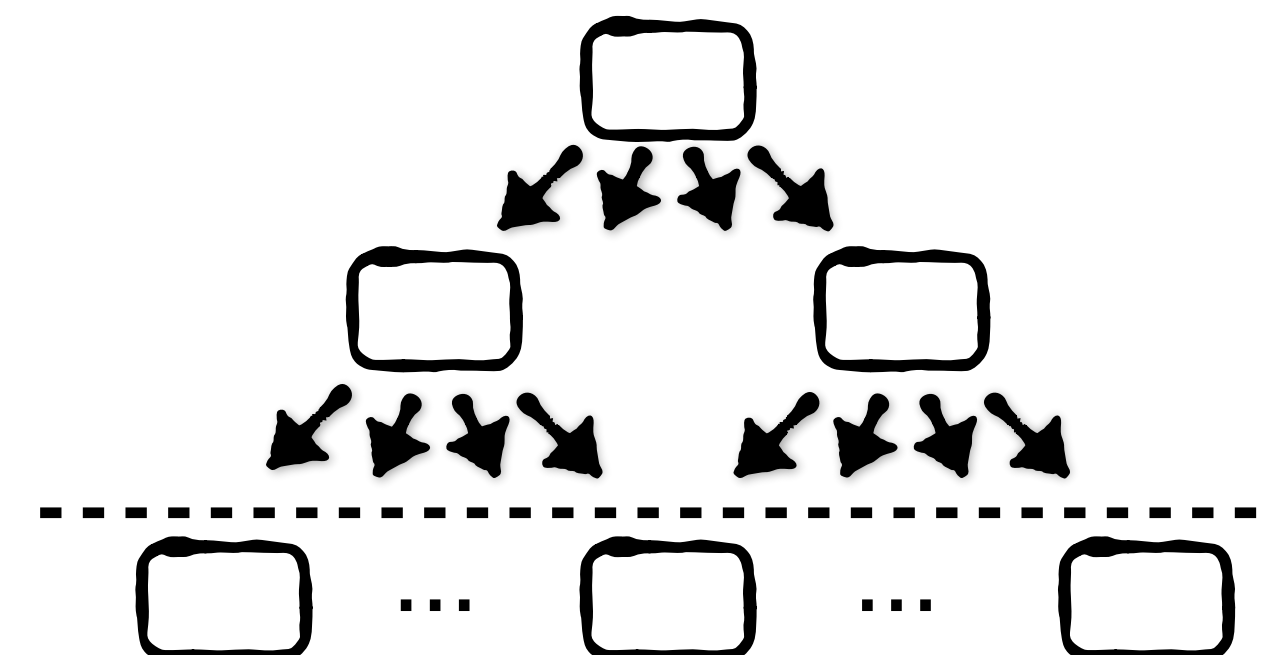
As we are using disk, read/write I/O costs are symmetric.

Avg. #I/O per operation: $0.9 * 2 + 0.1 * 1 \approx 1.9$



Memory

Storage



Question 1 - picking a storage engine

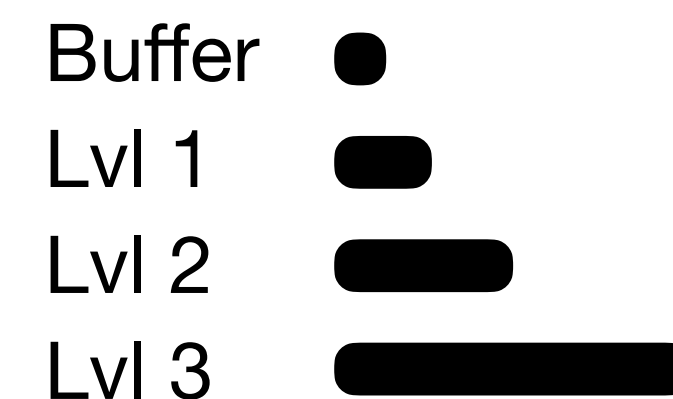
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B-tree: Avg. #I/O per operation: $0.9 \cdot 2 + 0.1 \cdot 1 \approx 1.9$

