

Recovery

CSC443H1 Database System Technology

Niv Dayan

Last stretch for the project!



Last lecture today



Last lecture today



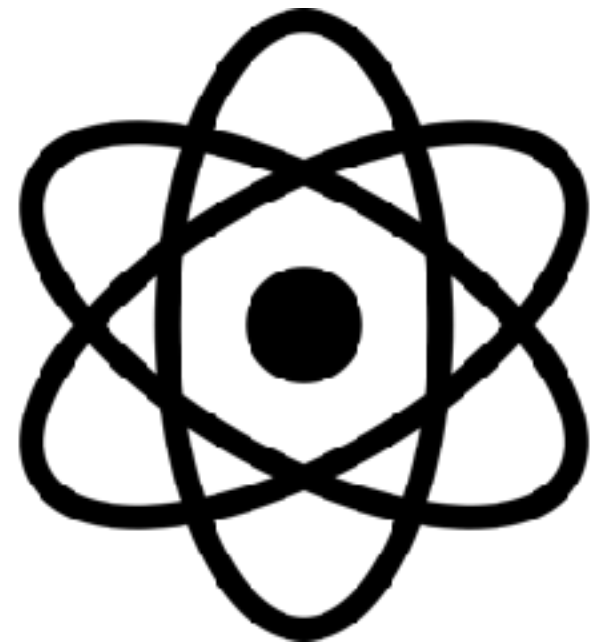
One hour lecture followed by tutorial questions

Please do the course evaluation!



ACID Transactions

Atomicity



All or
nothing

Consistency



Transition across
consistent states

Isolation



Not corrupted by
concurrency

Durability



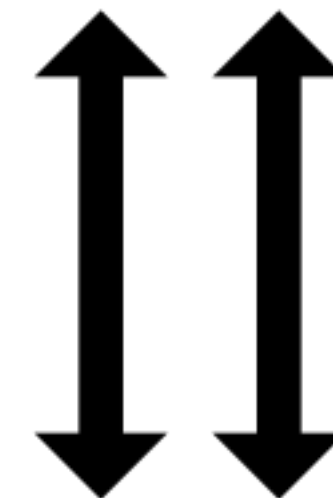
Recover from
failure

What Endangers the ACID properties?

System Failure



Concurrency



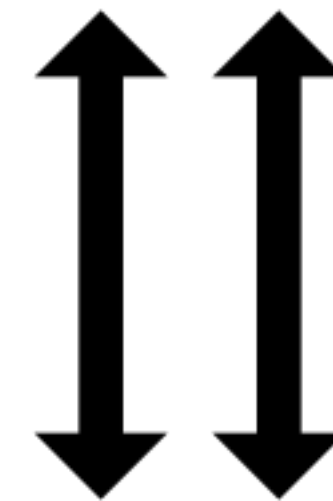
What Endangers the ACID properties?

System Failure



Today

Concurrency



Last week

System Failure

Media failure



Power failure



Data center failure



System Failure

Media failure



RAID (covered)

Power failure



Data center failure



System Failure

Media failure



RAID (covered)

Power failure



Logging

Data center failure



System Failure

Media failure



RAID (covered)

Power failure



Logging

Data center failure



Replication

Example:
Bank transfer

$$A = A - 100$$
$$B = B + 100$$



Example:
Bank transfer

$A = A - 100$

Power fails

$B = B + 100$



**If the update to account A is saved to disk,
money disappears from the system**

Example:
Bank transfer

$$A = A - 100$$

Power fails

$$B = B + 100$$



If the update to account A is saved to disk,
money disappears from the system

Example:
Bank transfer

$$A = A - 100$$

Power fails

$$B = B + 100$$



What's a high level solution?

Solution outline: (1) wrap this up as a transaction

Start transaction

$A = A - 100$

$B = B + 100$

End transaction

Solution outline: (1) wrap this up as a transaction

(2) write changes to by each transaction in a log



Start transaction

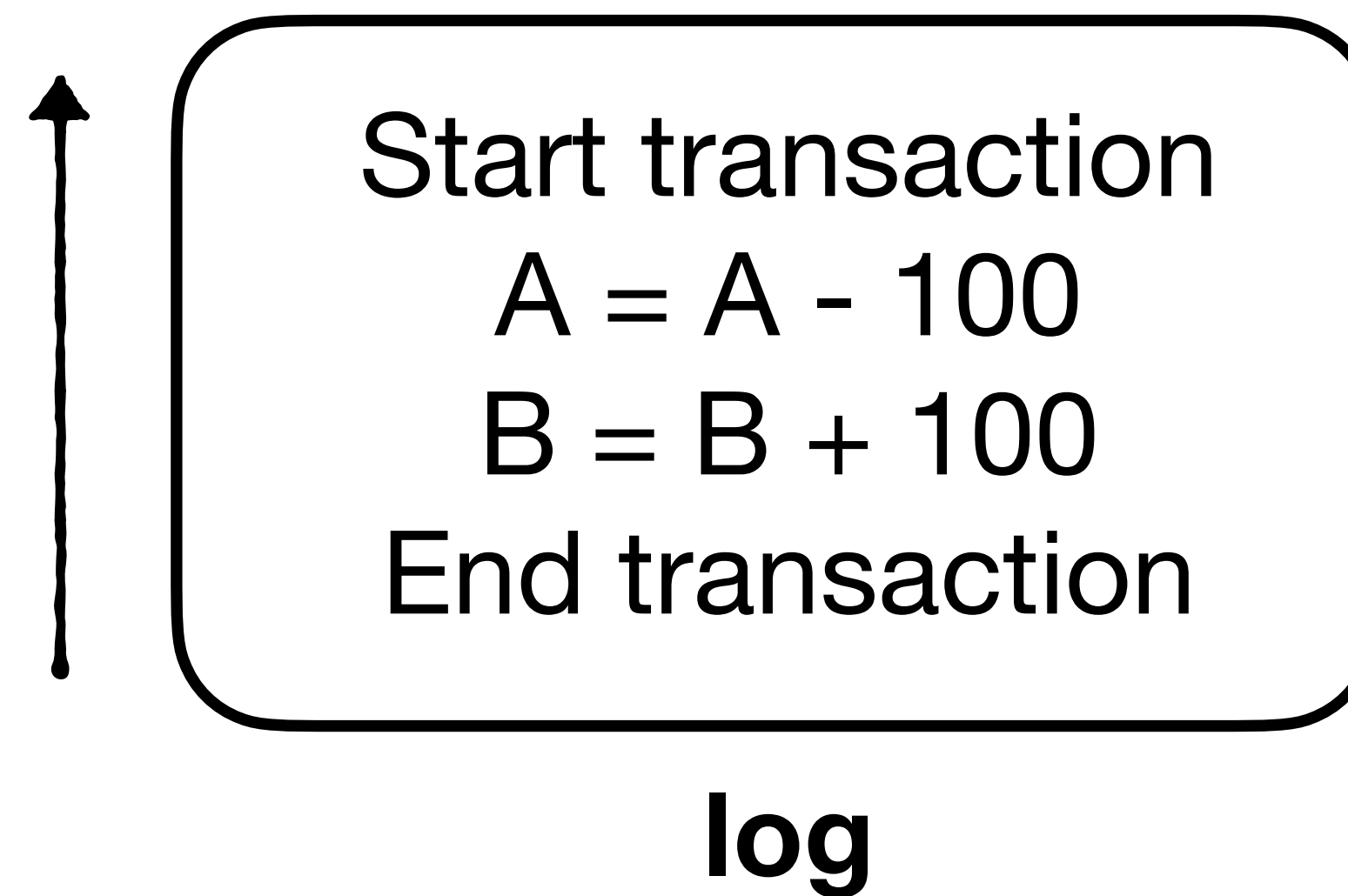
$A = A - 100$

$B = B + 100$

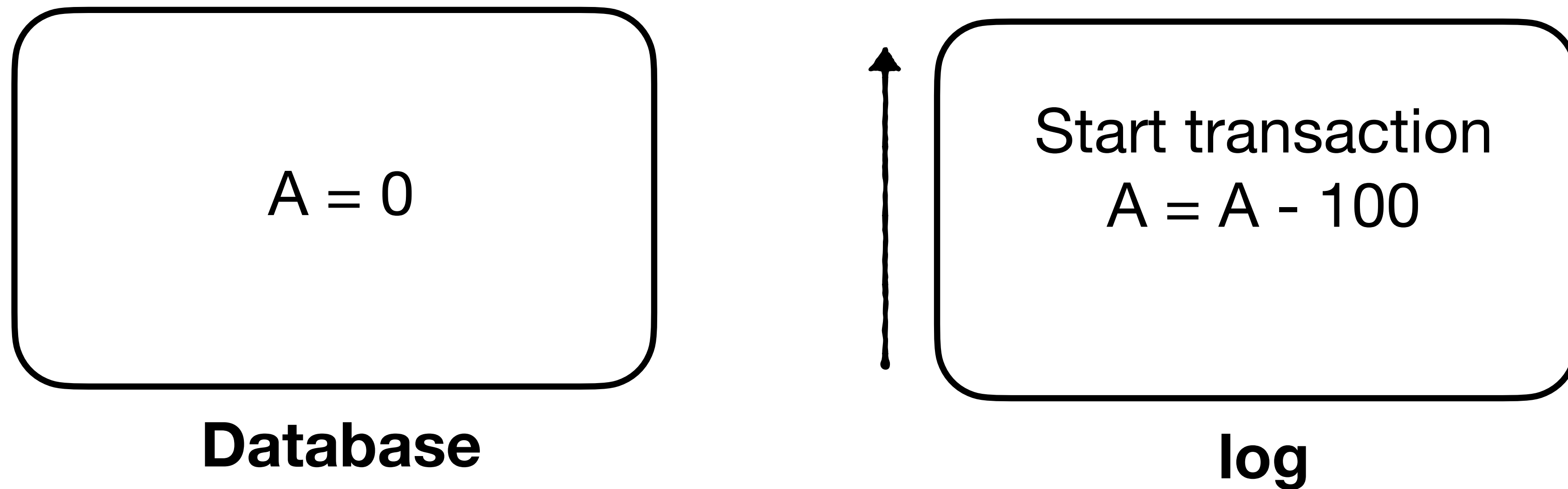
End transaction

log: an append-only file in storage

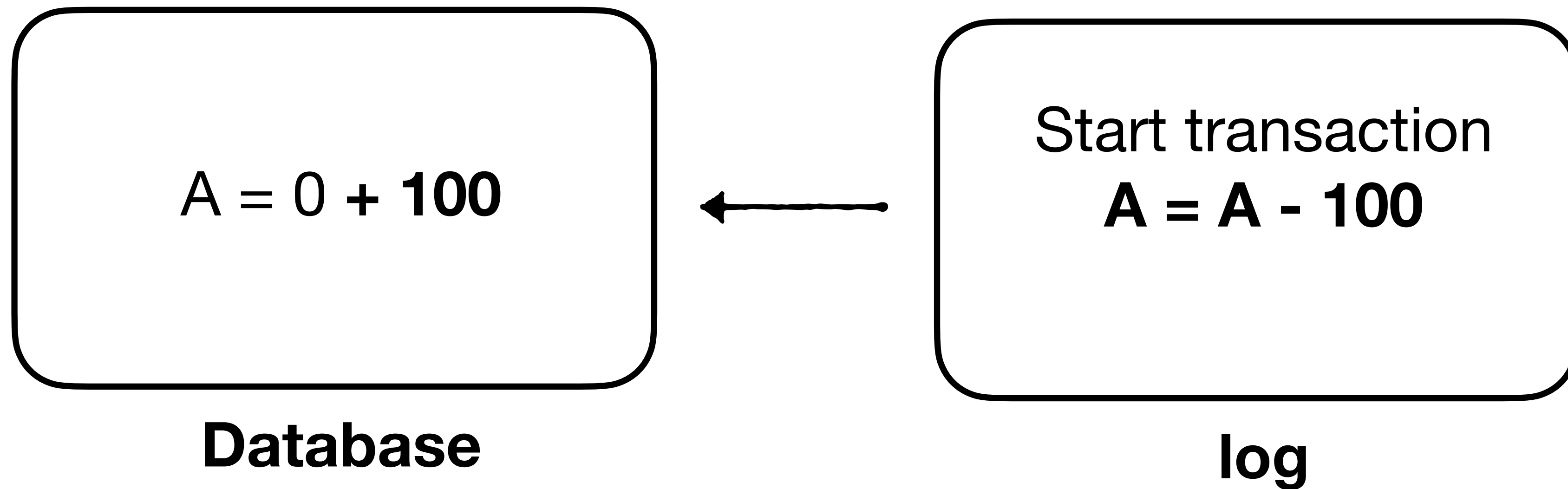
Solution outline: (1) wrap this up as a transaction
(2) write changes to by each transaction in a log
(3) after power fails, scan log and cancel effects of uncommitted transactions



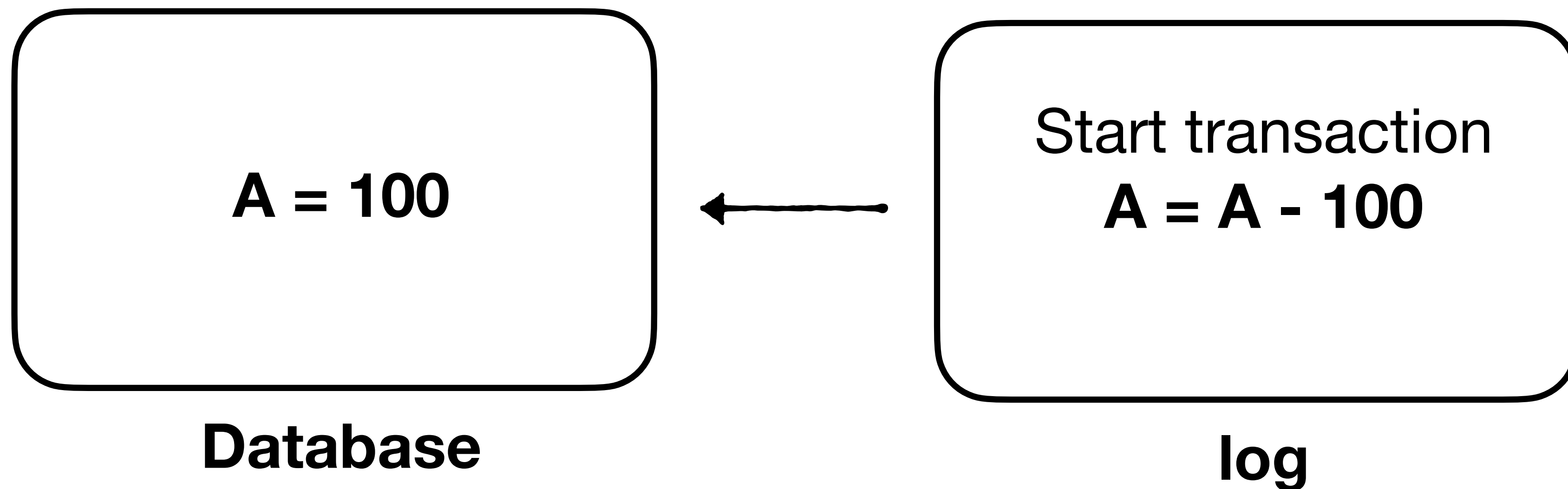
Solution outline: (1) wrap this up as a transaction
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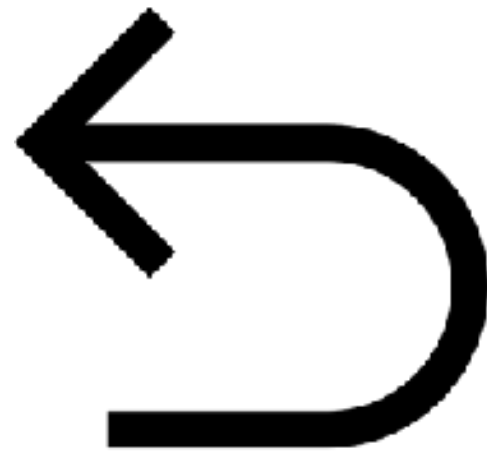


Solution outline: (1) wrap this up as a transaction
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Three Types of logging with different trade-offs

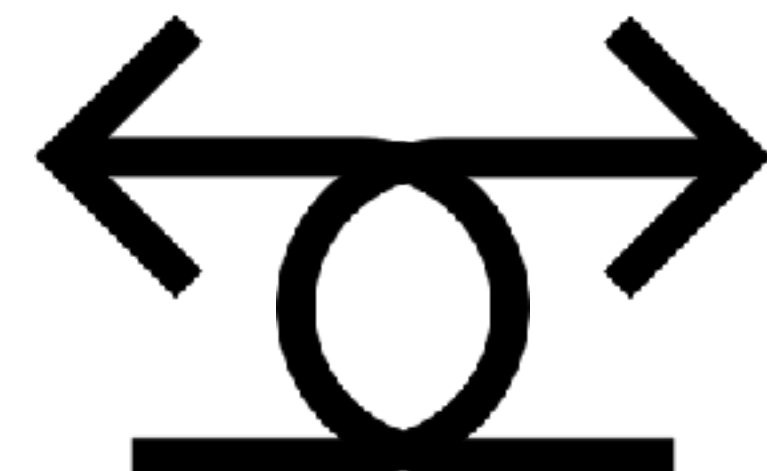
Undo



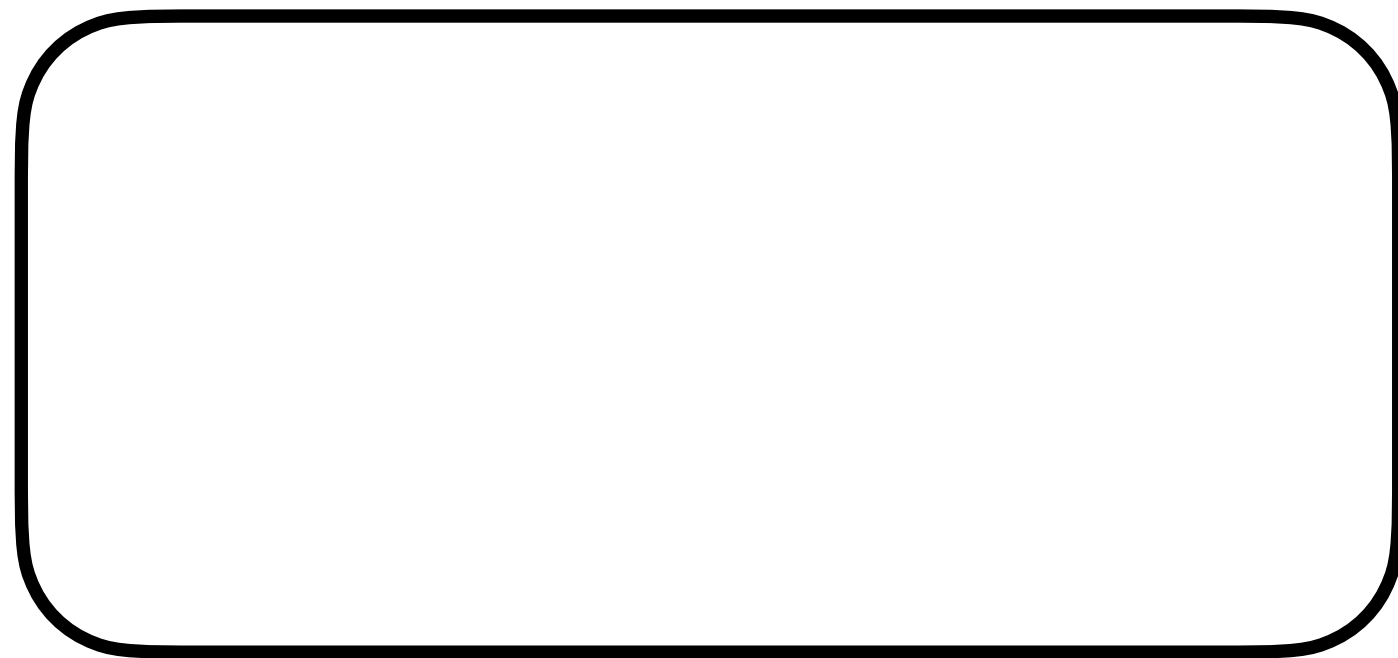
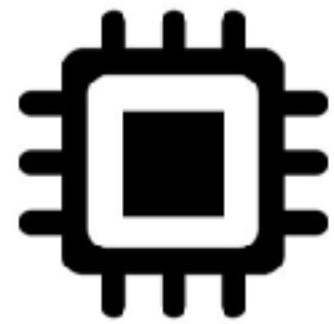
Redo



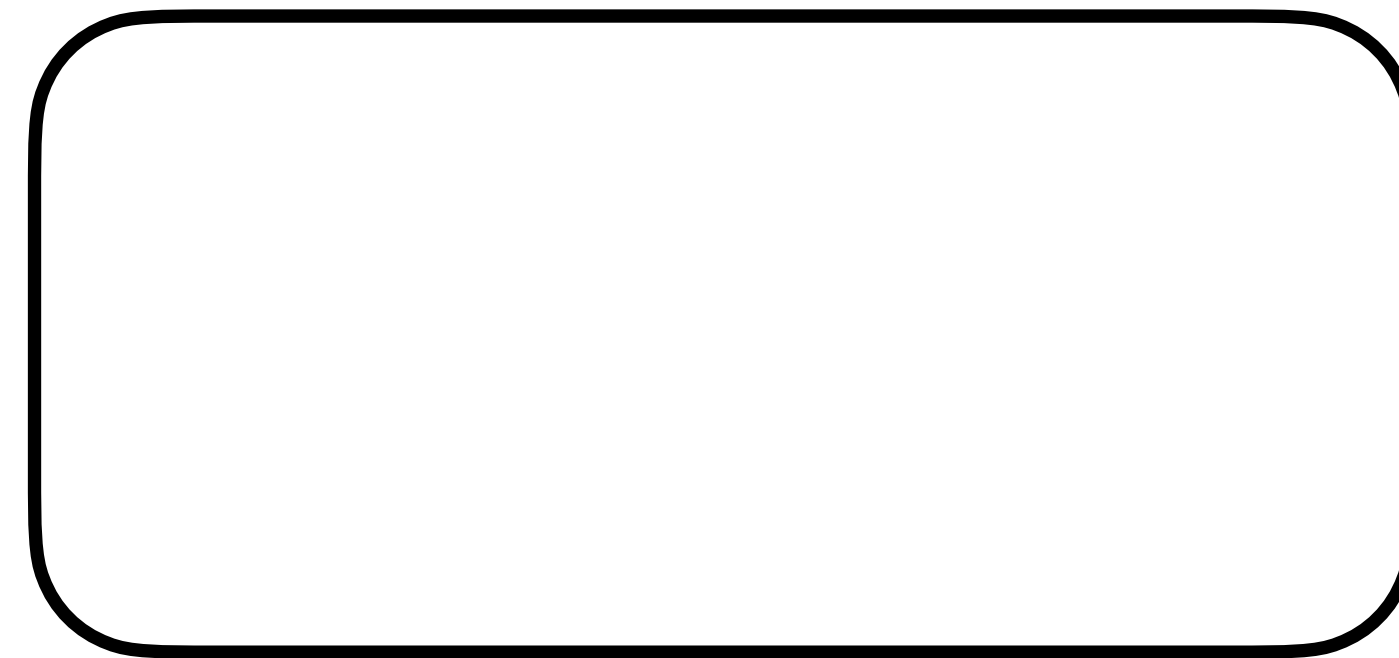
Redo/Redo



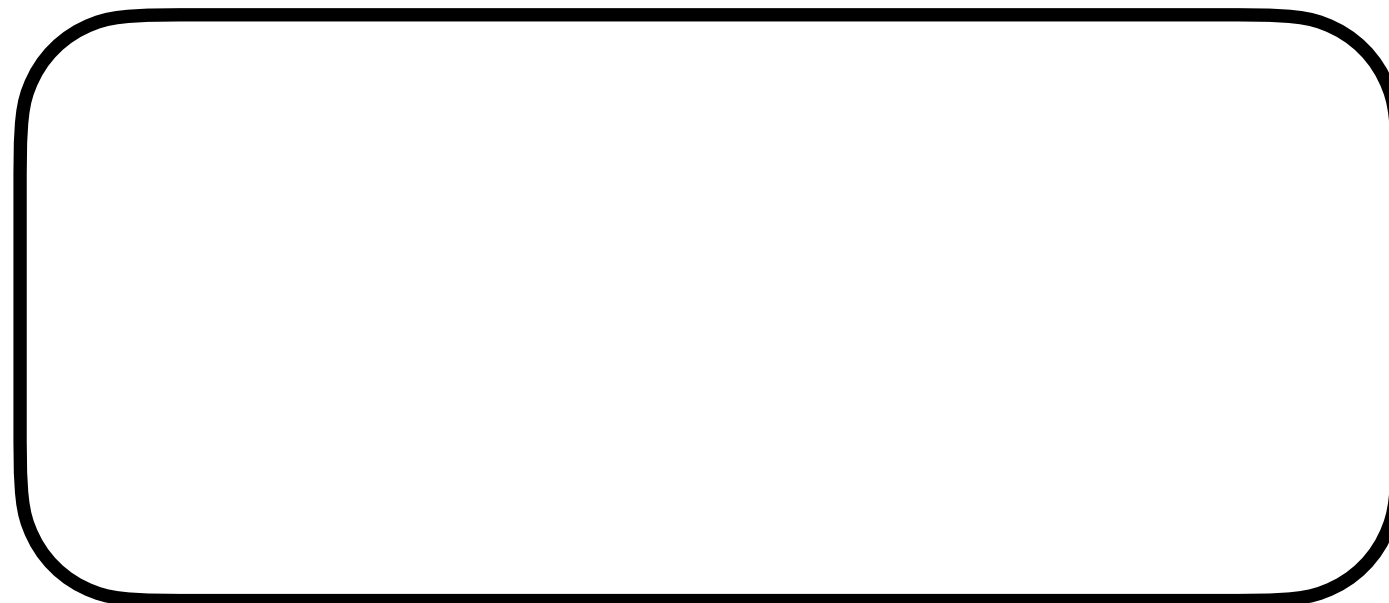
Undo logging:



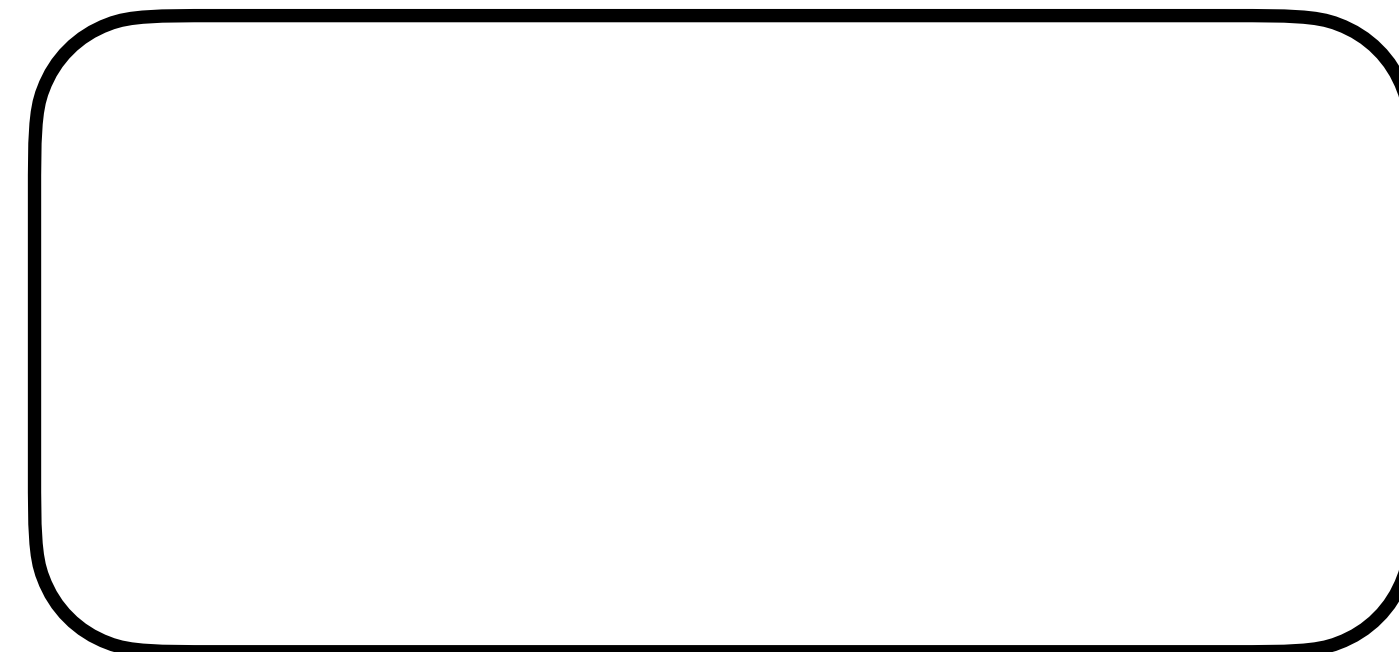
Buffer pool



Log buffer



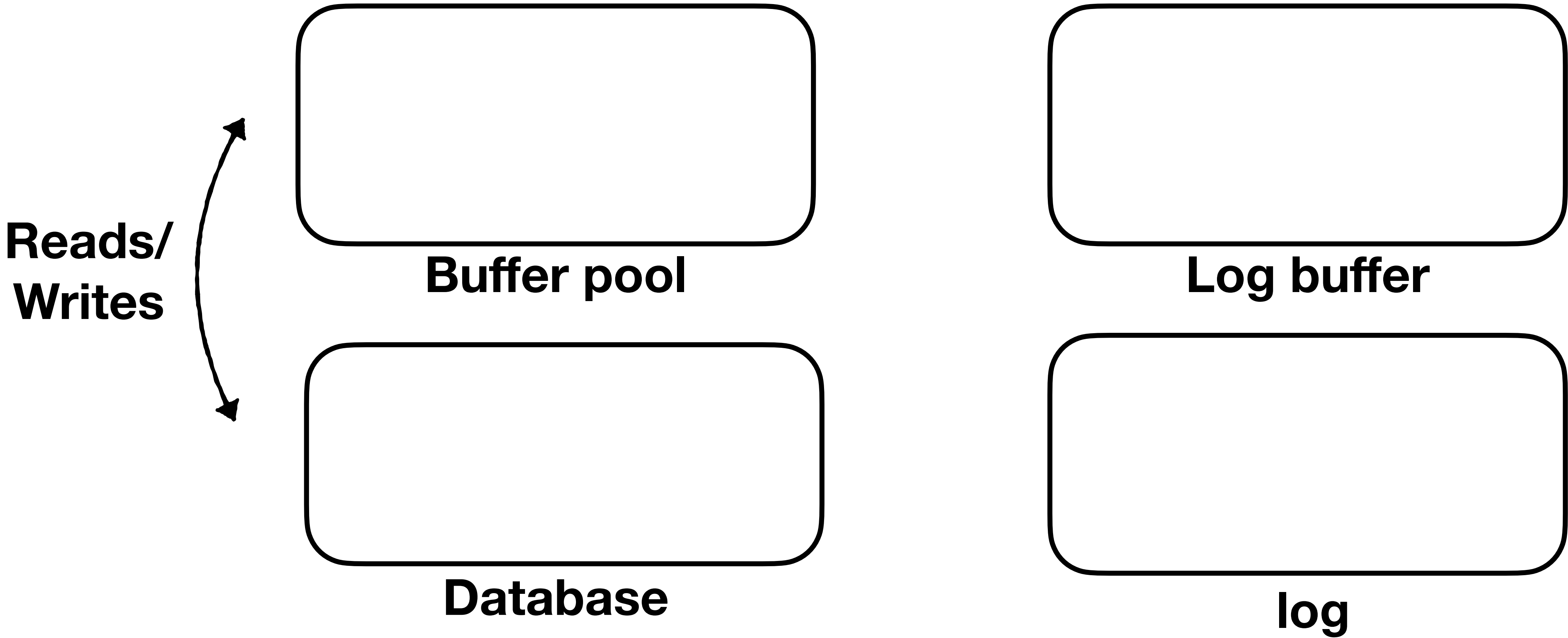
Database



log

Undo logging:

Assume relational database

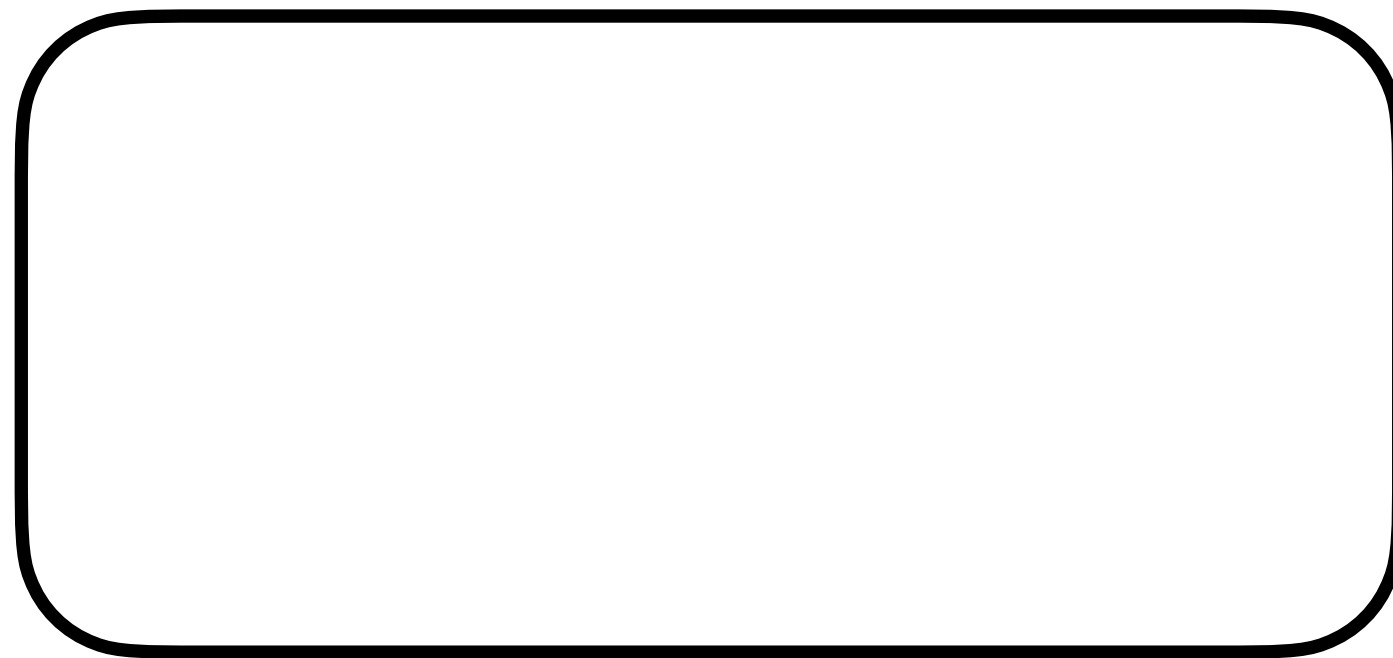


Undo logging:

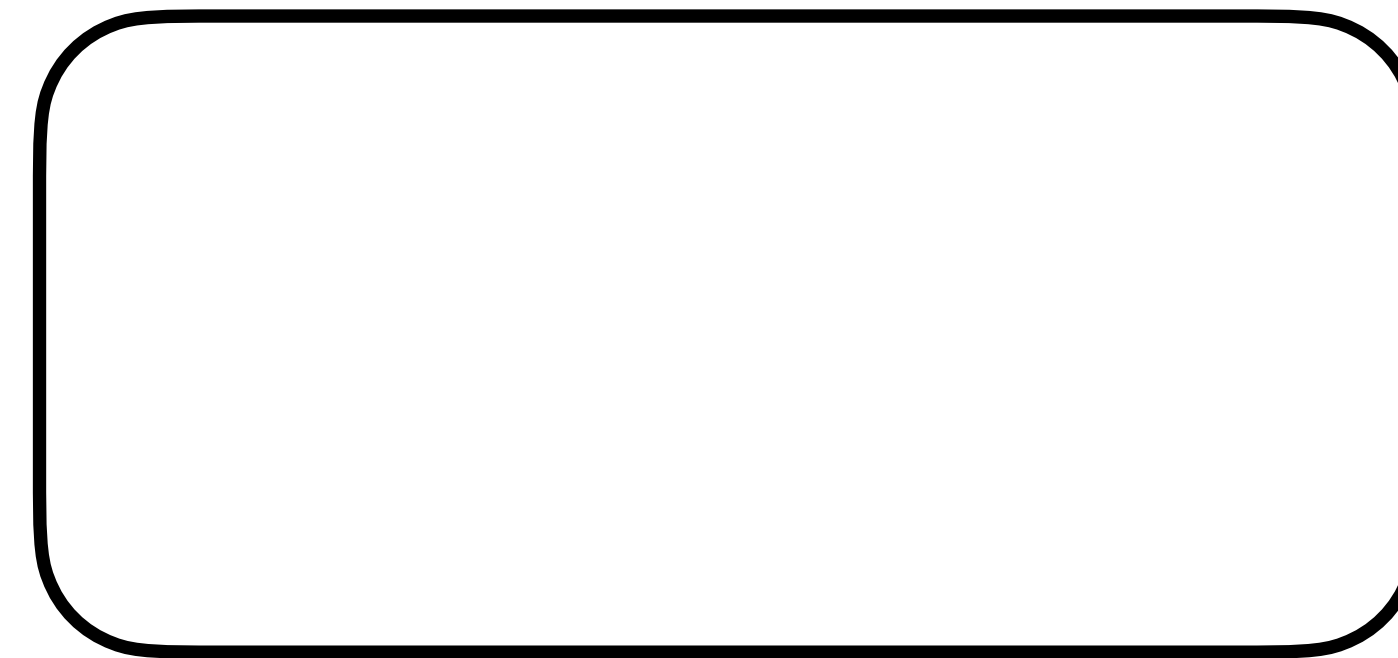
Transaction T1

$A = A - 100$

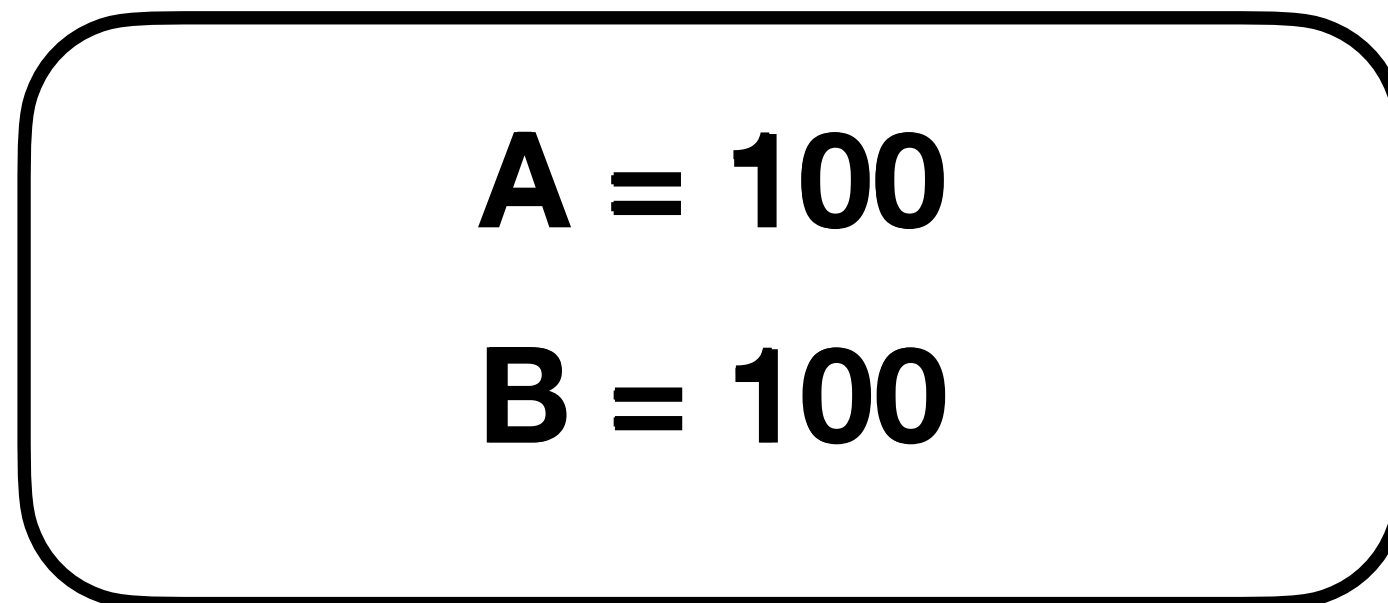
$B = B + 100$



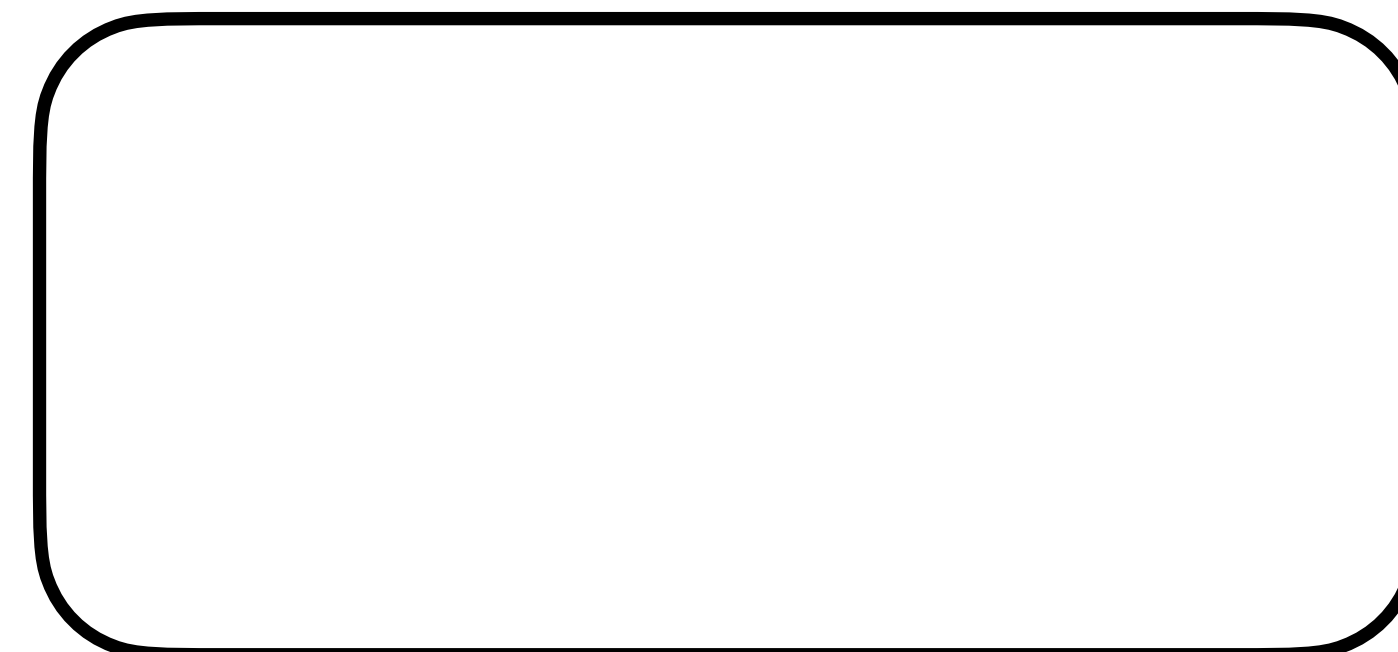
Buffer pool



Log buffer



Database



log

Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

A = 100

B = 100

Buffer pool

A = 100

B = 100

Database

<T1, start>

Log buffer

log

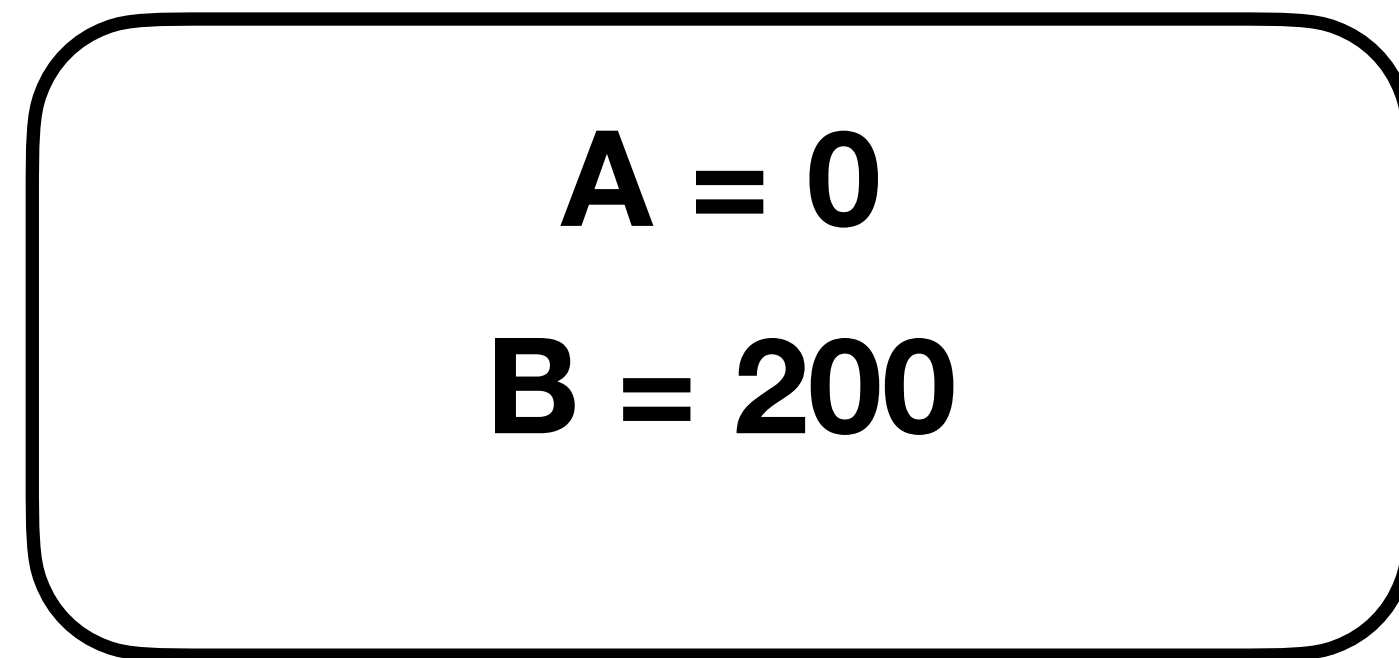
Undo logging:

Transaction T1

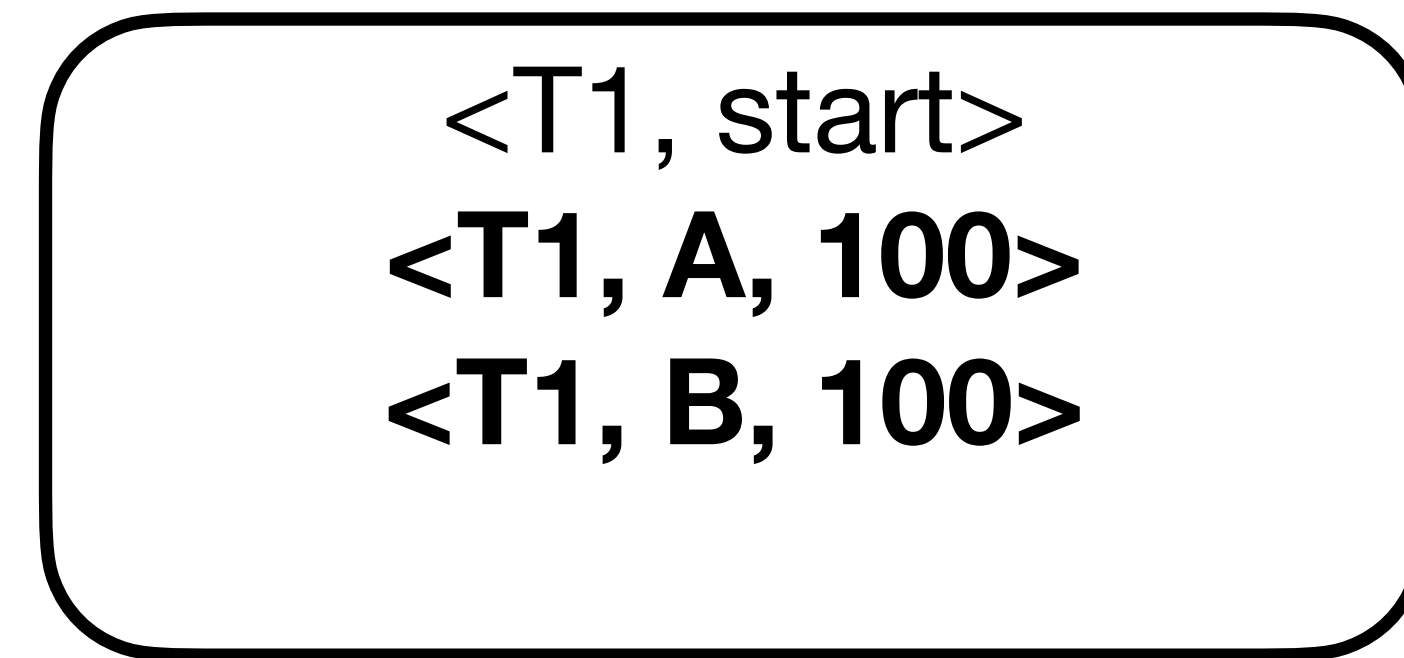
$A = A - 100$

$B = B + 100$

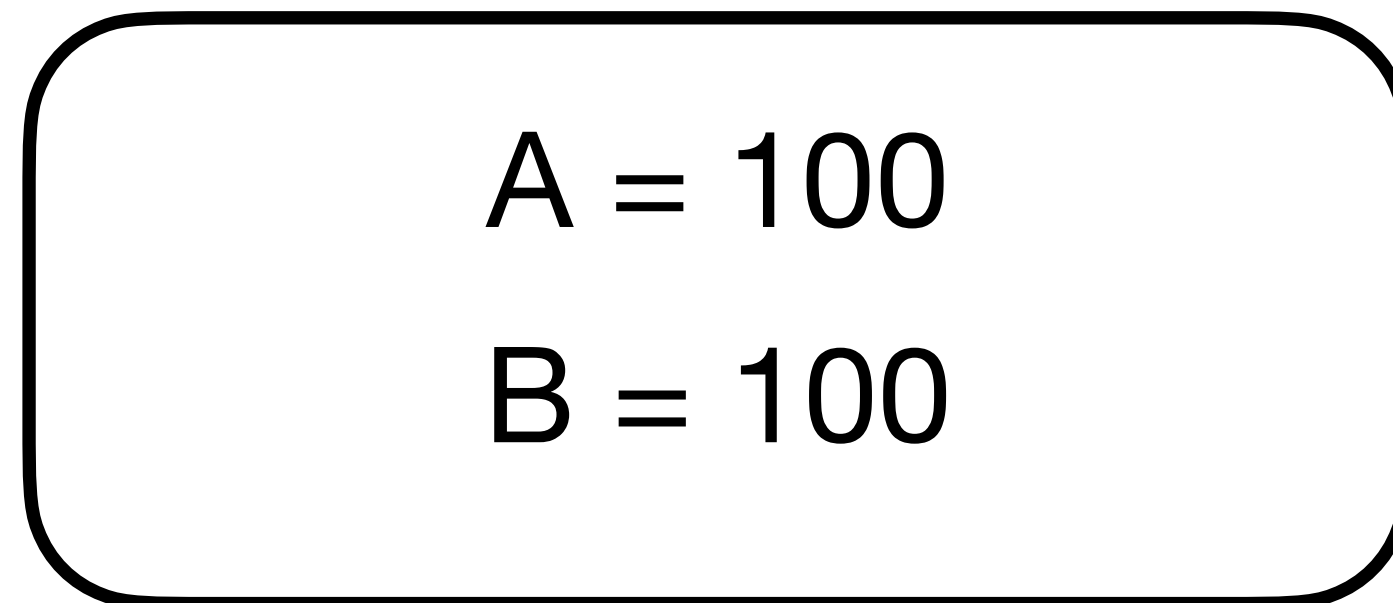
Write older values to log



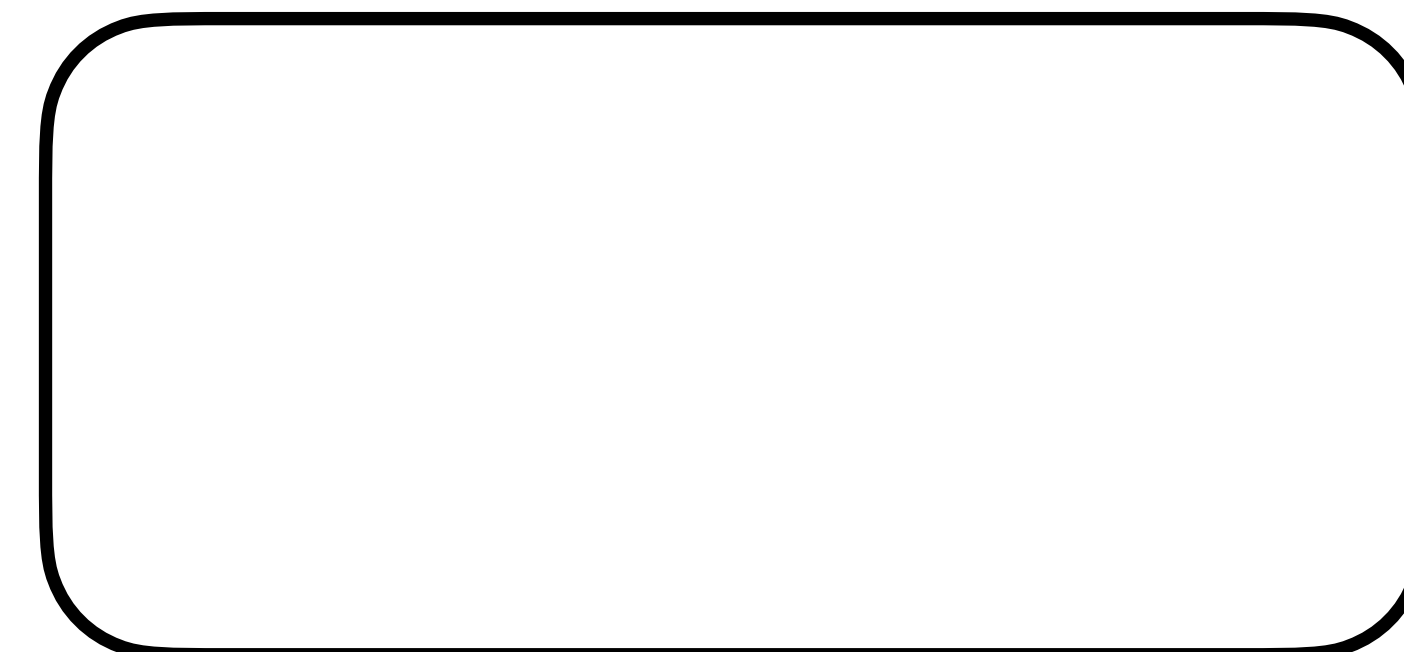
Buffer pool



Log buffer



Database



log

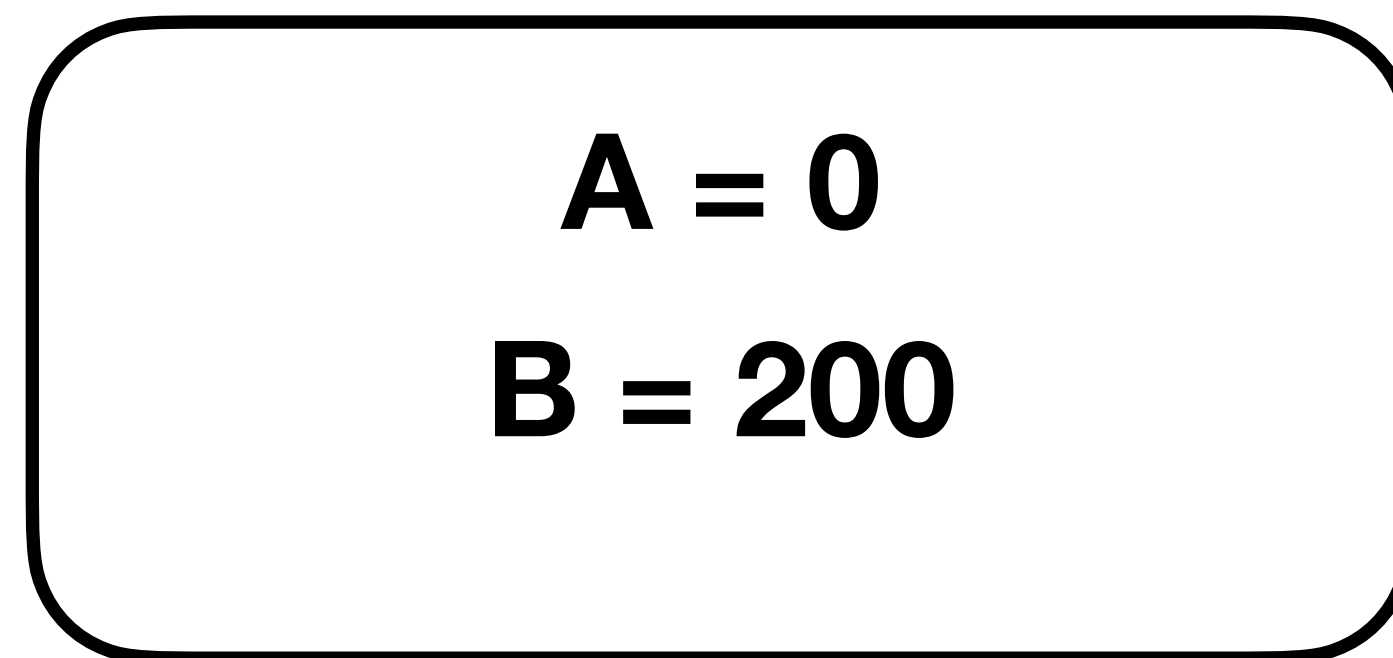
Undo logging:

Transaction T1

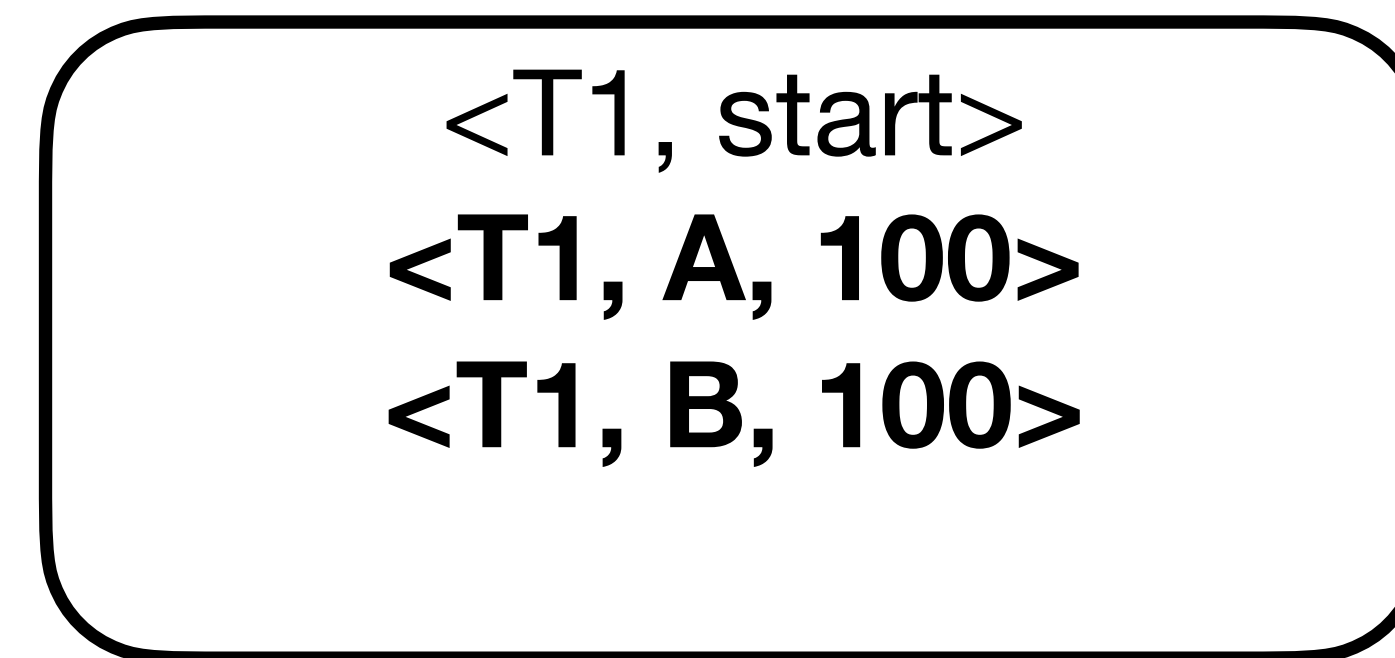
$A = A - 100$

$B = B + 100$

We now want to commit. First, flush the log.

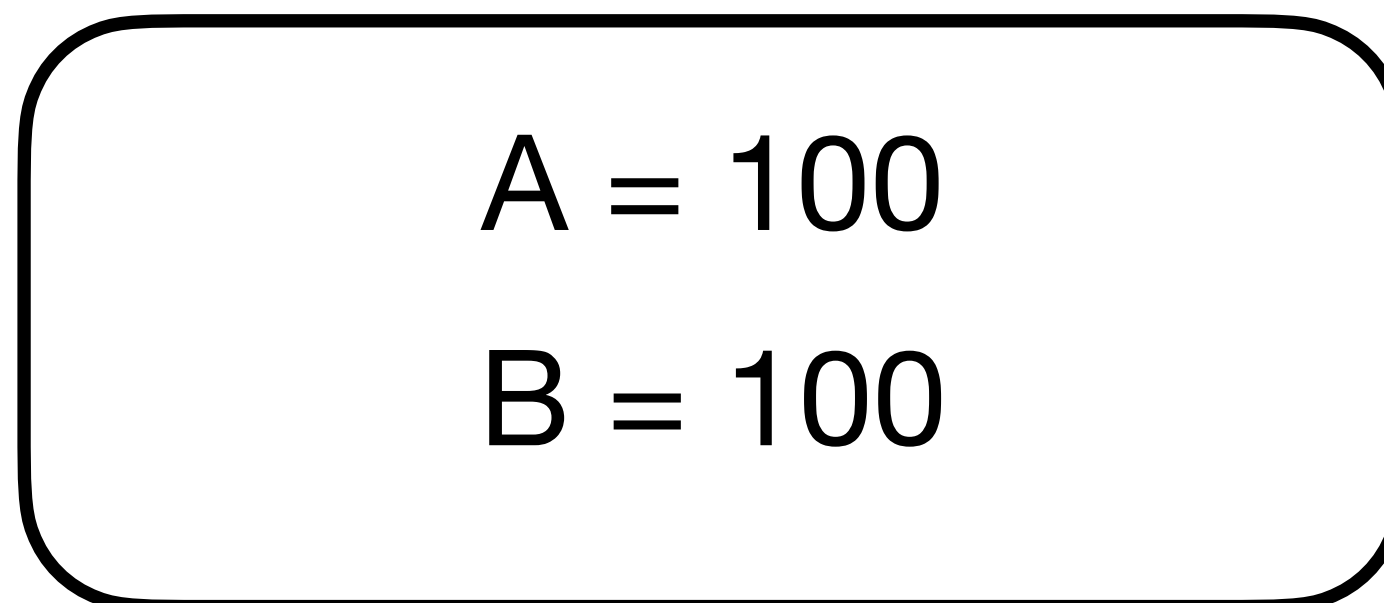
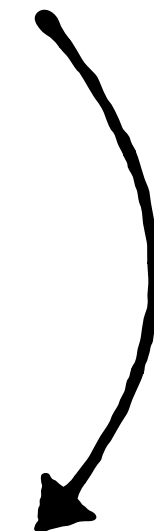


Buffer pool

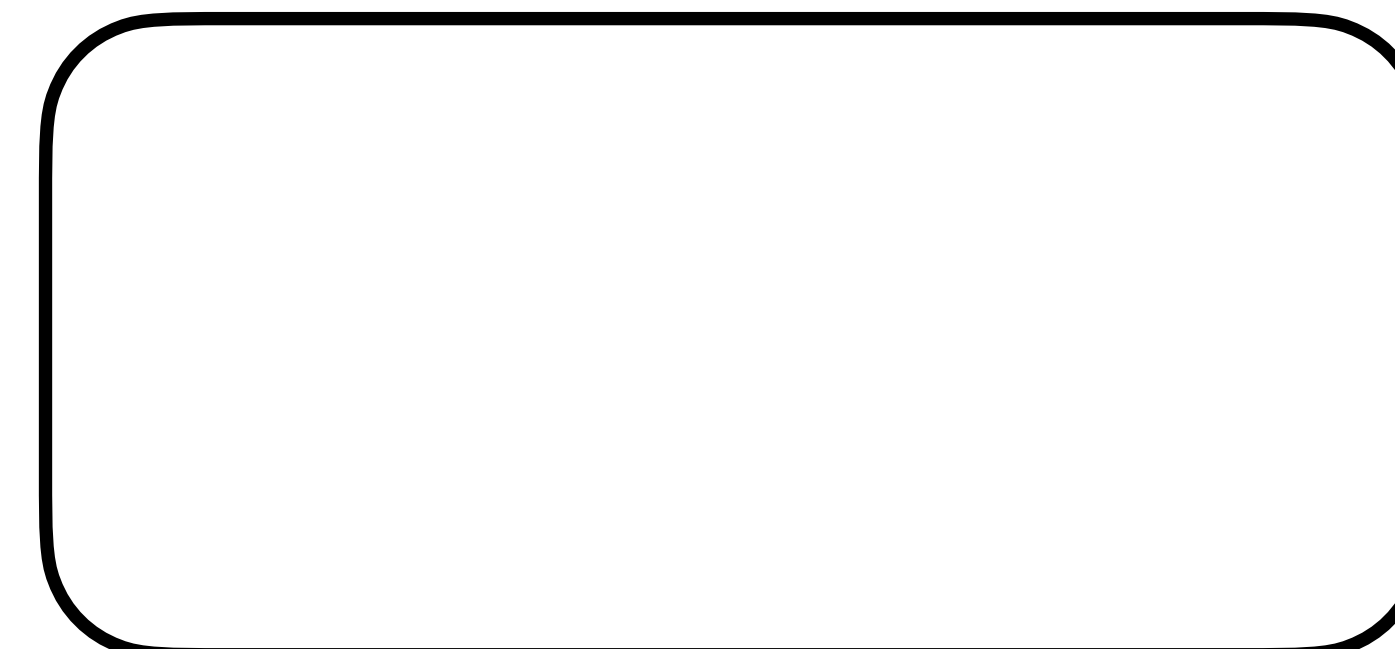


Log buffer

Flush



Database



log

Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

A = 0

B = 200

Buffer pool

A = 100

B = 100

Database

Log buffer

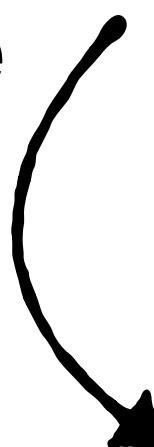
<T1, start>

<T1, A, 100>

<T1, B, 100>

log

Now force
Storage
update



Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

Set commit record



<T1, commit>

Buffer pool

$A = 0$

$B = 200$

Database

Log buffer

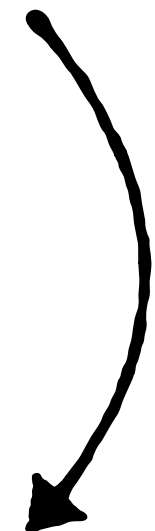
<T1, start>

<T1, A, 100>

<T1, B, 100>

log

Flush

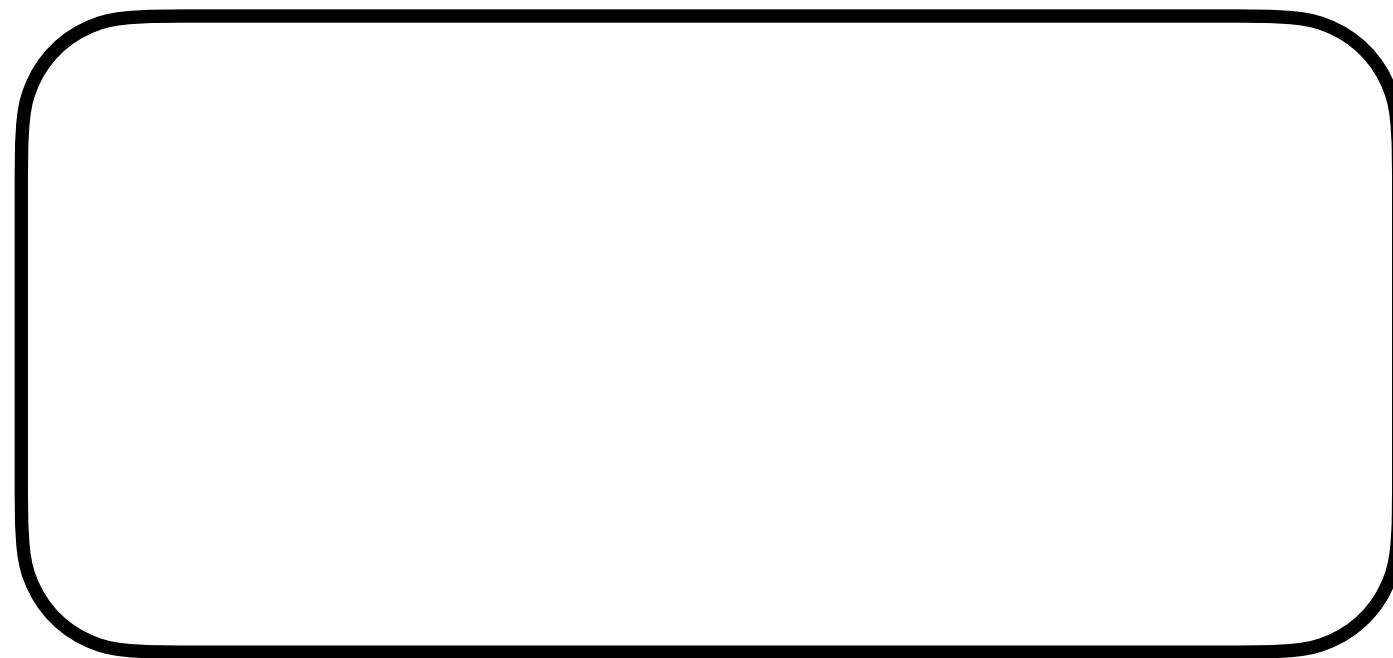


Undo logging:

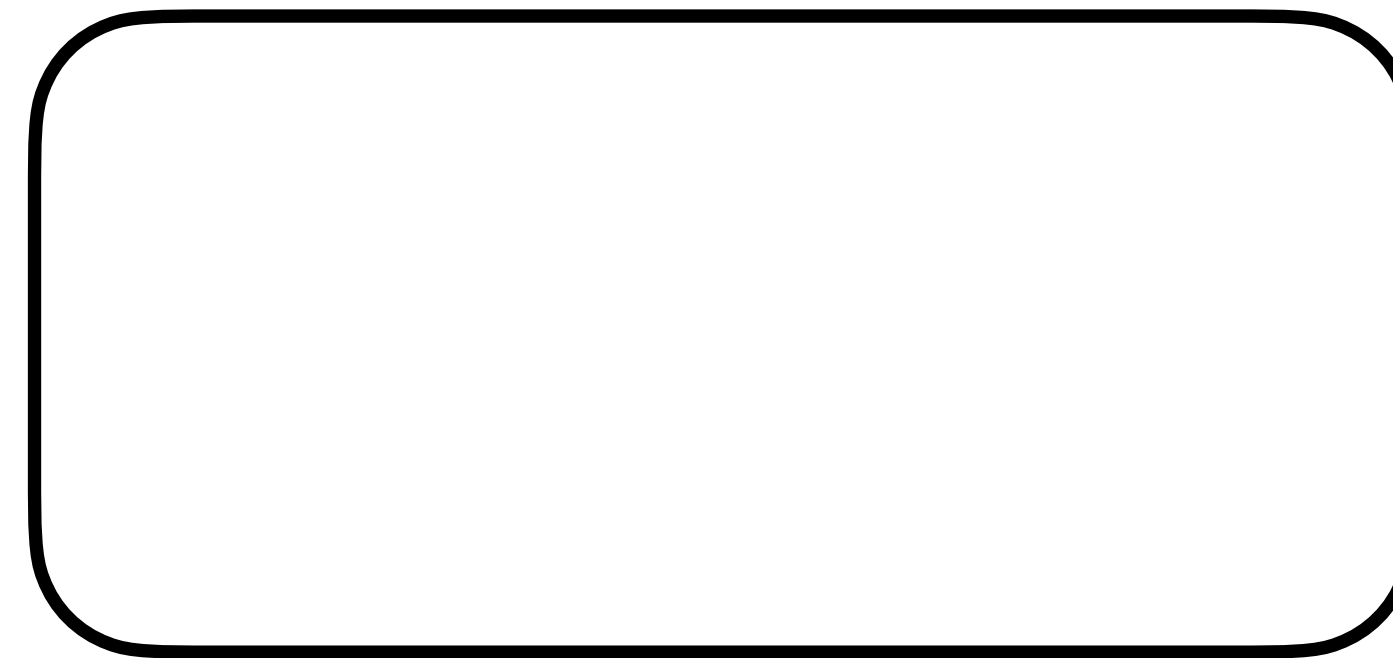
Transaction T1

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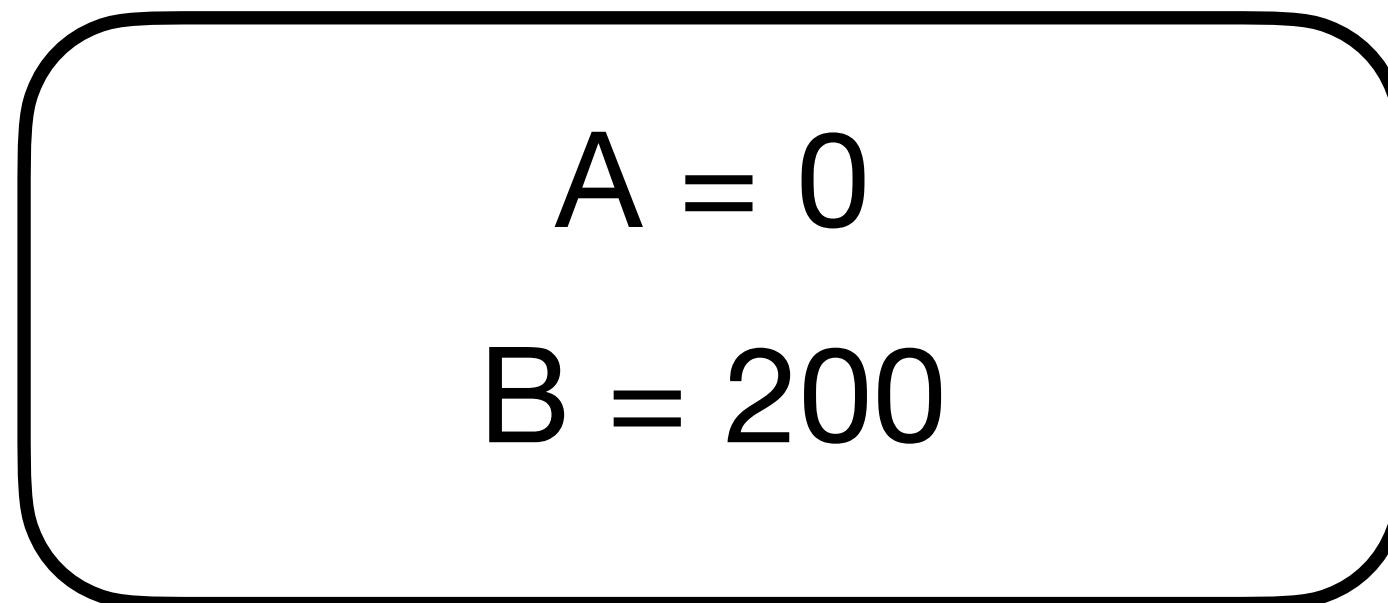
$B = B + 100$



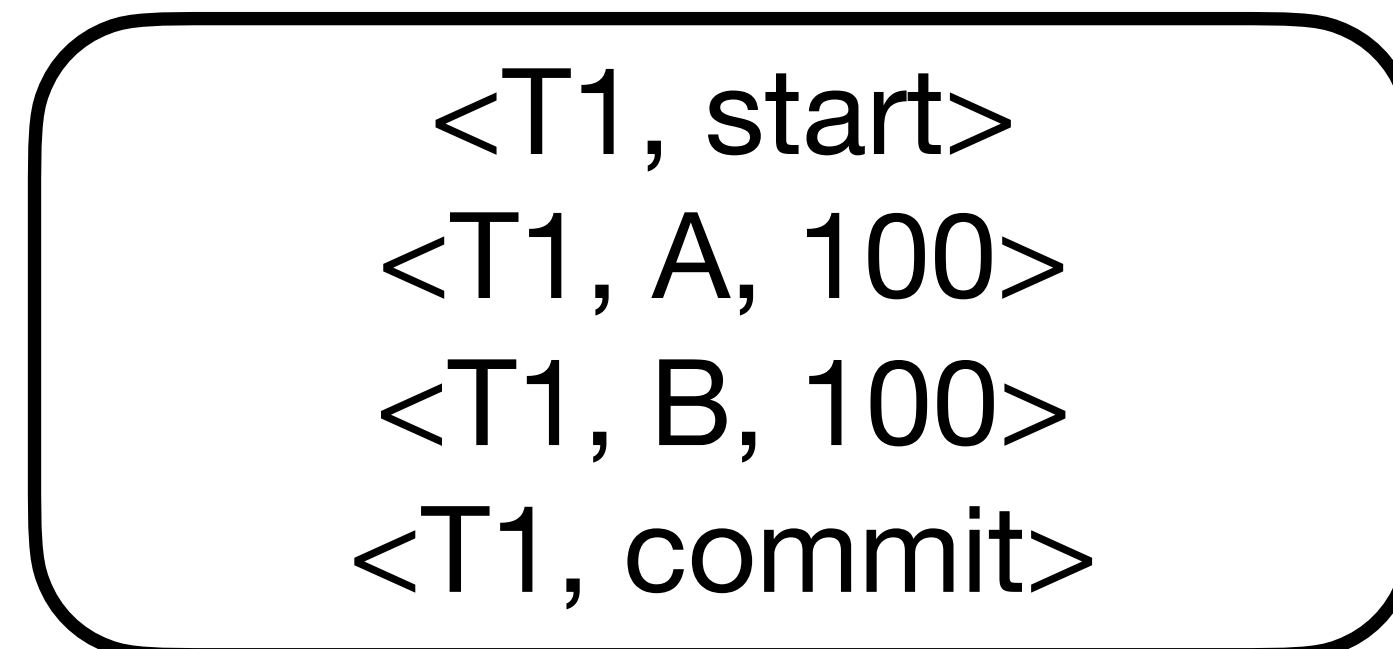
Buffer pool



Log buffer



Database



log

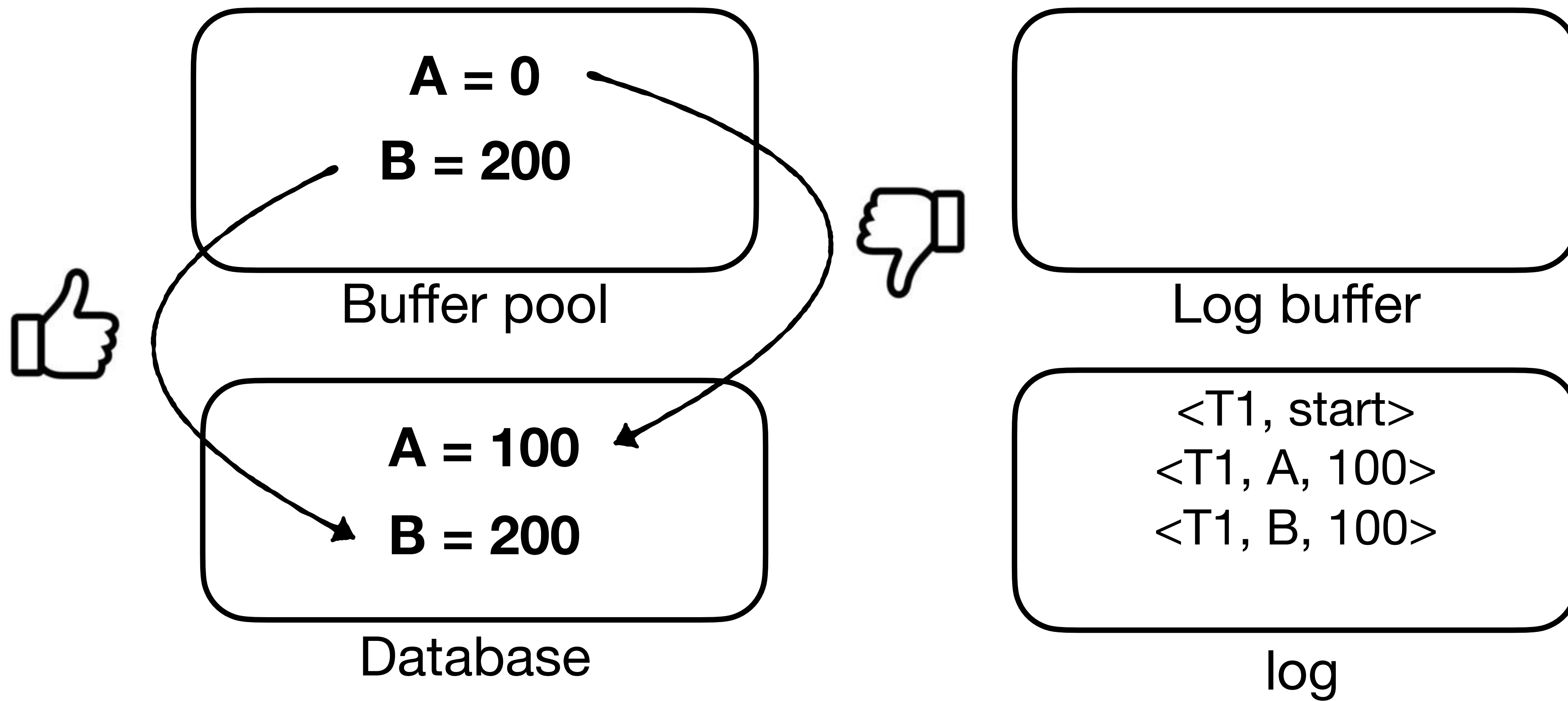
Undo logging:

- (1) write before-image for any changed value to log buffer
- (2) flush log
- (3) force the changed data into storage
- (4) add commit record to log buffer & flush

Recovery Undo logging:

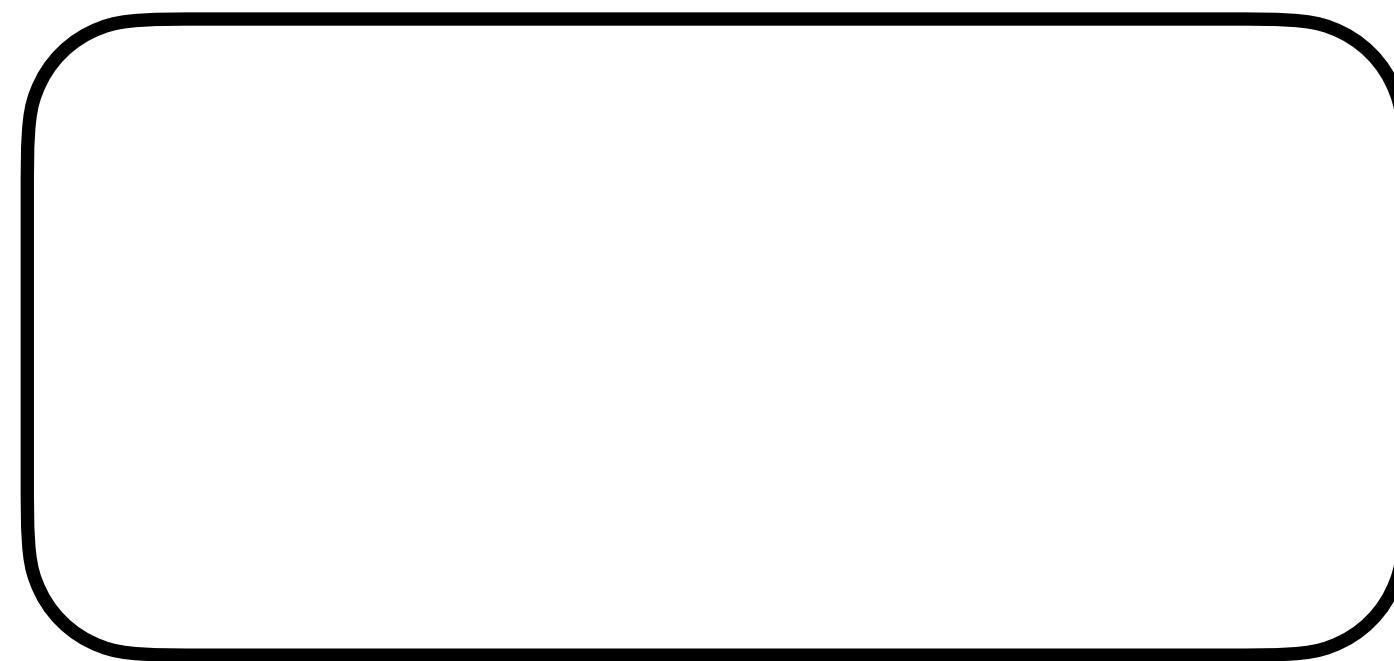


Suppose power fails after we save the value of B to storage but before we save the value of A

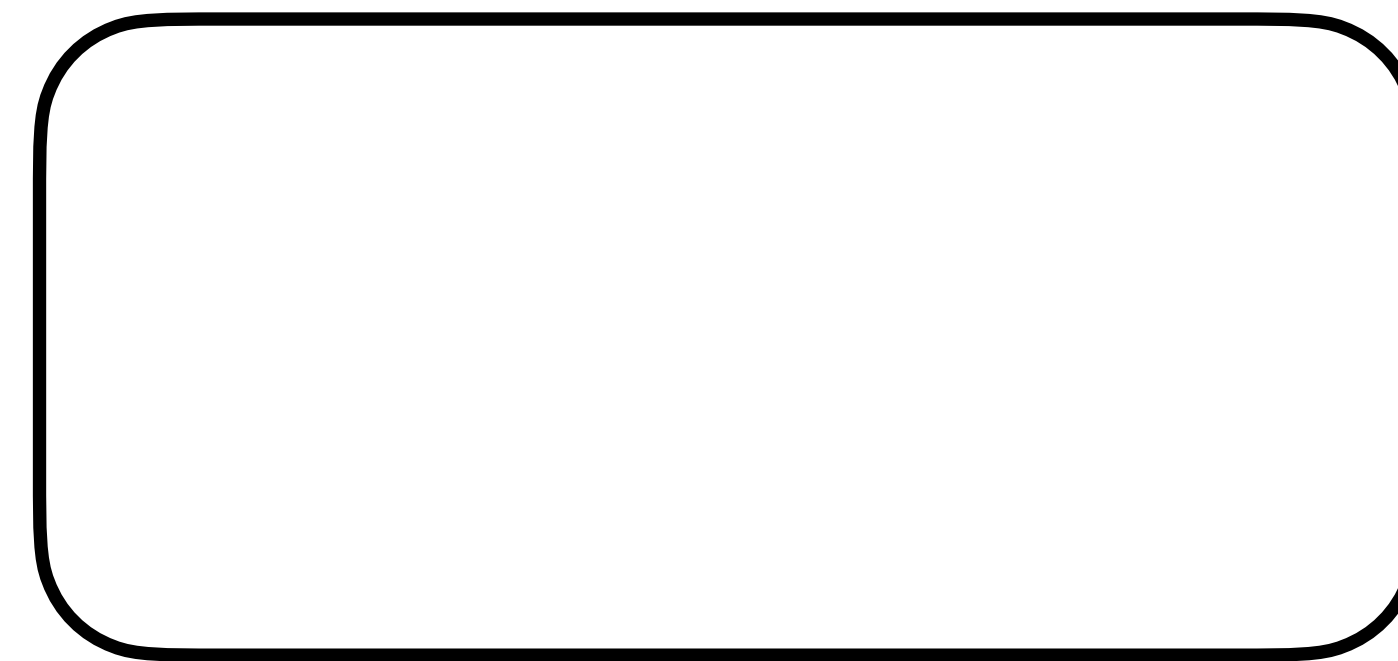


Recovery Undo logging:

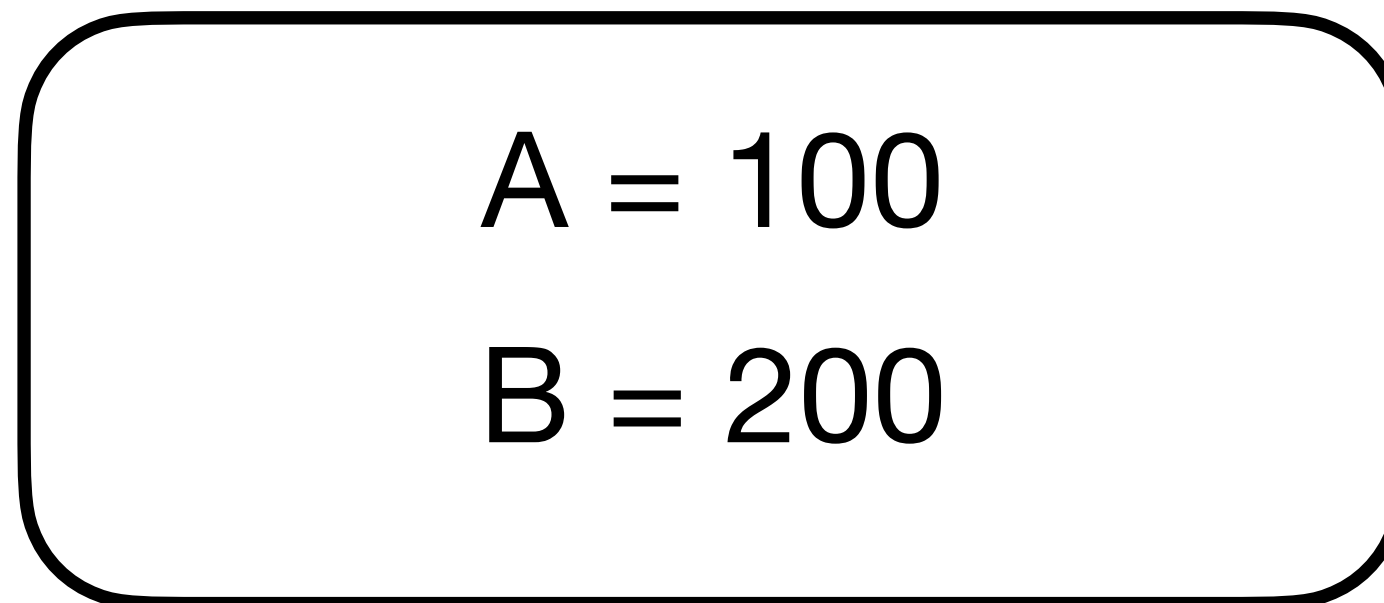
We lose all contents in memory



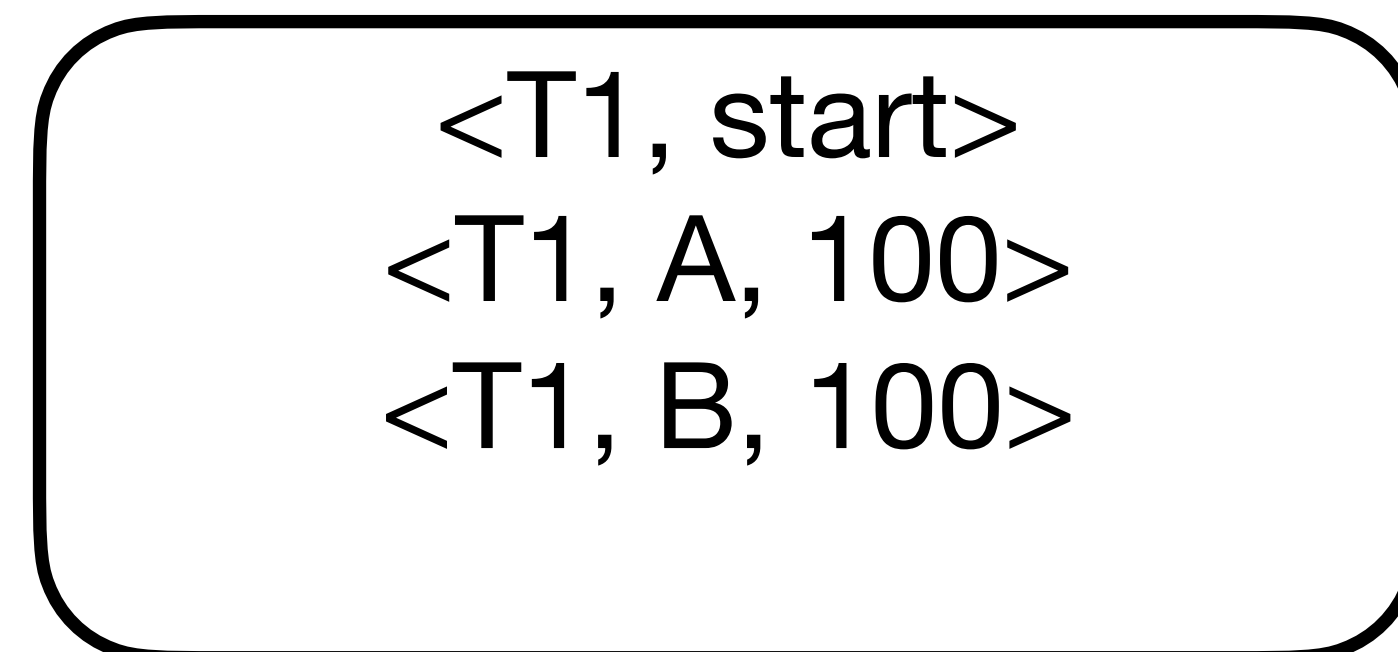
Buffer pool



Log buffer



Database

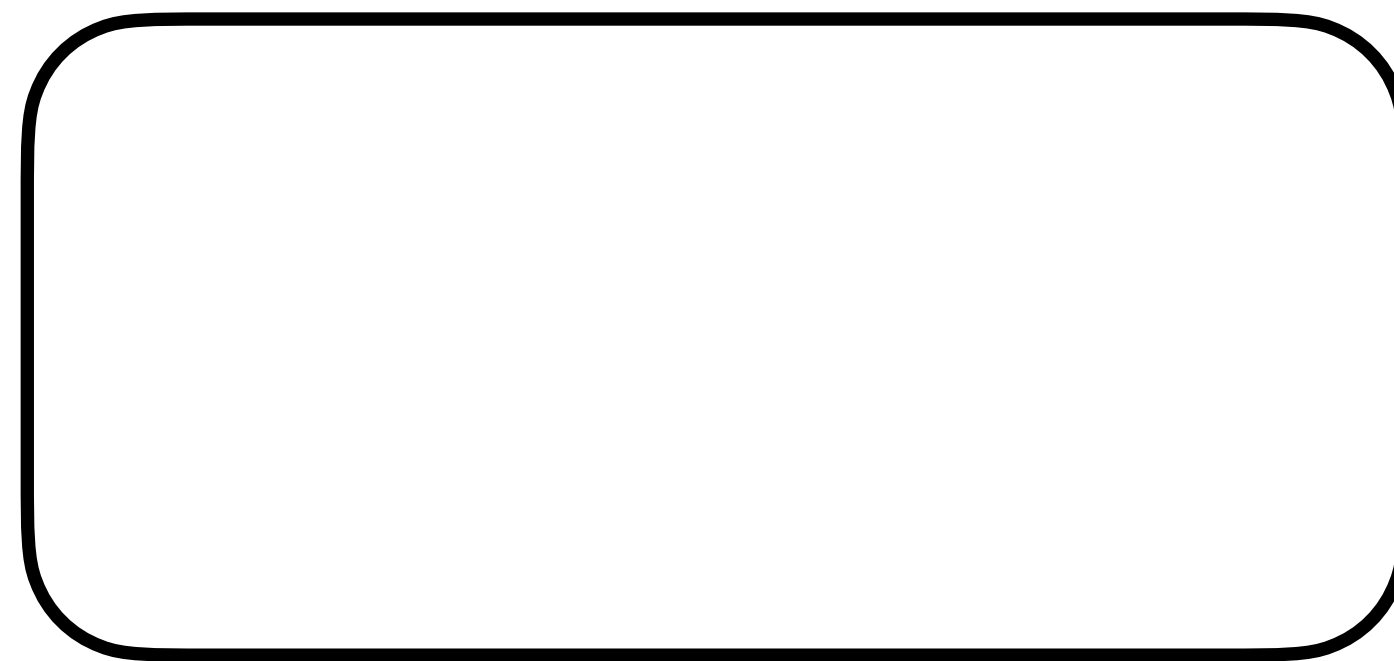


log

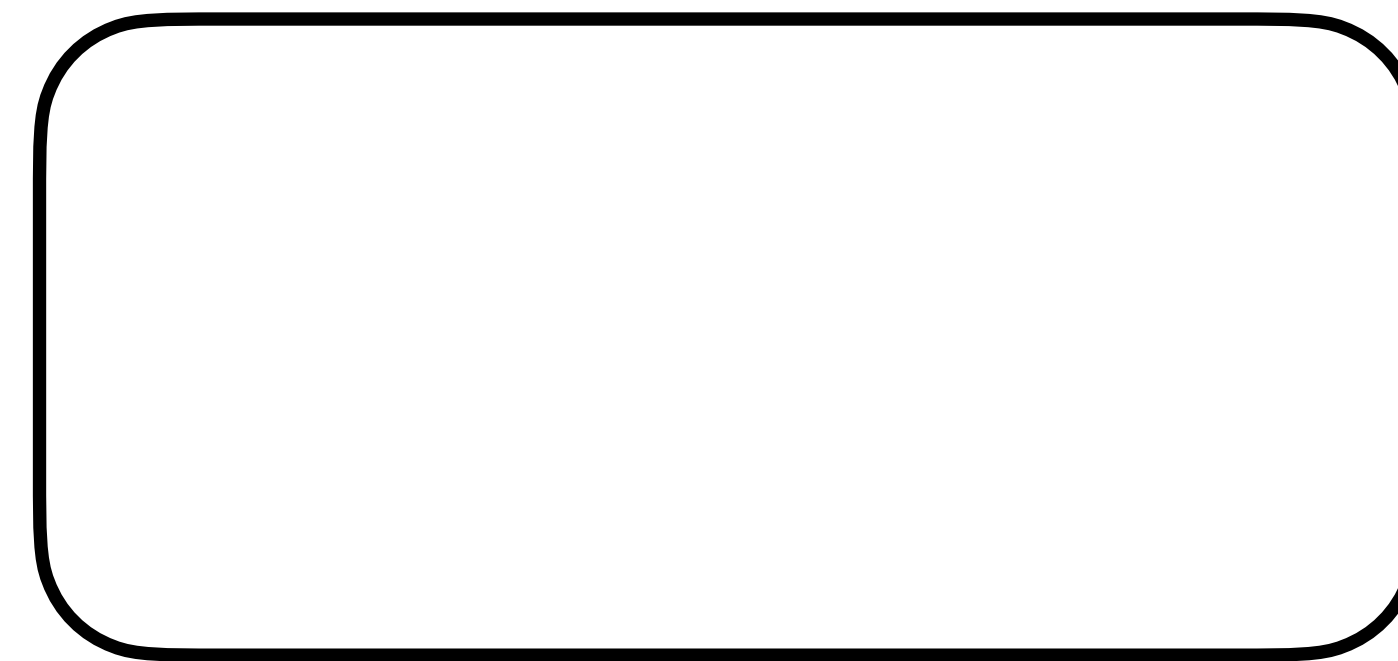
Recovery Undo logging:

We lose all contents in memory

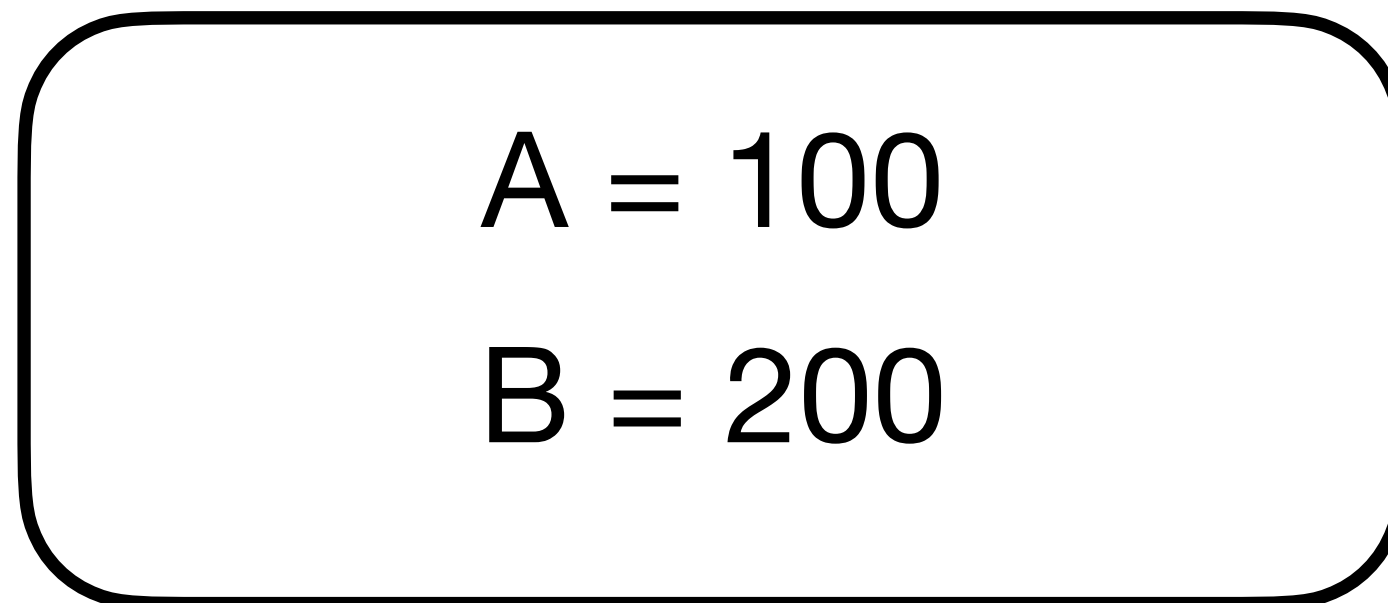
How do we recover?



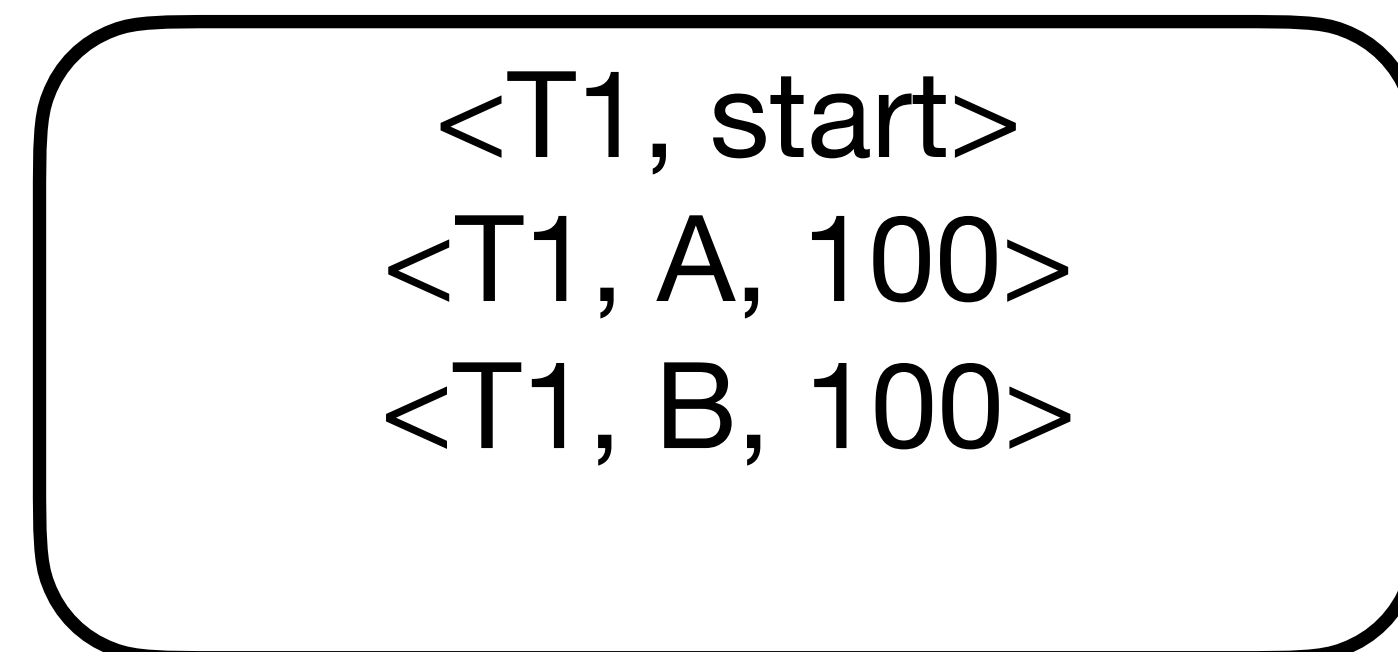
Buffer pool



Log buffer



Database



log

Recovery Undo logging:

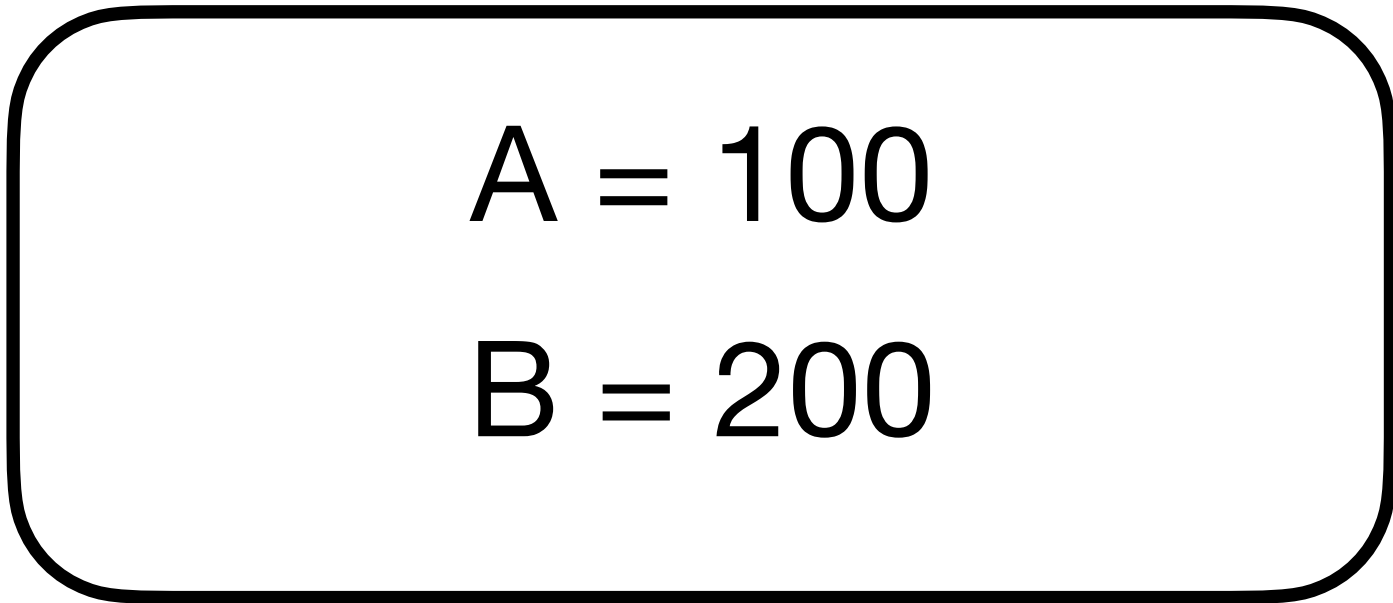
During recovery, we traverse the log backwards



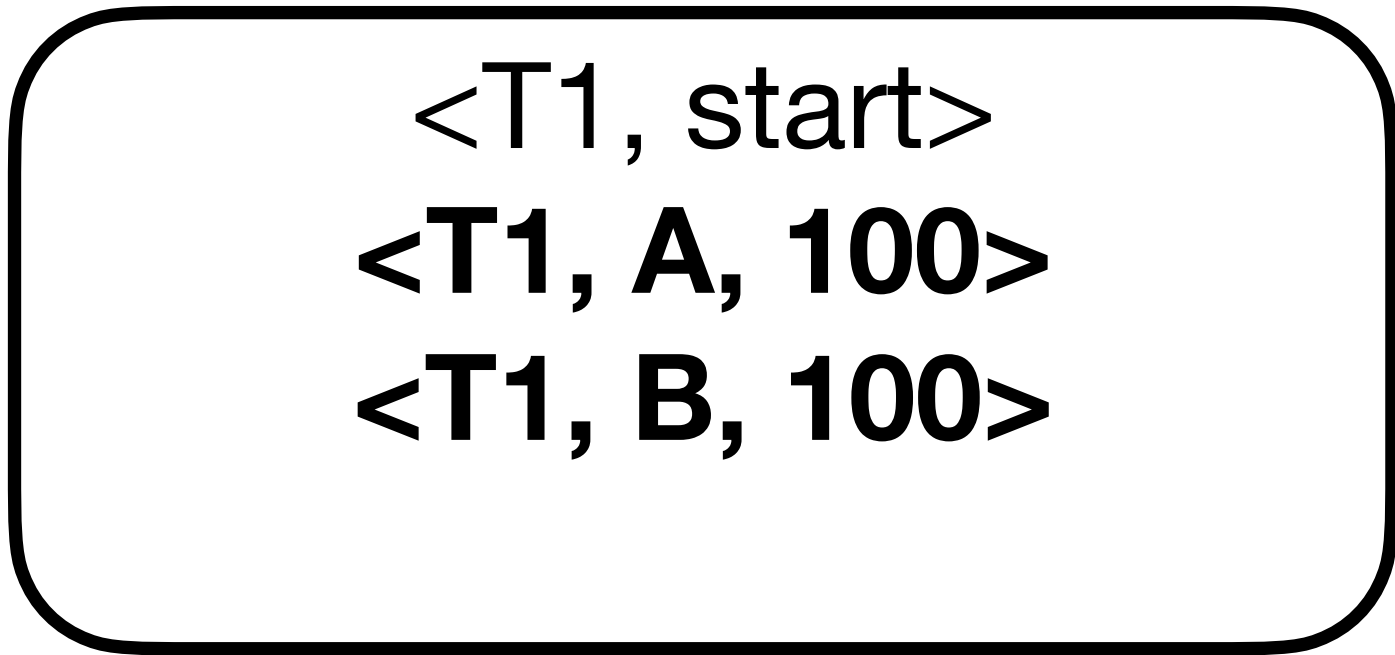
Buffer pool



Log buffer



Database



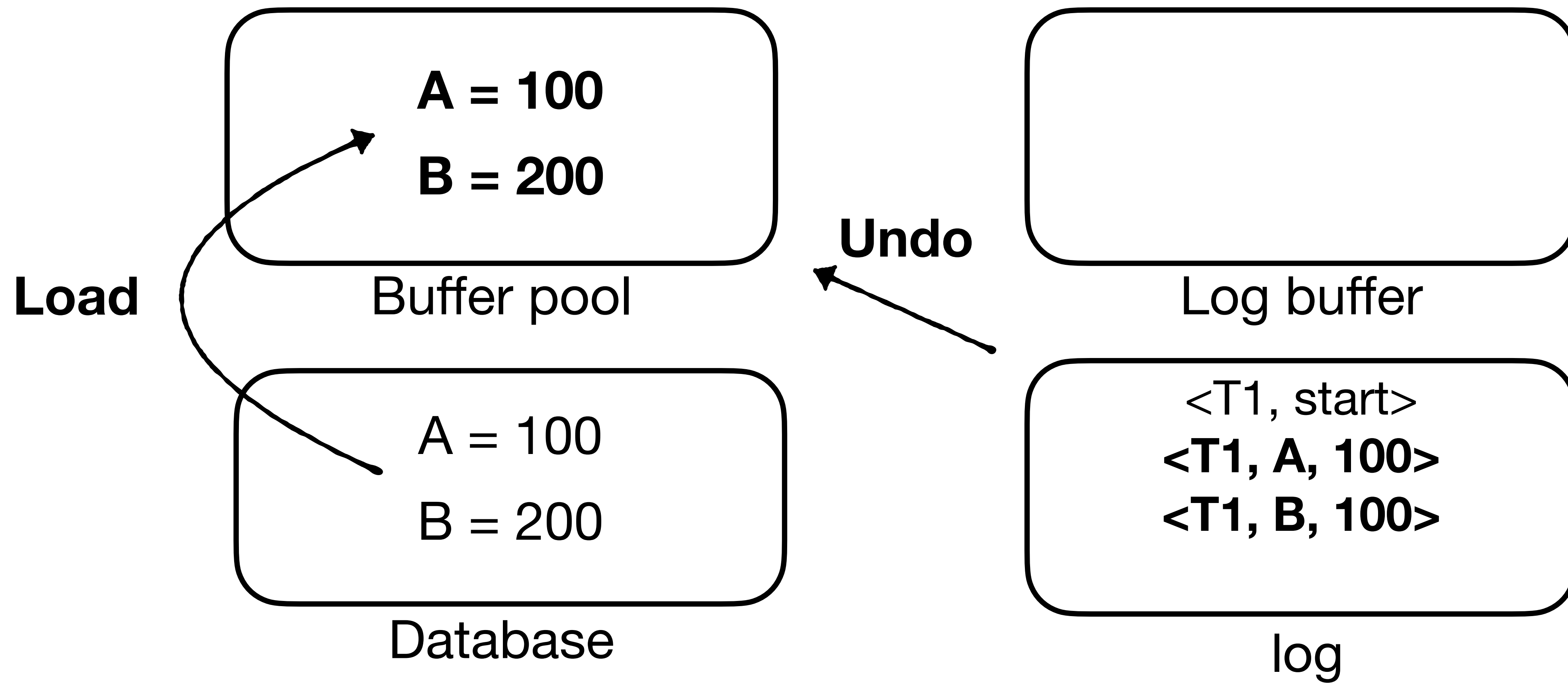
log



traverse

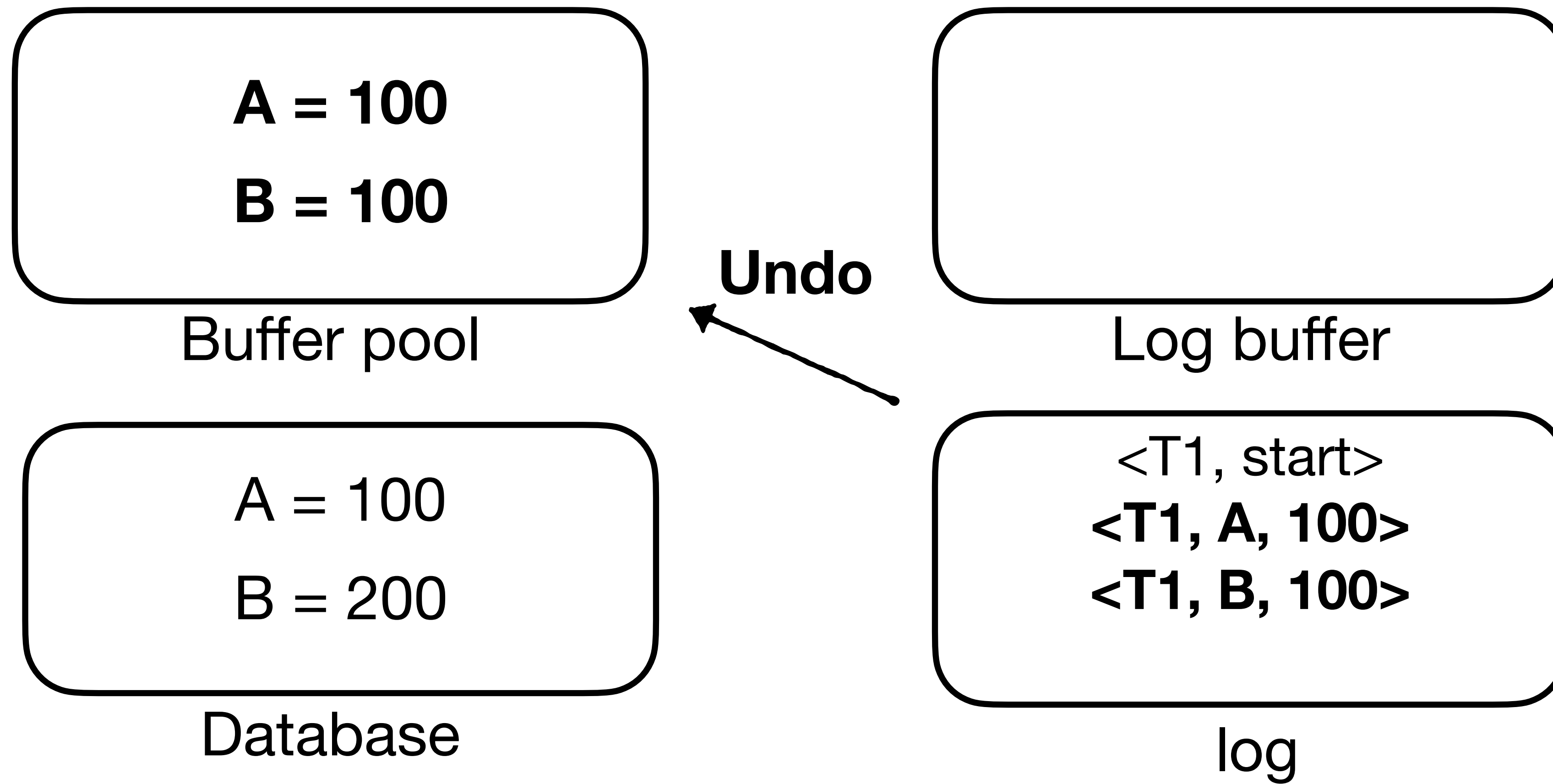
Recovery Undo logging:

Undo any operation of an uncommitted transaction



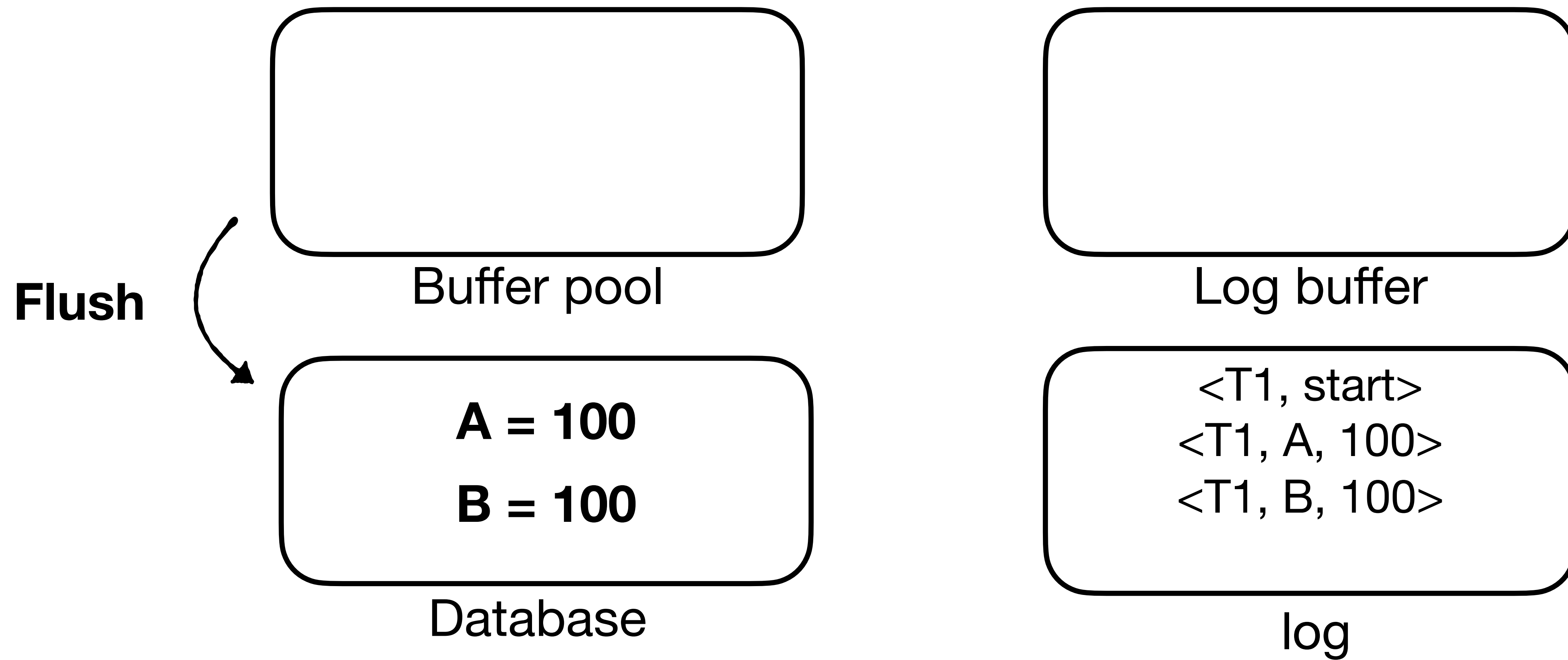
Recovery Undo logging:

Undo any operation of an uncommitted transaction

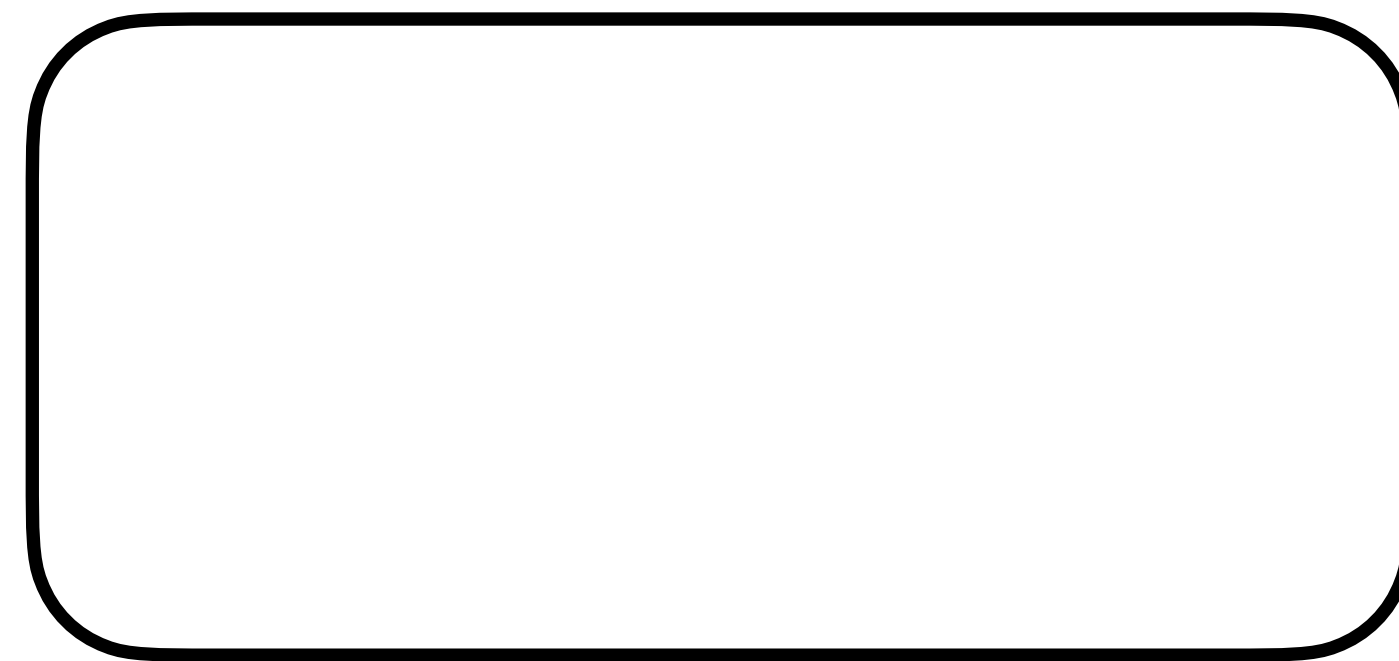


Recovery Undo logging:

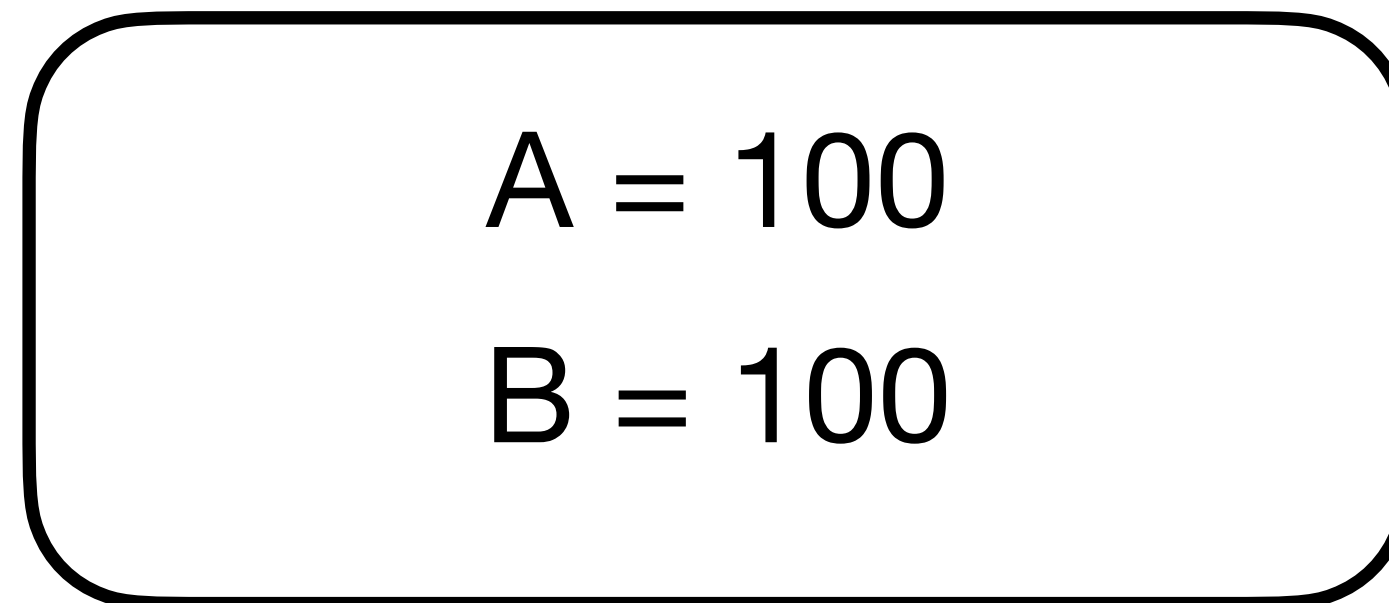
Undo any operation of an uncommitted transaction



Recovery Undo logging:

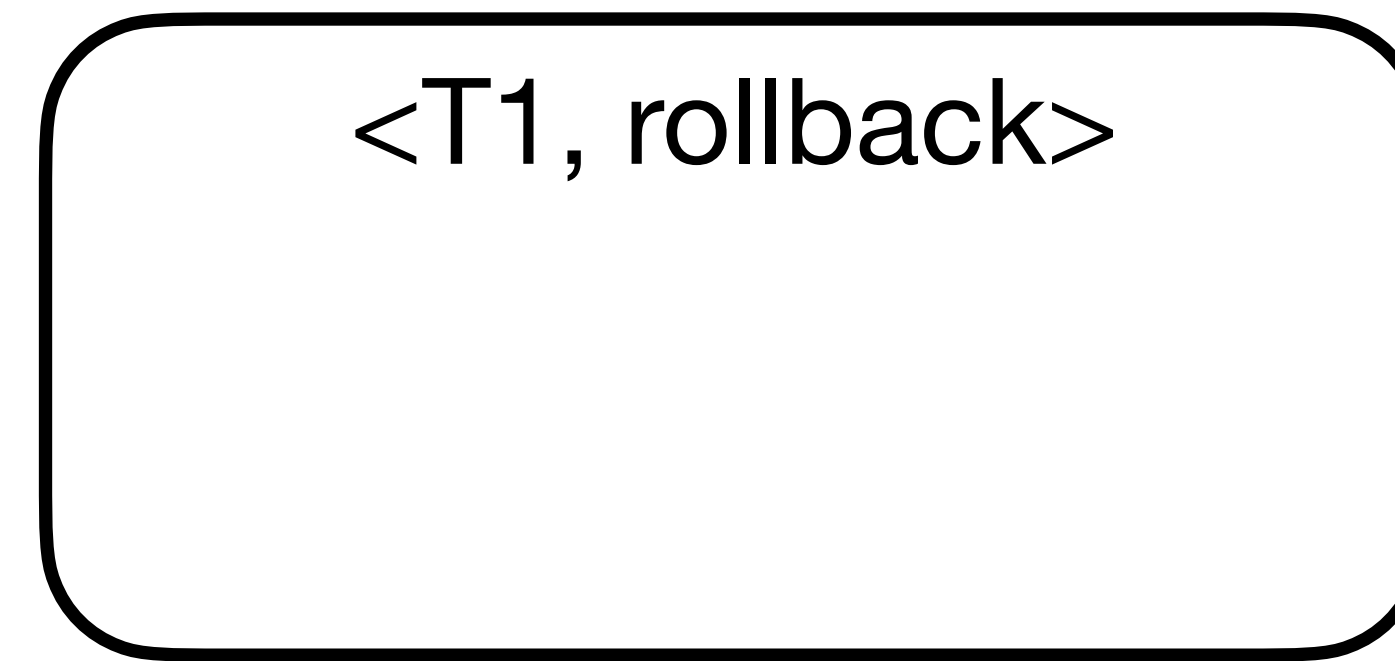


Buffer pool

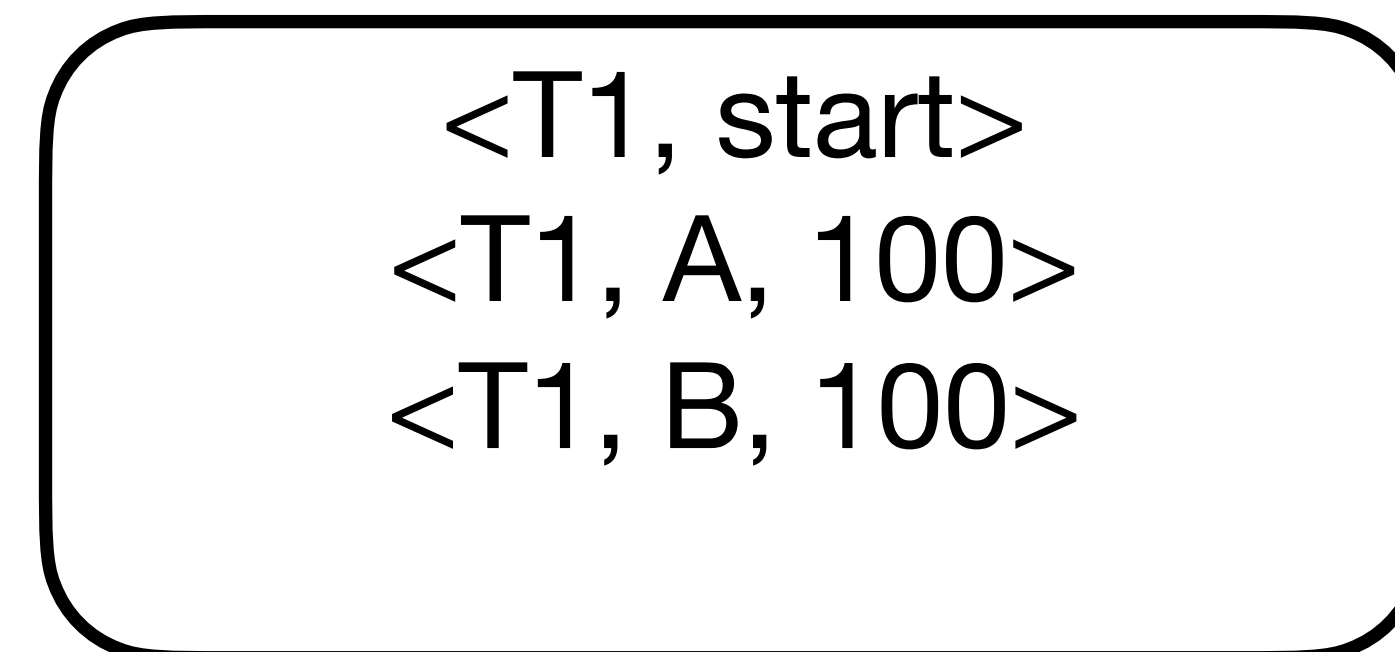


Database

Set rollback record



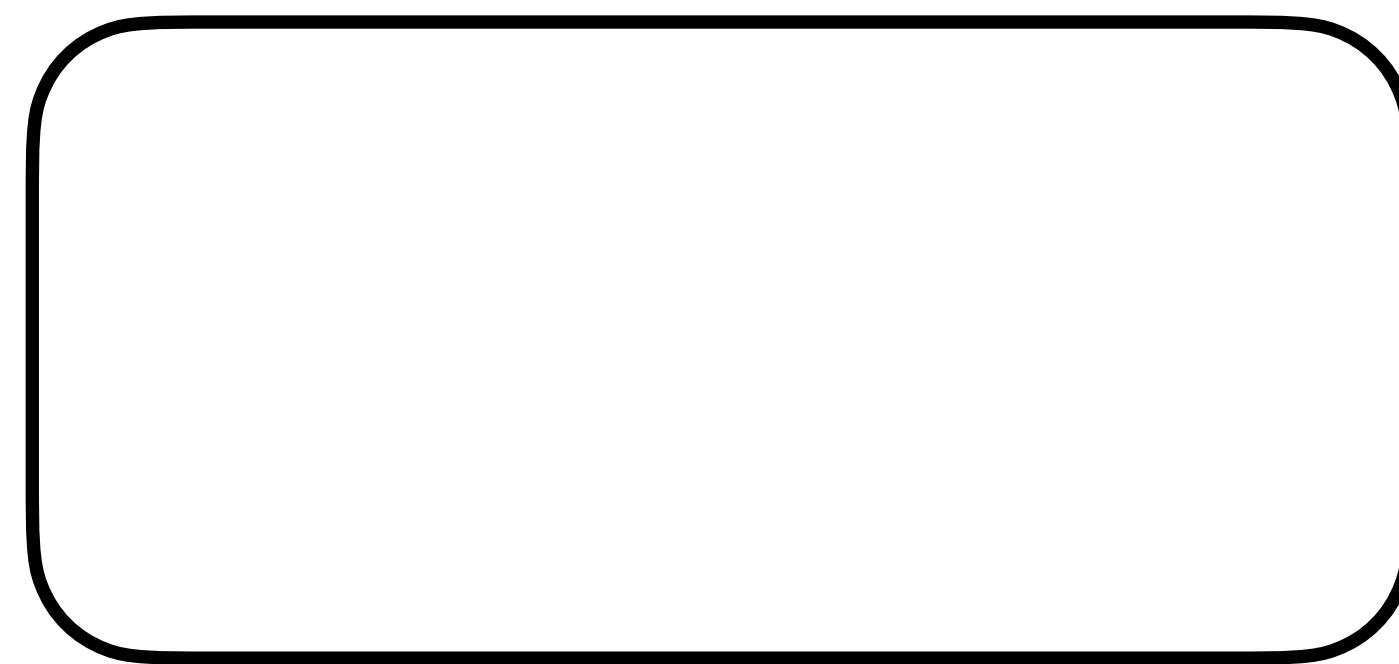
Log buffer



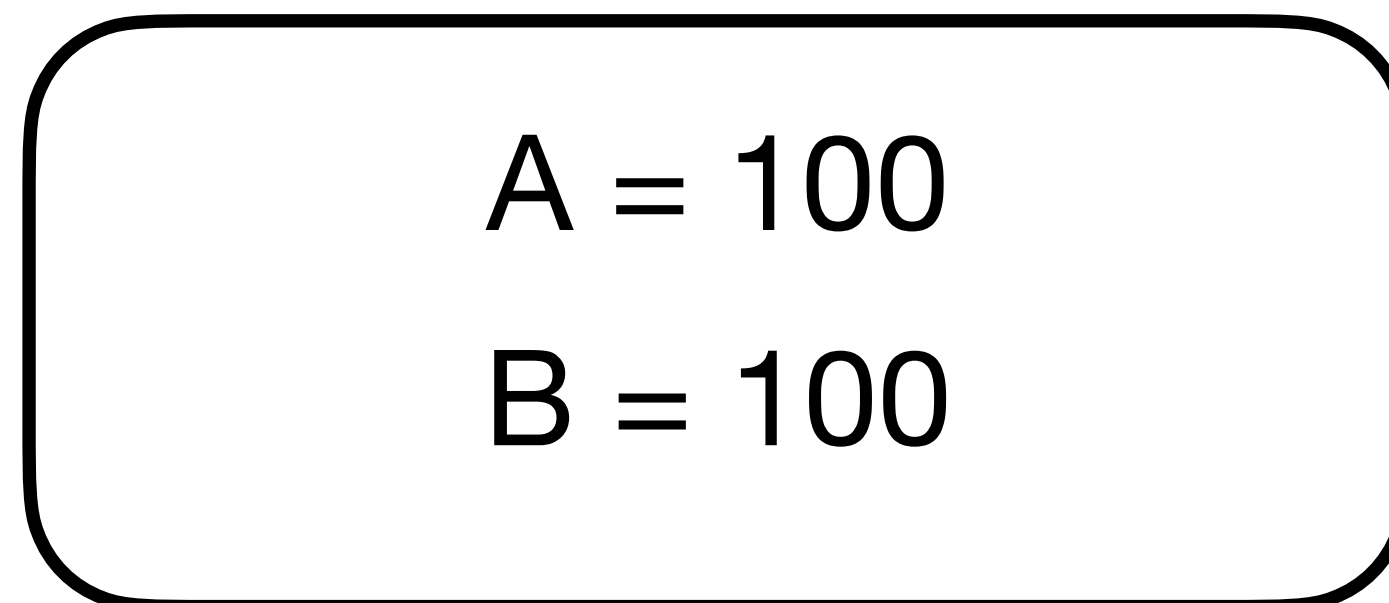
log

Recovery Undo logging:

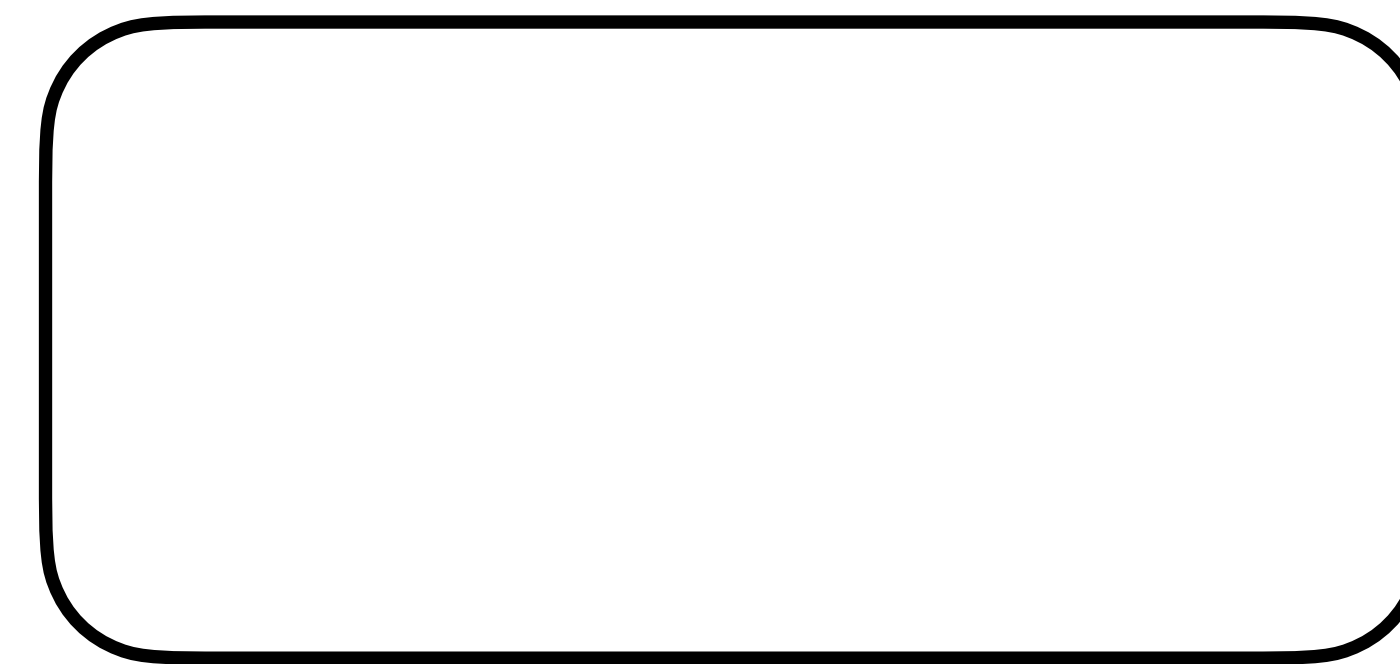
Why do we need this rollback record?