LSM-tree: A put costs $2 \cdot (T/B) \cdot \log_T(N/P) \approx 0.66$ read & write I/Os

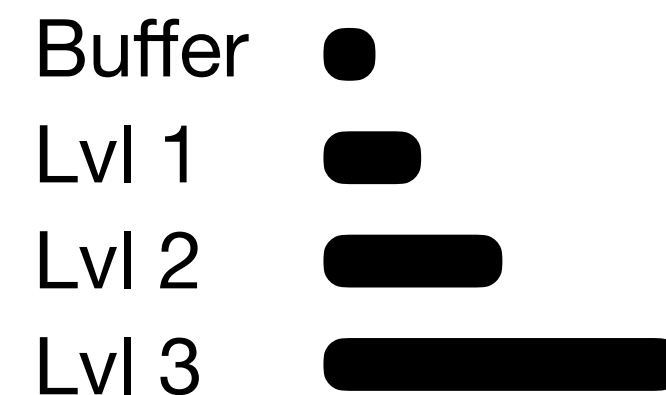
A get costs $\log_T(N/P) \approx 4.2$ read I/Os

Avg. # I/Os per operation: $0.66 \cdot 0.9 + 4.2 \cdot 0.1 \approx 1.0$ I/Os (Cheaper)



Question 2 - tuning an LSM-tree

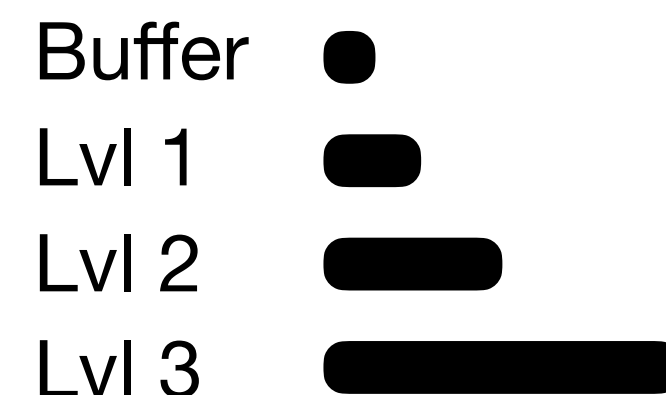
A friend tells you they switched from using a B-tree to a basic LSM-tree (with size ratio 2), yet write-amplification actually increased. There are $N=2^{40}$ entries, and the memtable size P and block size B are both $B=P=2^5$ entries. Explain why this happened. Identify three tuning options for reducing write-amplification and the trade-off of each one of them.



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LSM-tree before tuning: $(1/B) * \log_2(N/P) = 1.1$ write I/O (Costlier than B-tree)

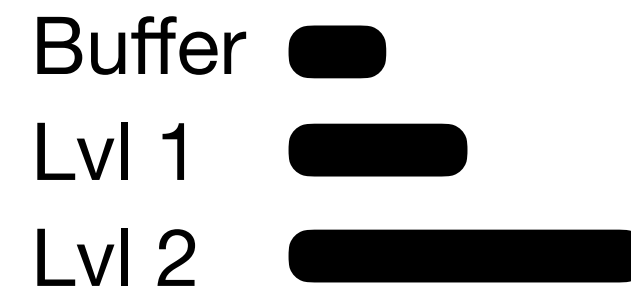
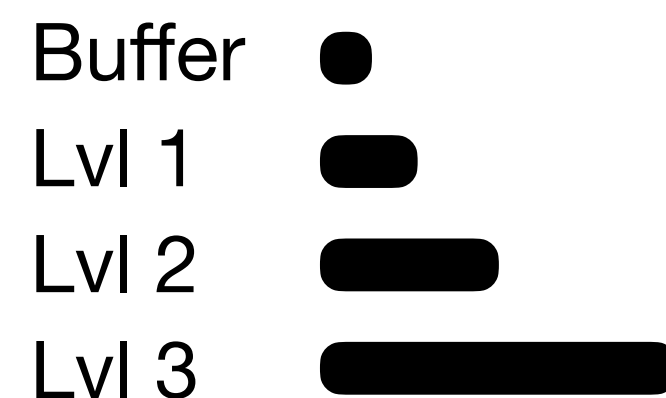