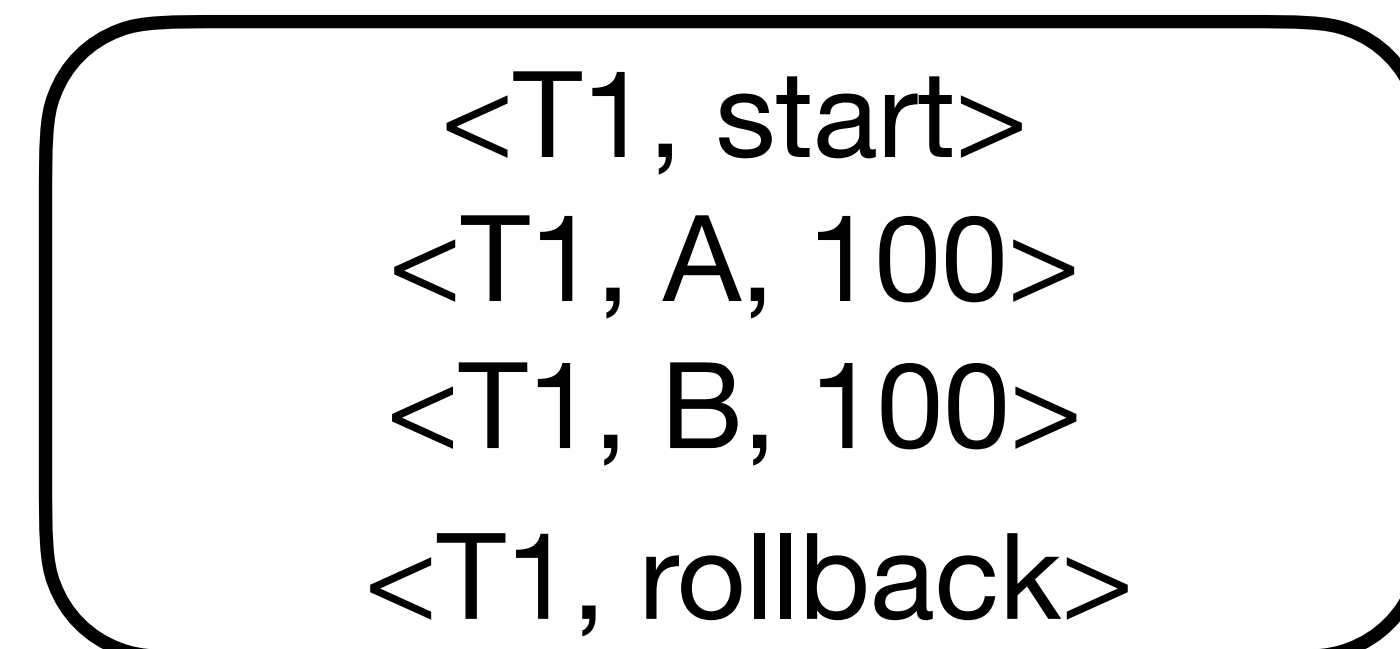
Buffer pool



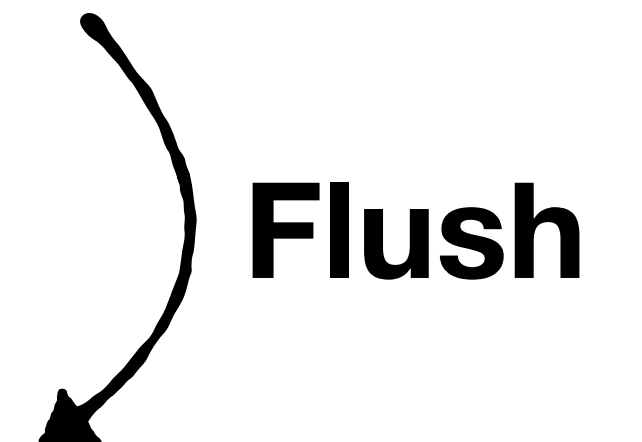
Database



Log buffer



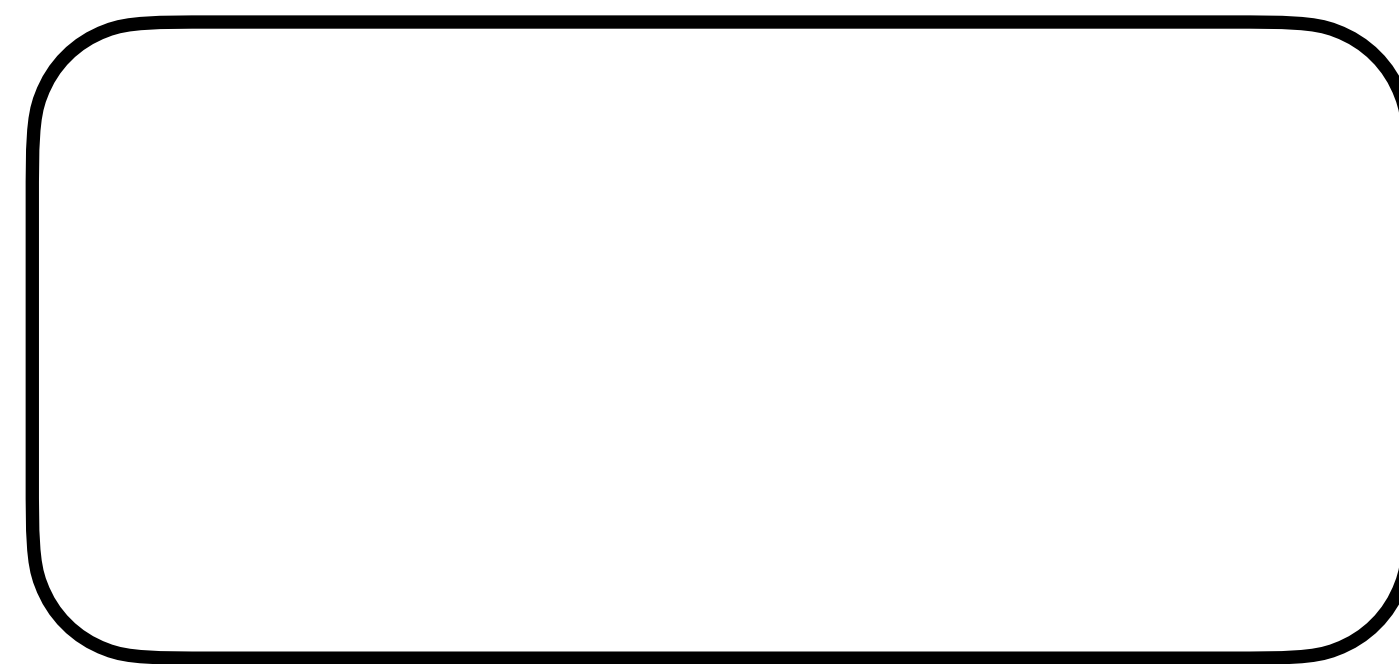
log



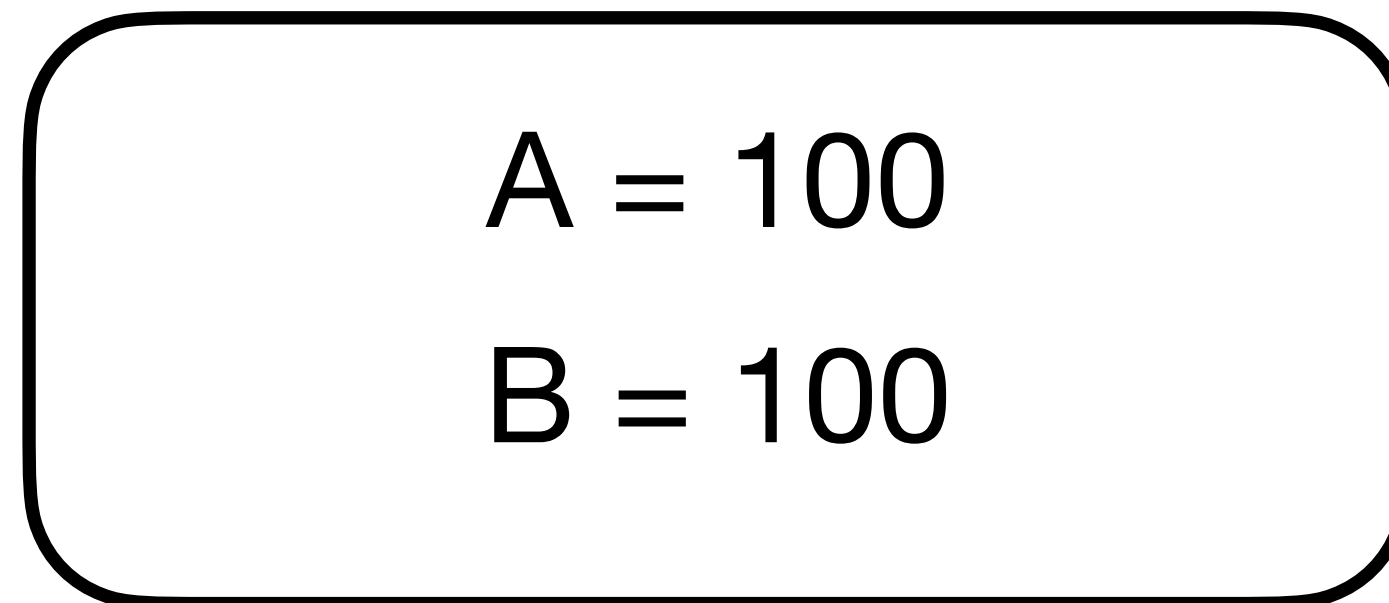
Recovery Undo logging:

Why do we need this rollback record?

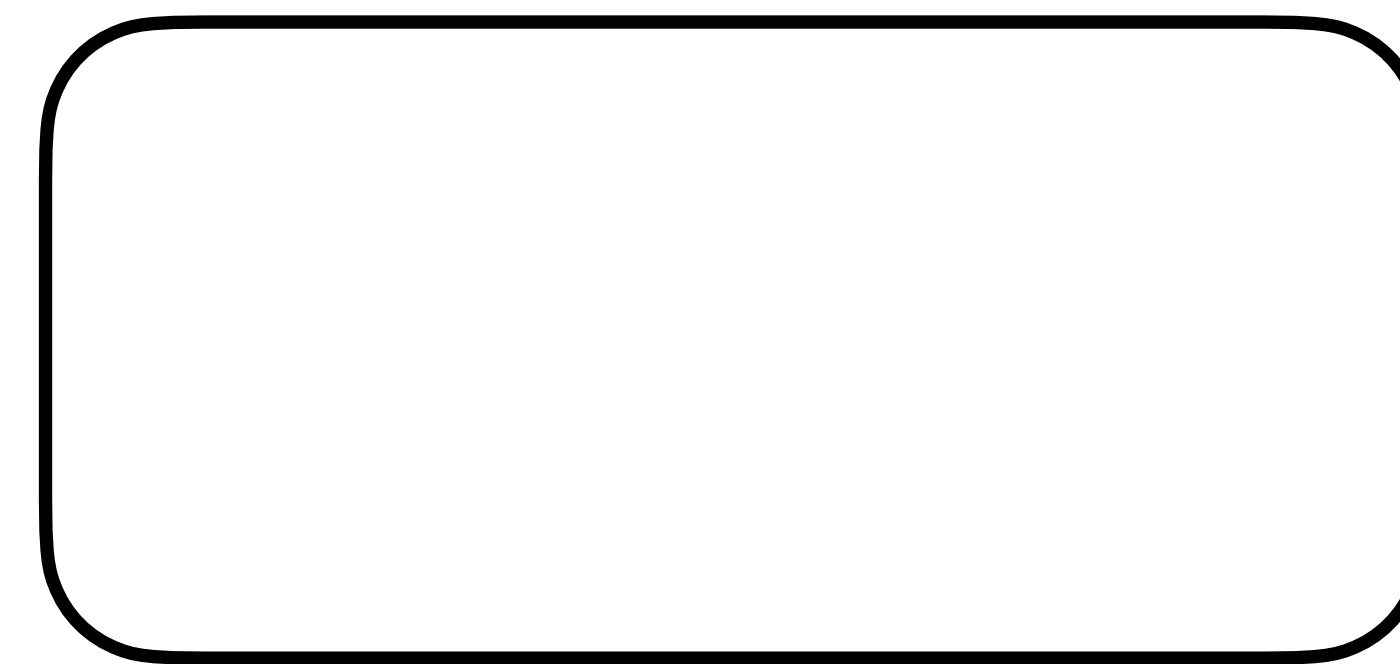
It saves us work if power fails again so we don't undo B again



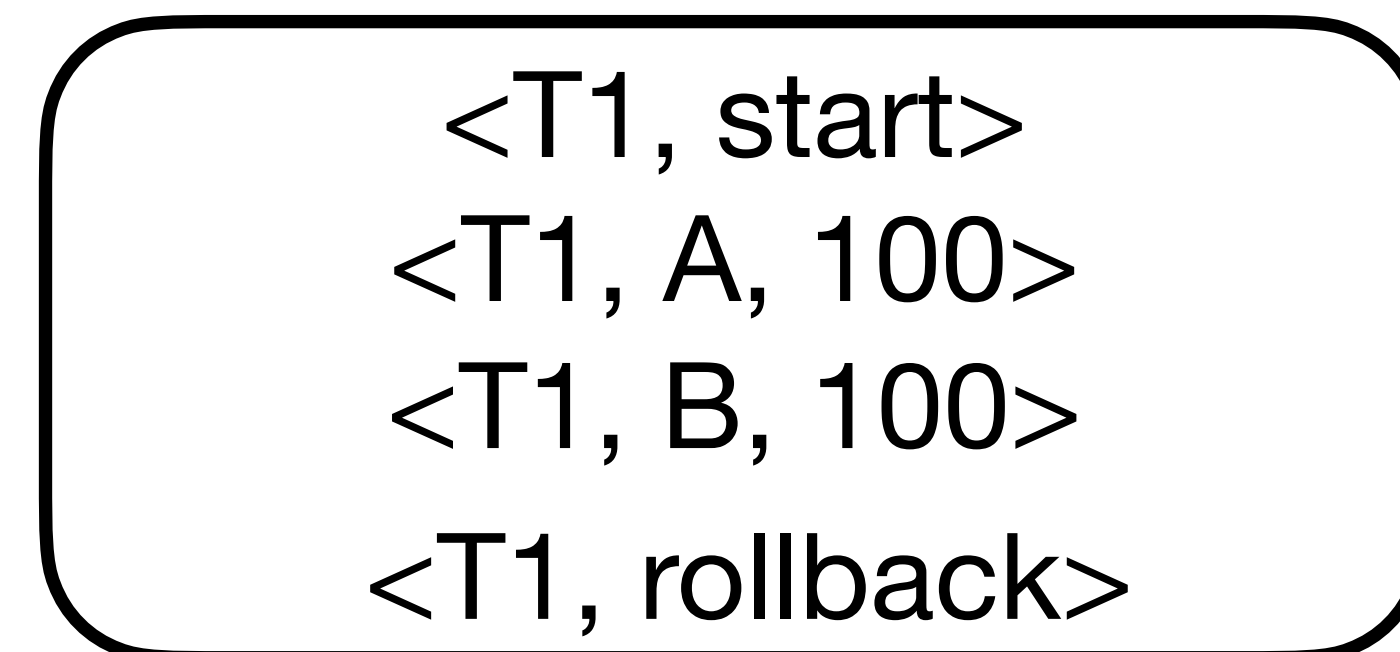
Buffer pool



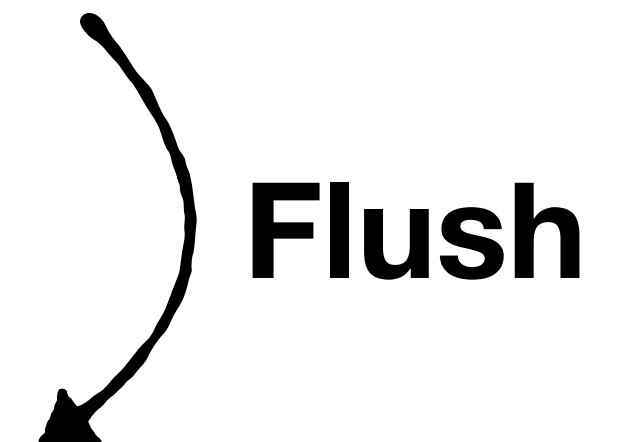
Database



Log buffer

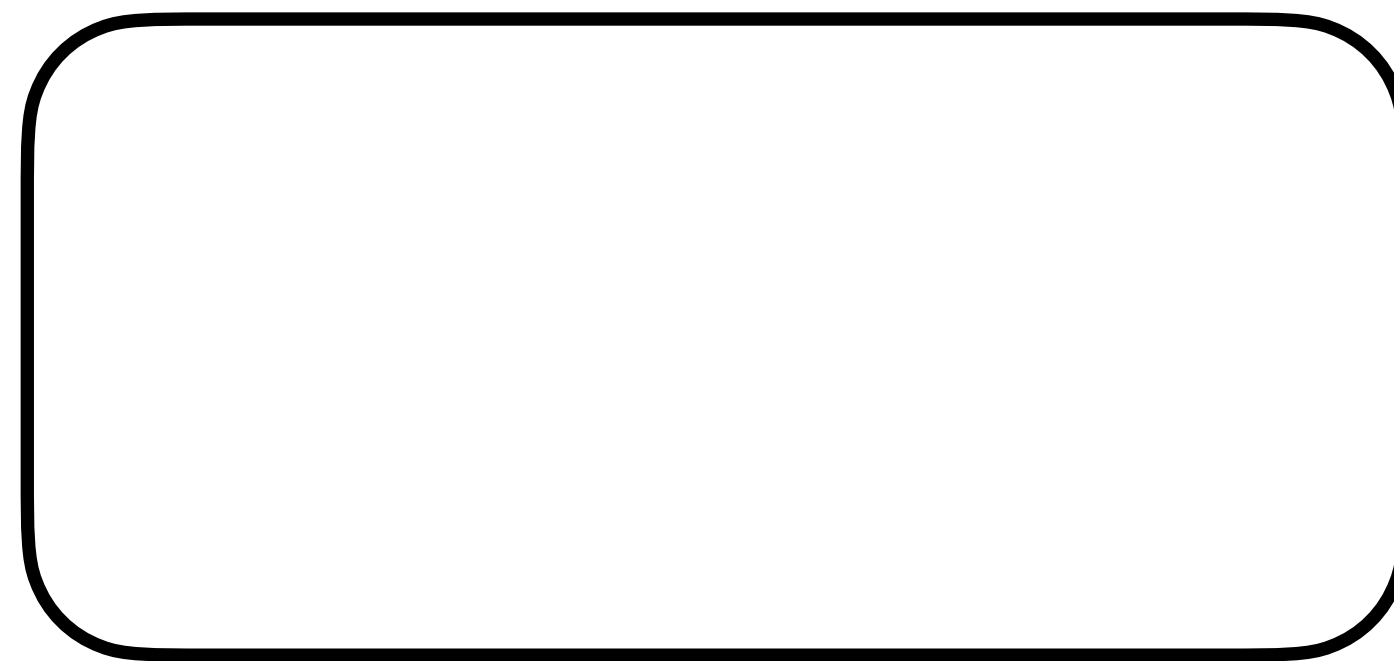


log

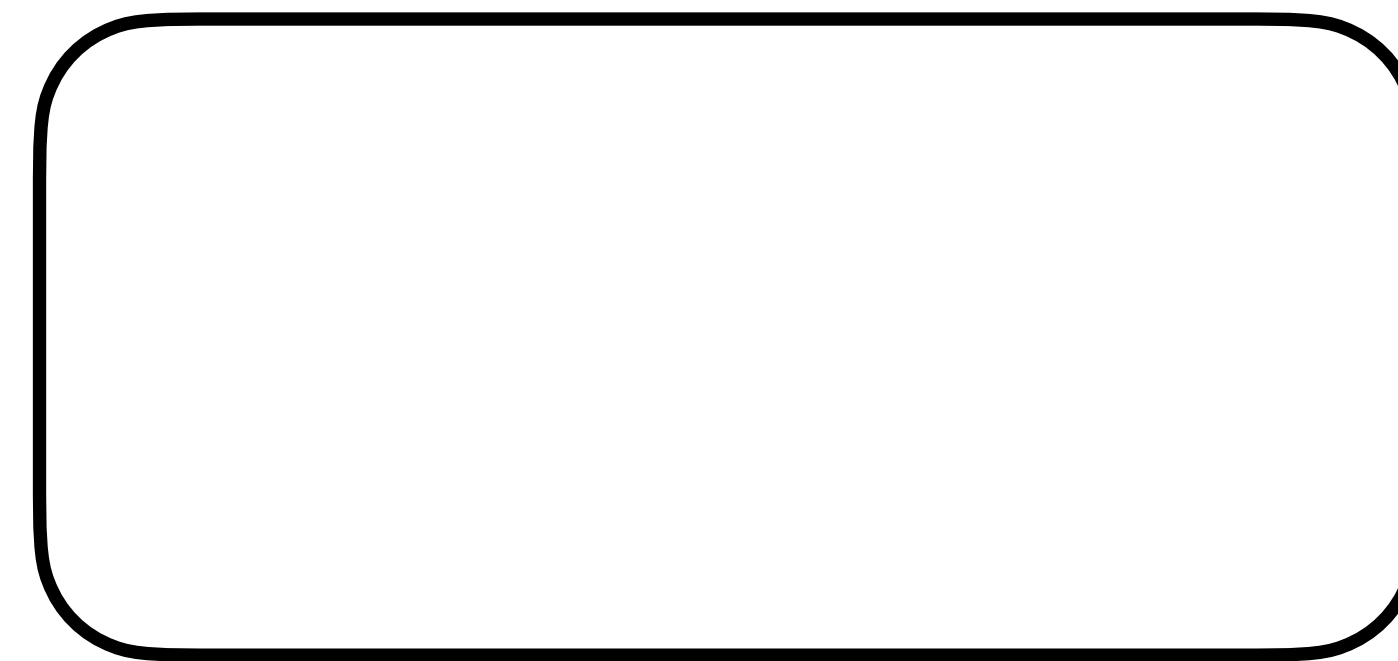


Recovery Undo logging:

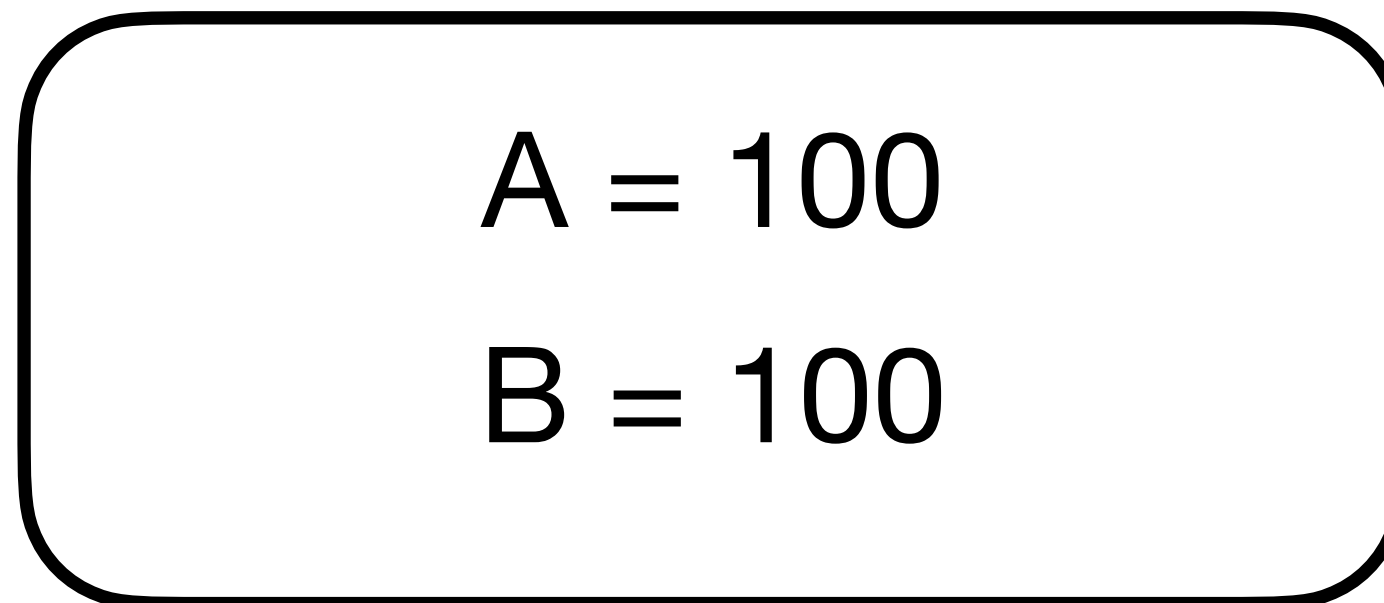
We are now done. It's as if the original transaction never executed.