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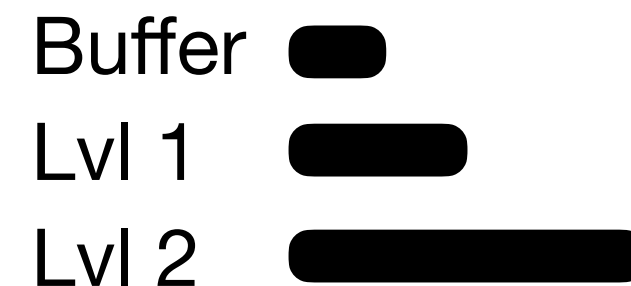
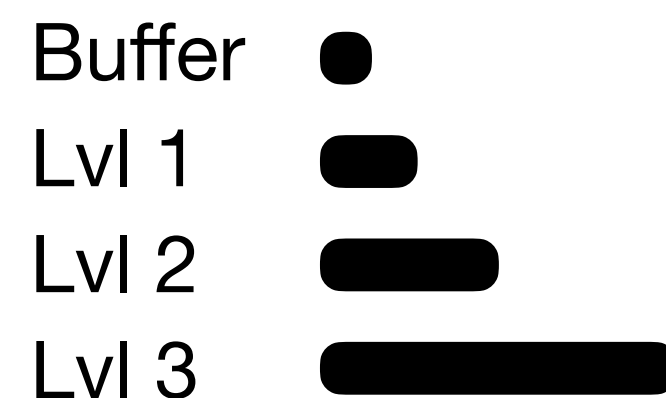


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We can increase the buffer size to decrease the number of levels across which entries get merged. E.g., $P=2^{20}$ entries leads to:

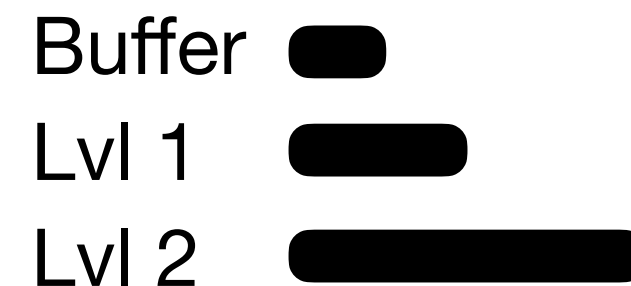
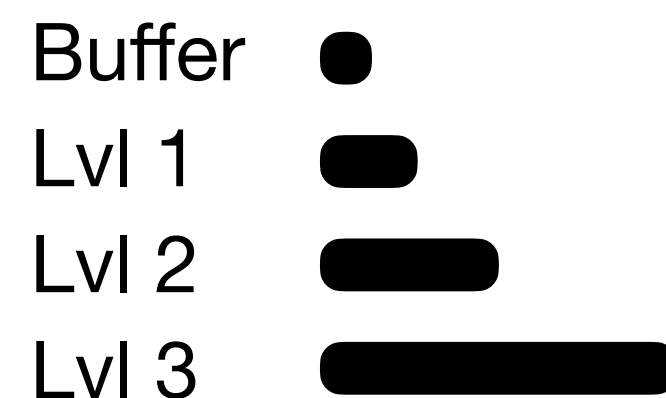
$$(1/B) * \log_2(N/P) = 0.63$$

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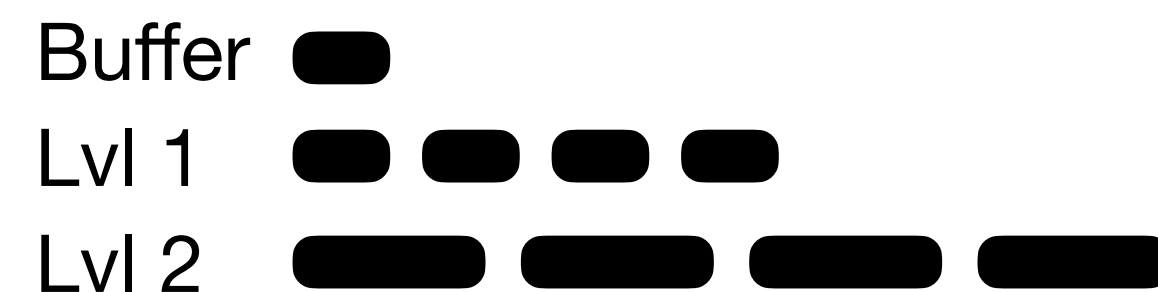
Trade-off: requires more memory

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We can further employ tiering, say, with size ratio **T=10**

e.g., $(1/B) * \log_T(N/P) = (1/2^5) * \log_{10}(2^{40}/2^{20}) = 0.19$



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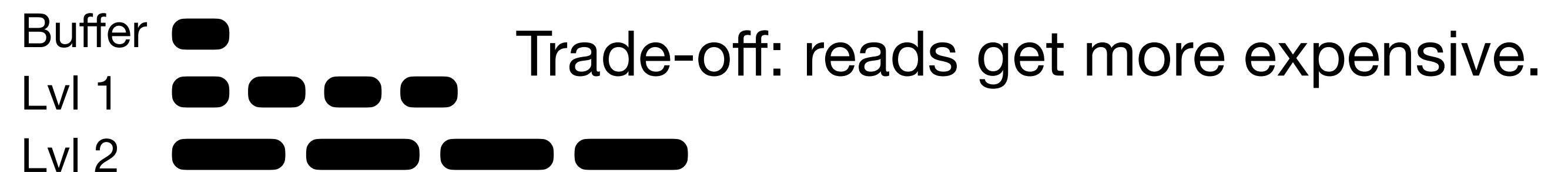


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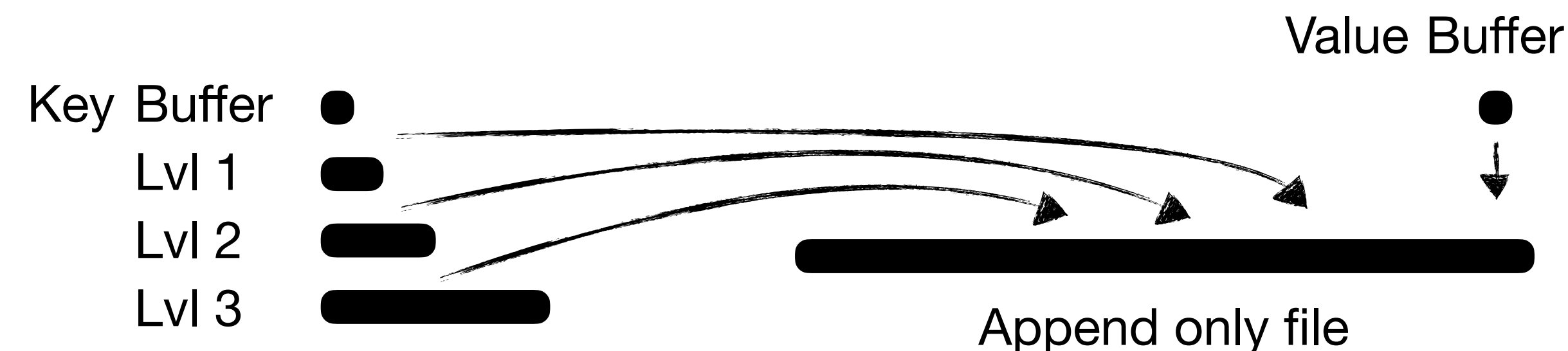
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Last approach: Make the LSM-tree unclustered. More in next question.

Question 3 - clustered vs. unclustered LSM-trees

In a clustered LSM-trees, the values are stored within the LSM-tree alongside their keys. Another approach is an unclustered LSM-tree, which stores values in an append-only file and indexes them using key-pointer pairs from within the LSM-tree. What's the impact of clustered vs. unclustered LSM-trees on put/get/scan performance. Propose adjusted cost models. Assume a basic LSM-tree (size ratio $T=2$), insertions only (no deletes or updates), and a 1 page memtable. Let K be the number of key-pointer pairs fitting into a page, and let B be the number of key-value pairs fitting in a page. Based on your models, identify cases where each of the two approaches shines.



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	Clustered	Unclustered?
#levels:	$L_C = \log_T(N/B)$	
put:	$O(L_C / B)$	 The diagram illustrates the unclustered LSM-tree architecture. On the left, a 'Key Buffer' is shown with three levels: 'Lvl 1', 'Lvl 2', and 'Lvl 3', each represented by a horizontal bar. To the right of the Key Buffer is a 'Value Buffer', represented by a single dot. Below these is a long horizontal bar labeled 'Append only file'. Arrows show data flow: from 'Lvl 1' to the 'Append only file', from 'Lvl 2' to the 'Append only file', and from 'Lvl 3' to the 'Append only file'. A vertical arrow points from the 'Value Buffer' down to the 'Append only file'.
get:	$O(L_C)$	
scan:	$O(L_C + S/B)$	

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put:	$O(L_C / B)$	
get:	$O(L_C)$	
scan:	$O(L_C + S/B)$	

The diagram illustrates the unclustered LSM-tree approach. It shows a Key Buffer with three levels (Lvl 1, Lvl 2, Lvl 3) and a Value Buffer. Arrows indicate the flow of data from the Key Buffer to the Append only file and from the Value Buffer to the Append only file.

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put:	$O(L_C / B)$	$O(1/B + L_U/K)$
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#levels:	$L_C = \log_T(N/B)$	$L_U = \log_T(N/K)$
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get:	$O(L_C)$	$O(L_U + 1)$
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	Clustered	Unclustered	Unclustered is better when...
#levels:	$L_C = \log_T(N/B)$	$L_U = \log_T(N/K)$	- Large data entries (i.e., $K > B$)
put:	$O(L_C / B)$	$O(1/B + L_U/K)$	- Few long range queries (S is small)
get:	$O(L_C)$	$O(L_U + 1)$	- Gets are not a clear cut
scan:	$O(L_C + S/B)$	$O(L_U + S)$	

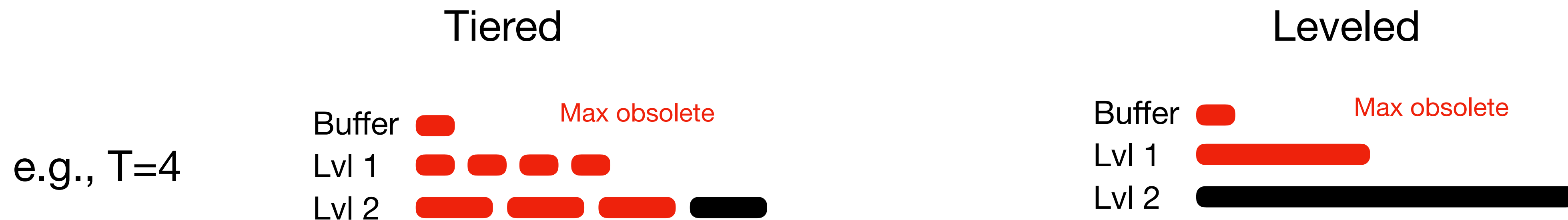
Question 4 - space-amplification

An LSM-tree can store multiple versions for a given entry, where only the most recent is considered up-to-date and the rest are obsolete. The obsolete entries are eventually discarded during compaction, but meanwhile they consume space. This phenomenon is known as space-amplification, defined as: $(\text{physical space taken up}) / (\text{logical data size})$. Quantify worst-case space-amplification for a leveled vs. tiered LSM-tree with size ratio T between any two adjacent levels. Assume all levels are totally full and all entries are equally sized.



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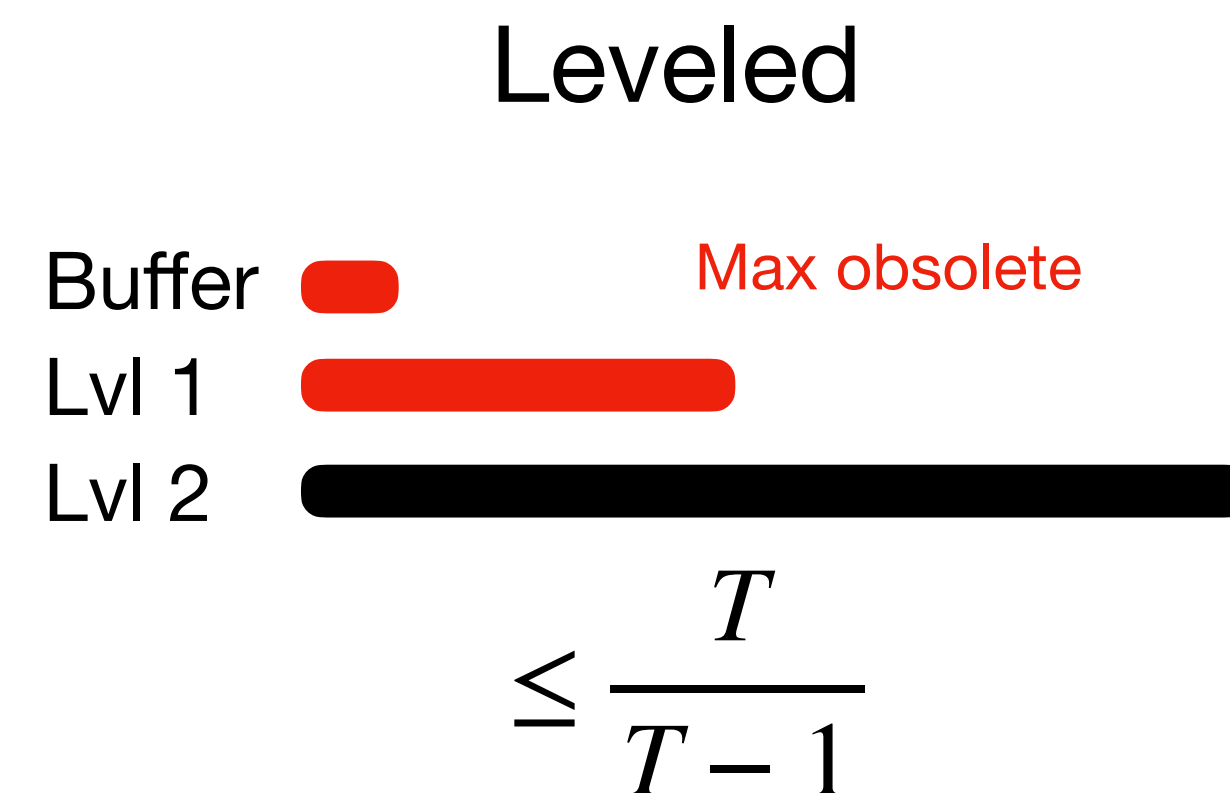
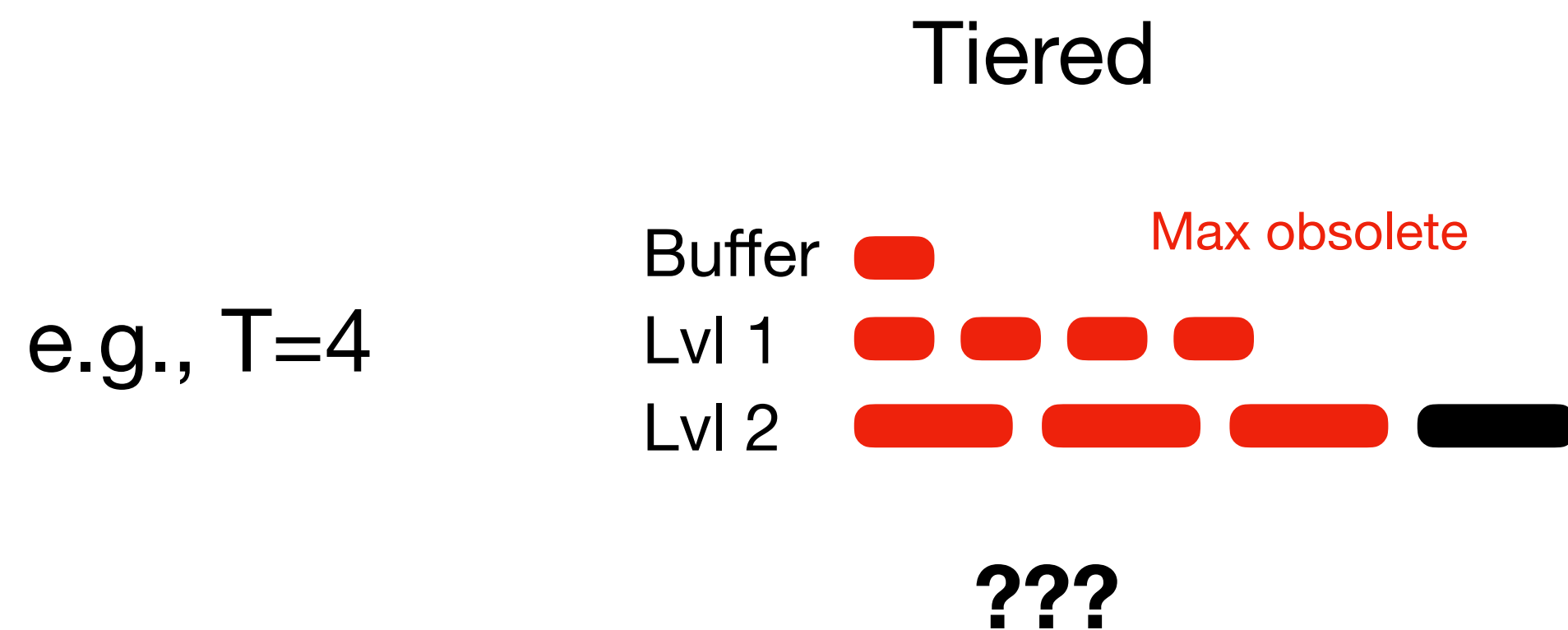
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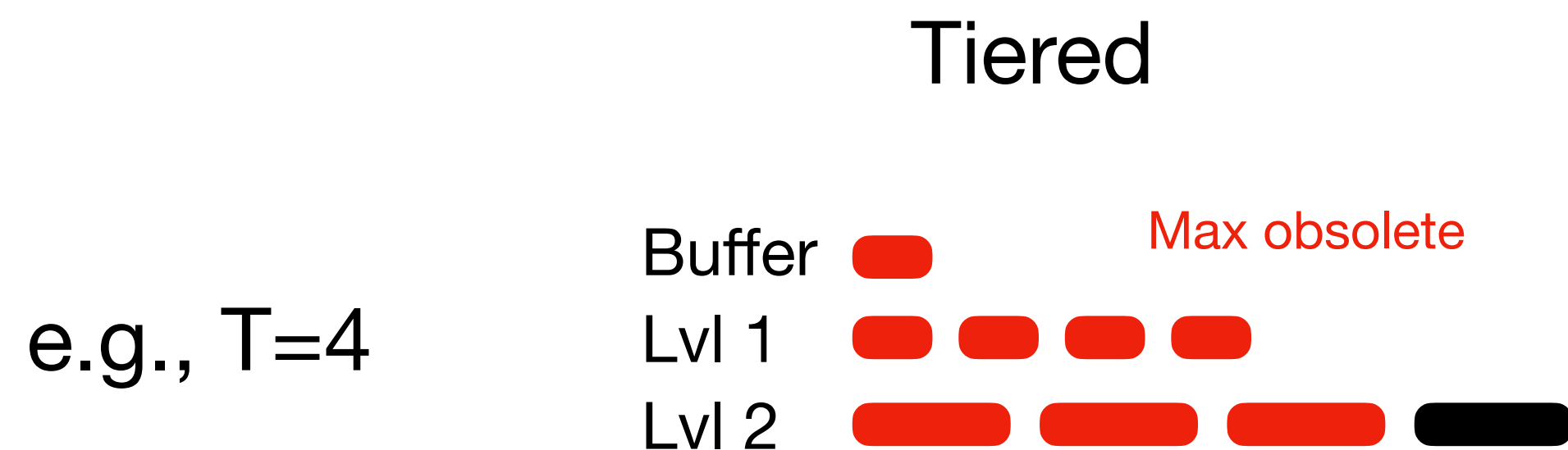
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