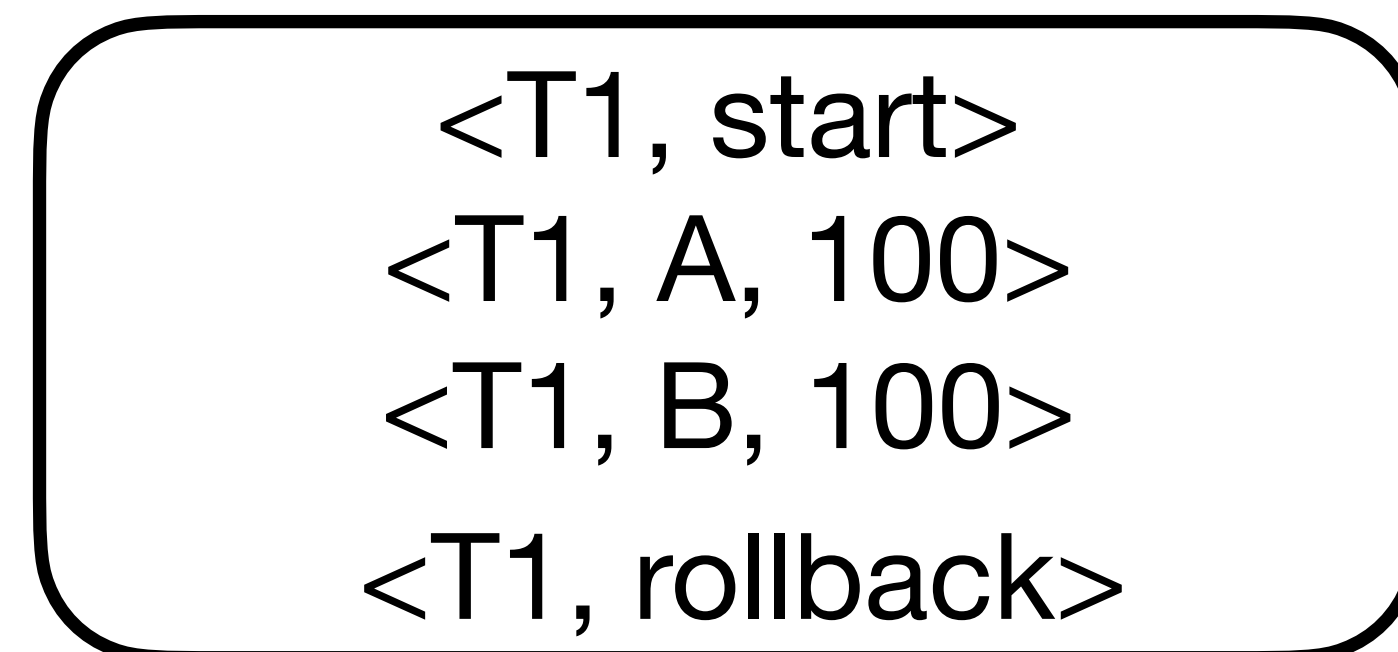
Buffer pool



Log buffer

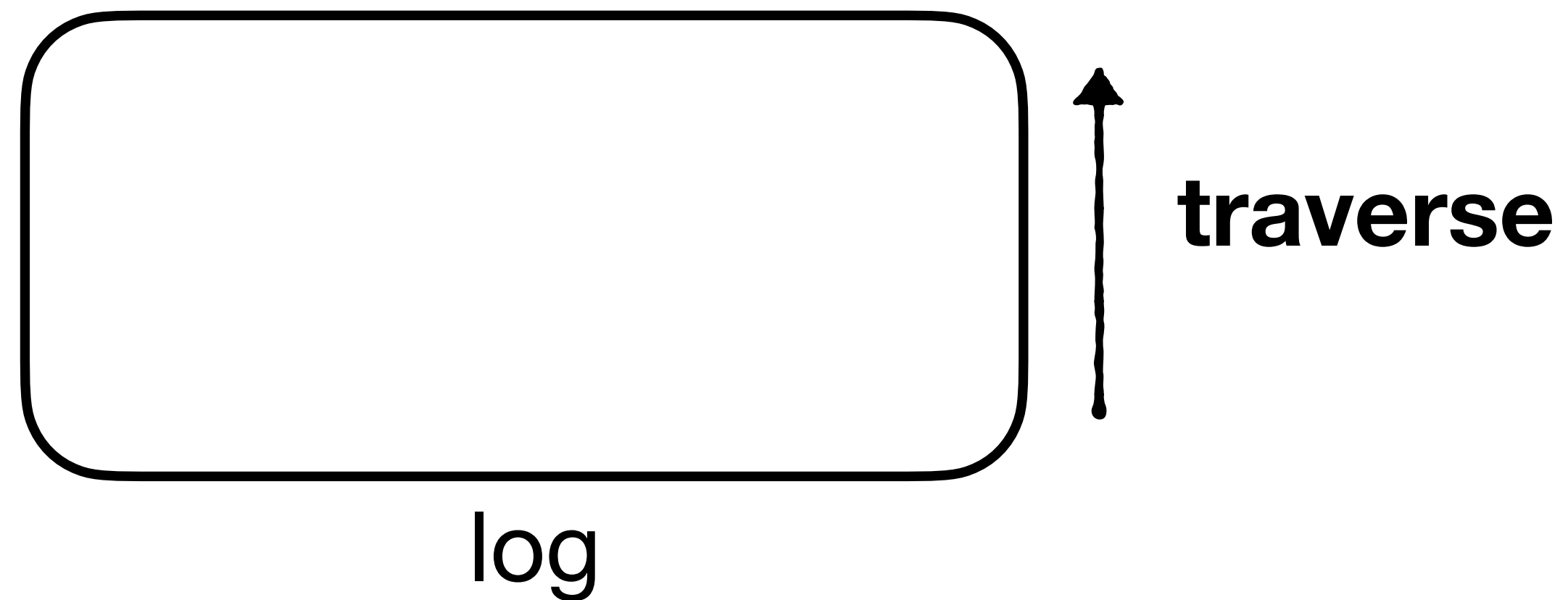


Database



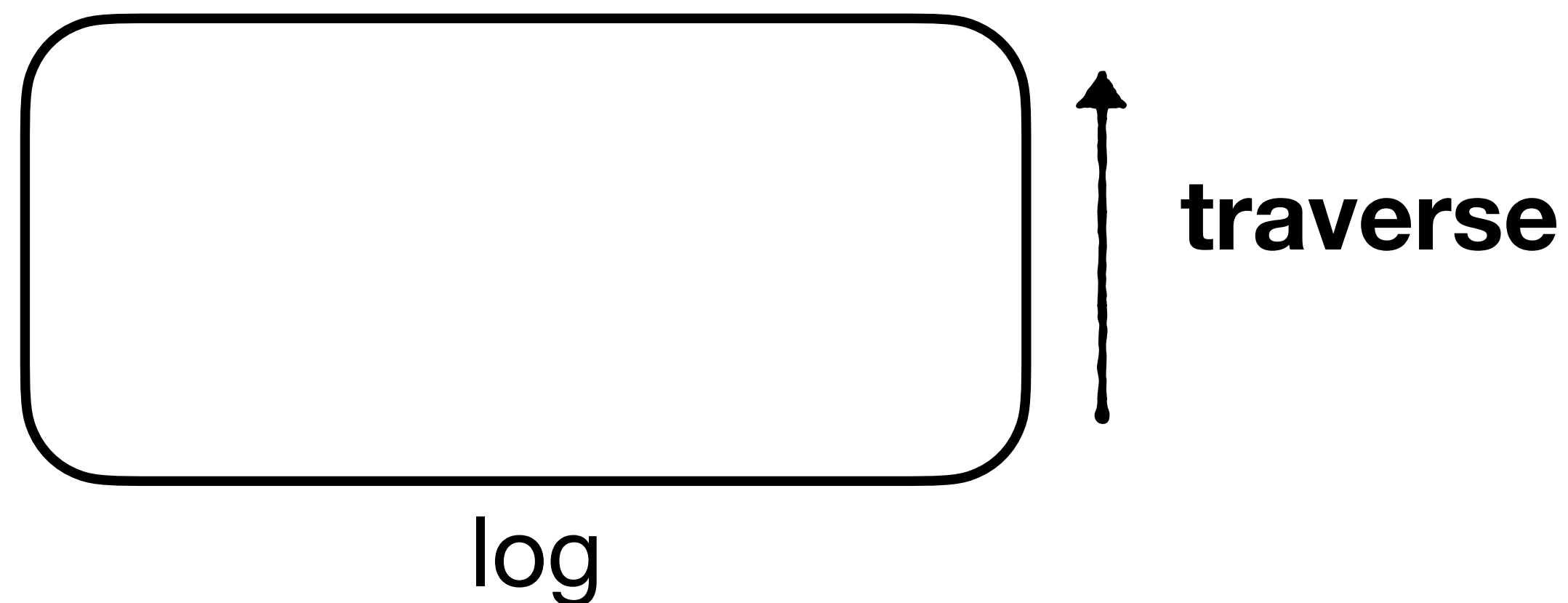
log

How much of the log must we traverse during recovery?



How much of the log must we traverse during recovery?

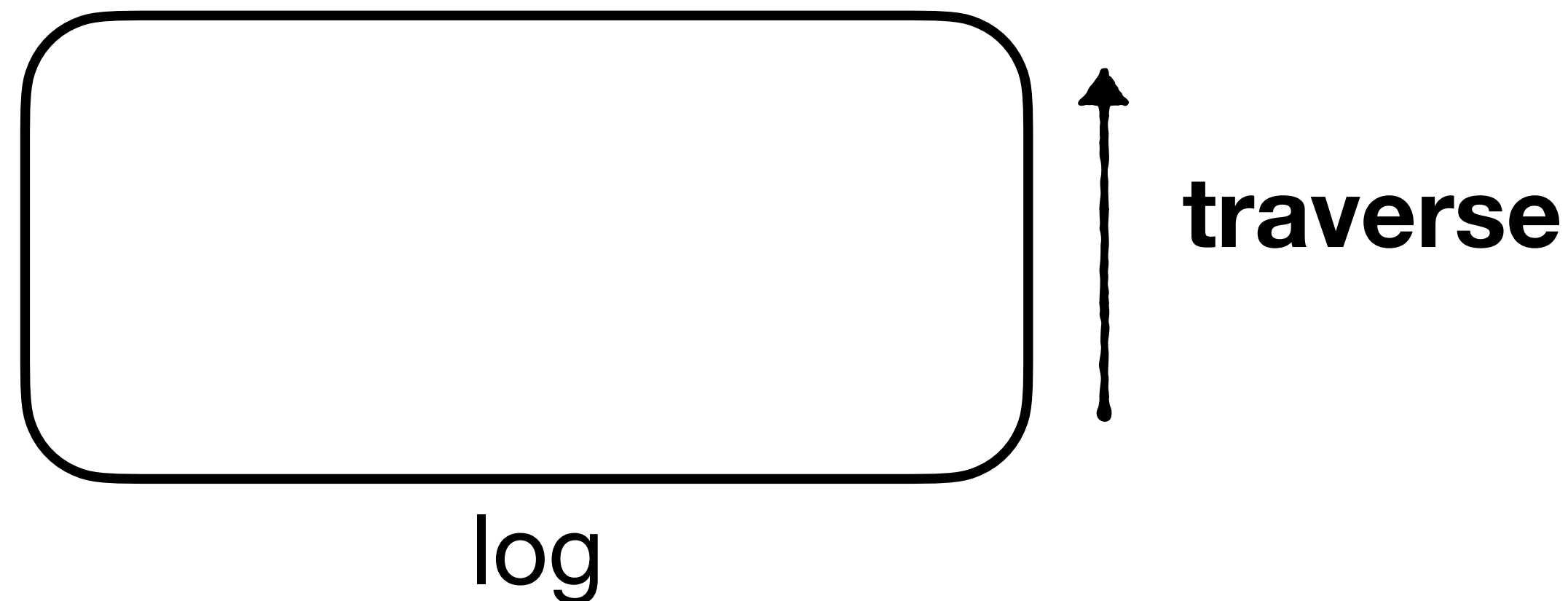
All of it, there may be a transaction from the very beginning that hasn't committed.



How much of the log must we traverse during recovery?

All of it, there may be a transaction from the very beginning that hasn't committed.

How can we bound recovery time without having to traverse the whole log?



Checkpointing



Log buffer



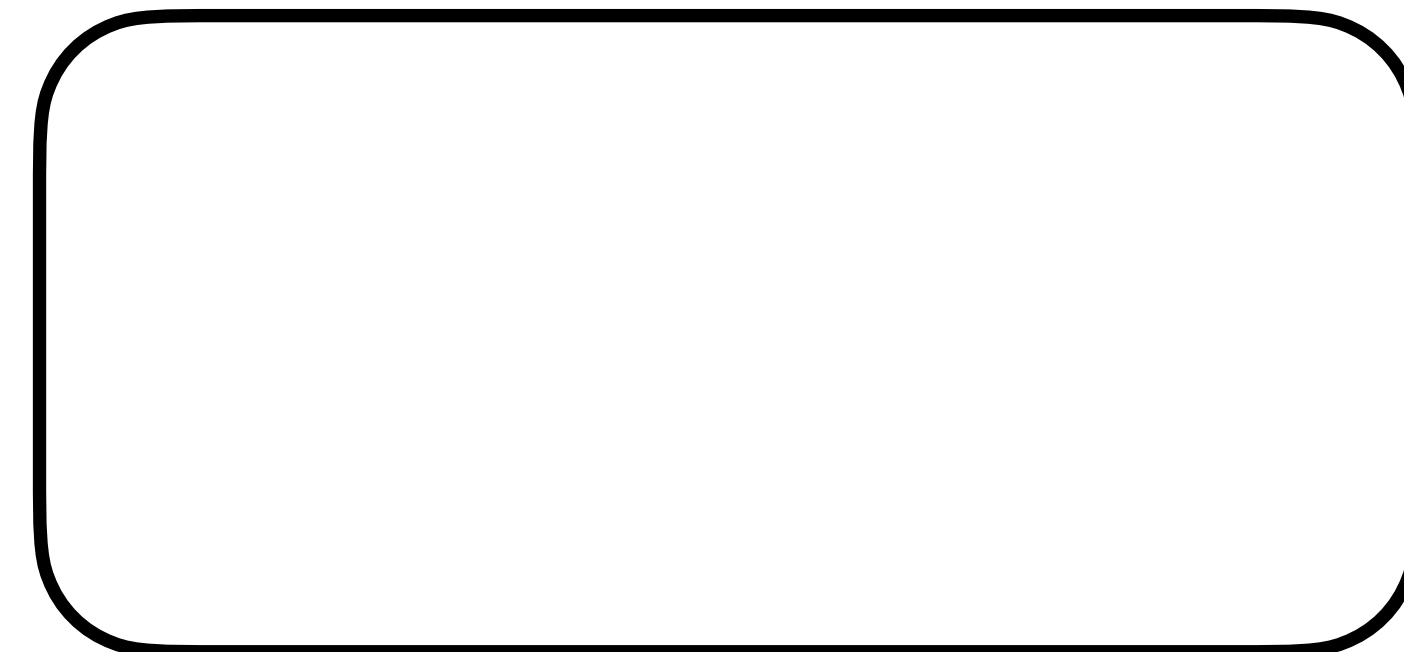
log

Checkpointing

(1) Stop accepting new transactions & wait until all existing ones commit



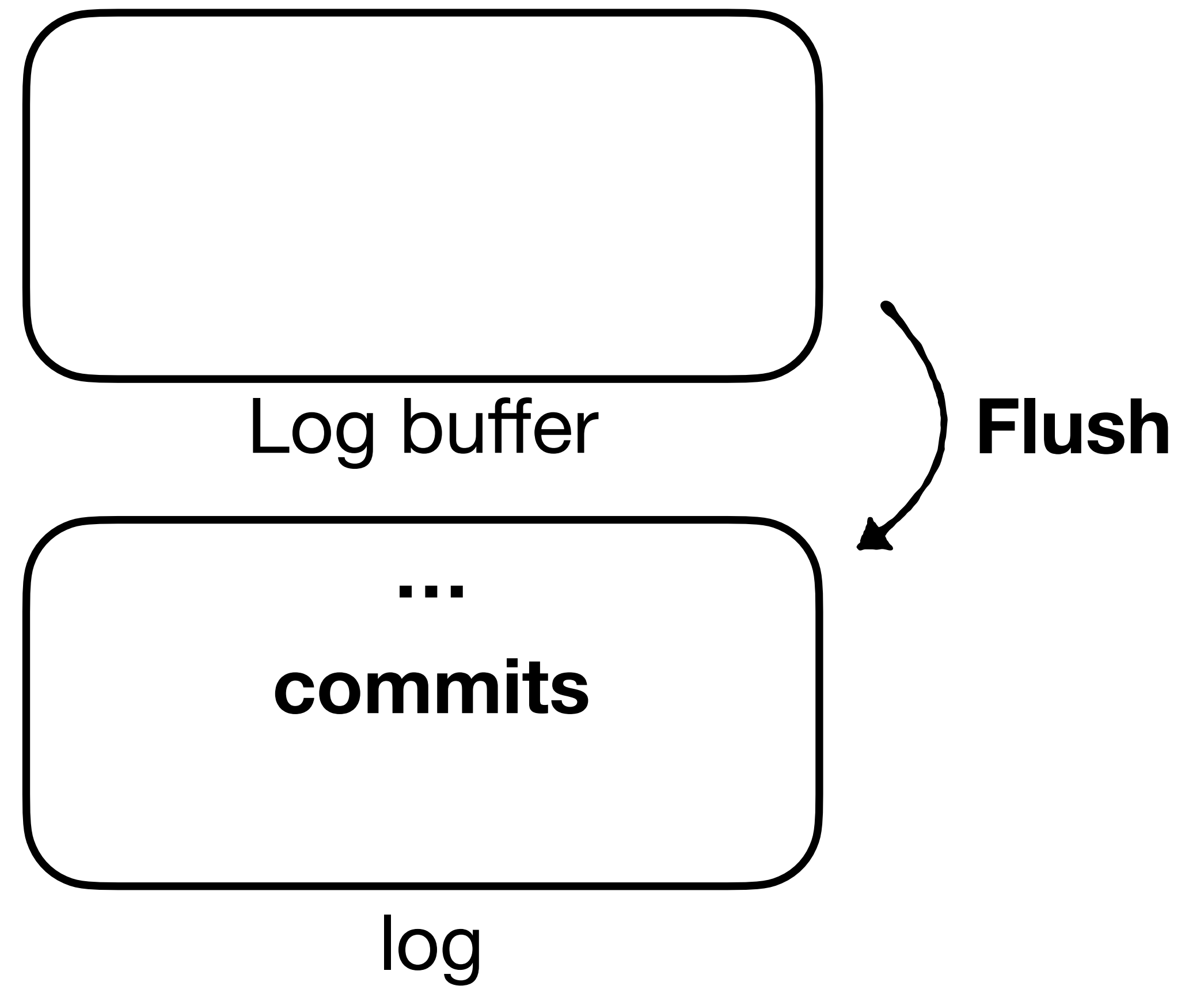
Log buffer



log

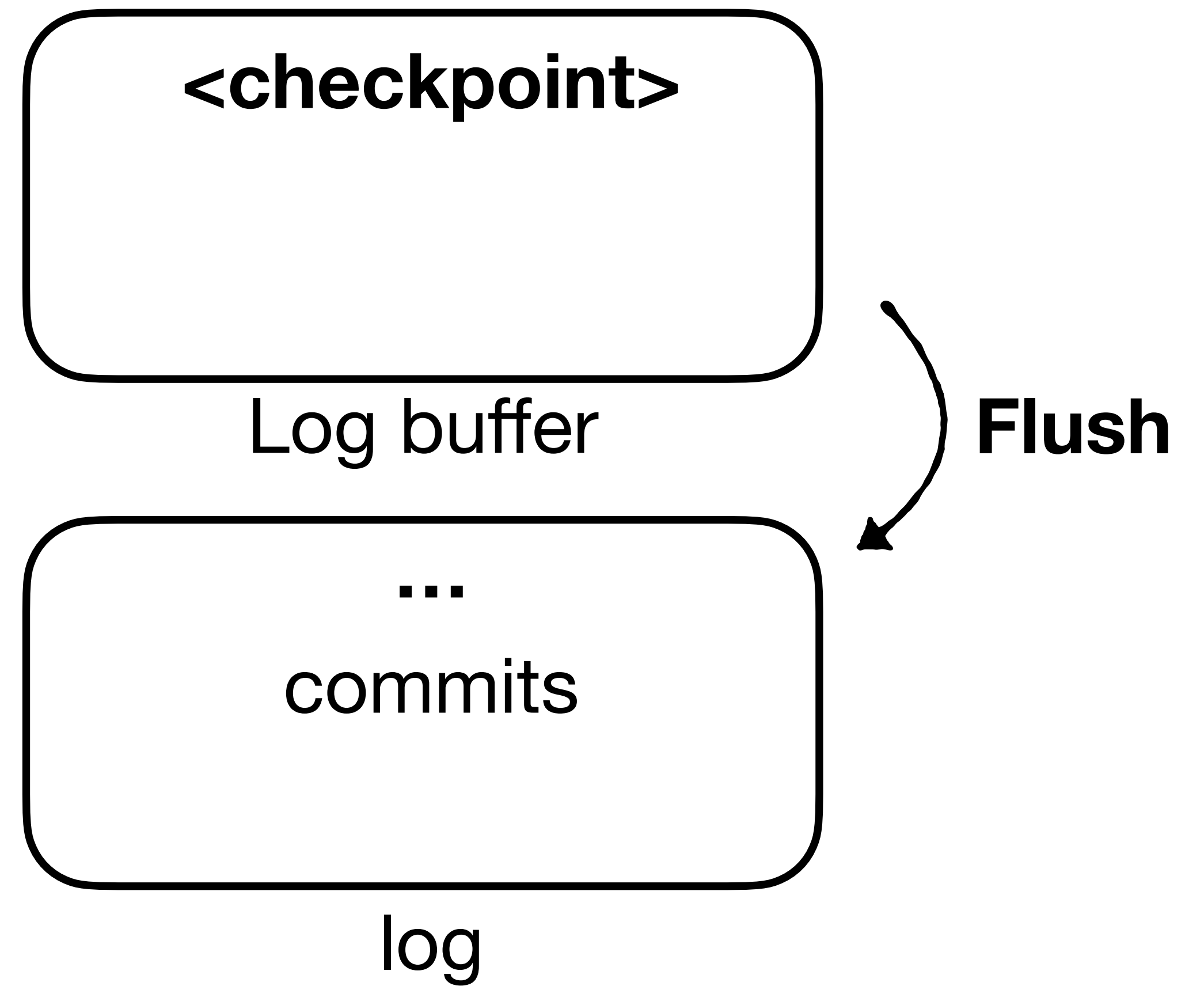
Checkpointing

- (1) Stop accepting new transactions & wait until all existing ones commit
- (2) flush log to storage**



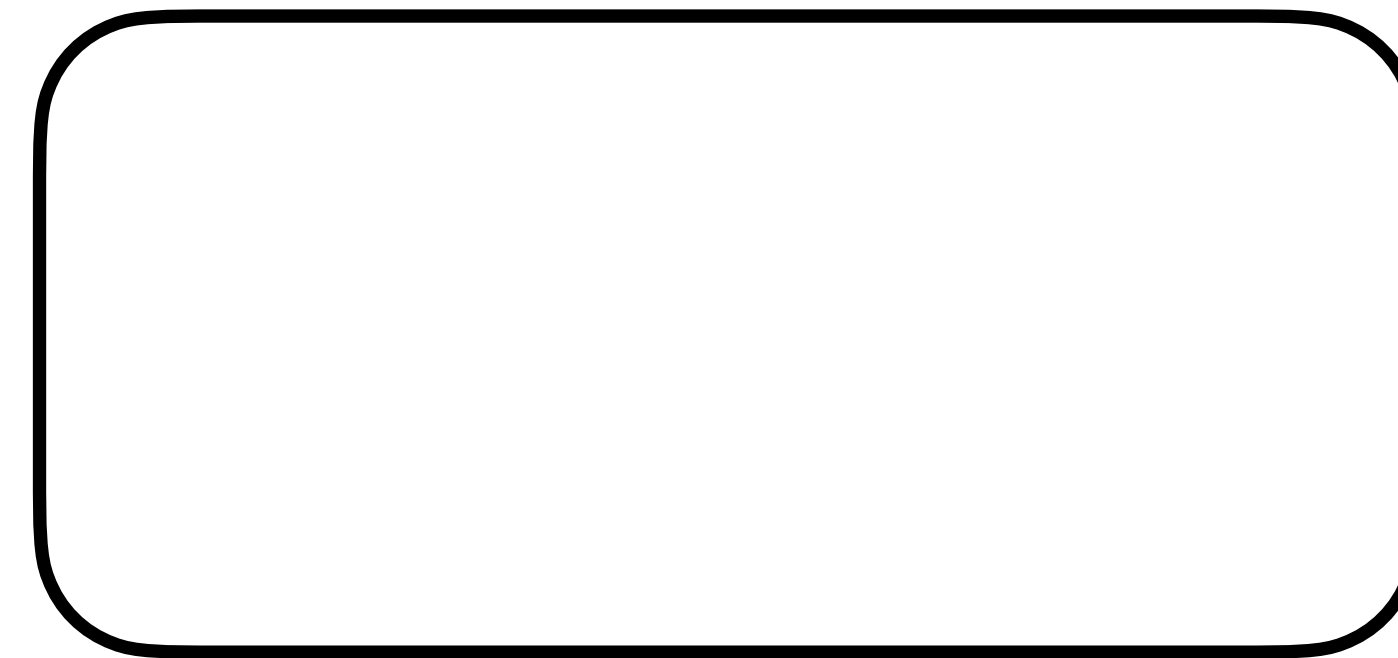
Checkpointing

- (1) Stop accepting new transactions & wait until all existing ones commit
- (2) flush log to storage
- (3) add checkpoint record to log buffer and flush again**

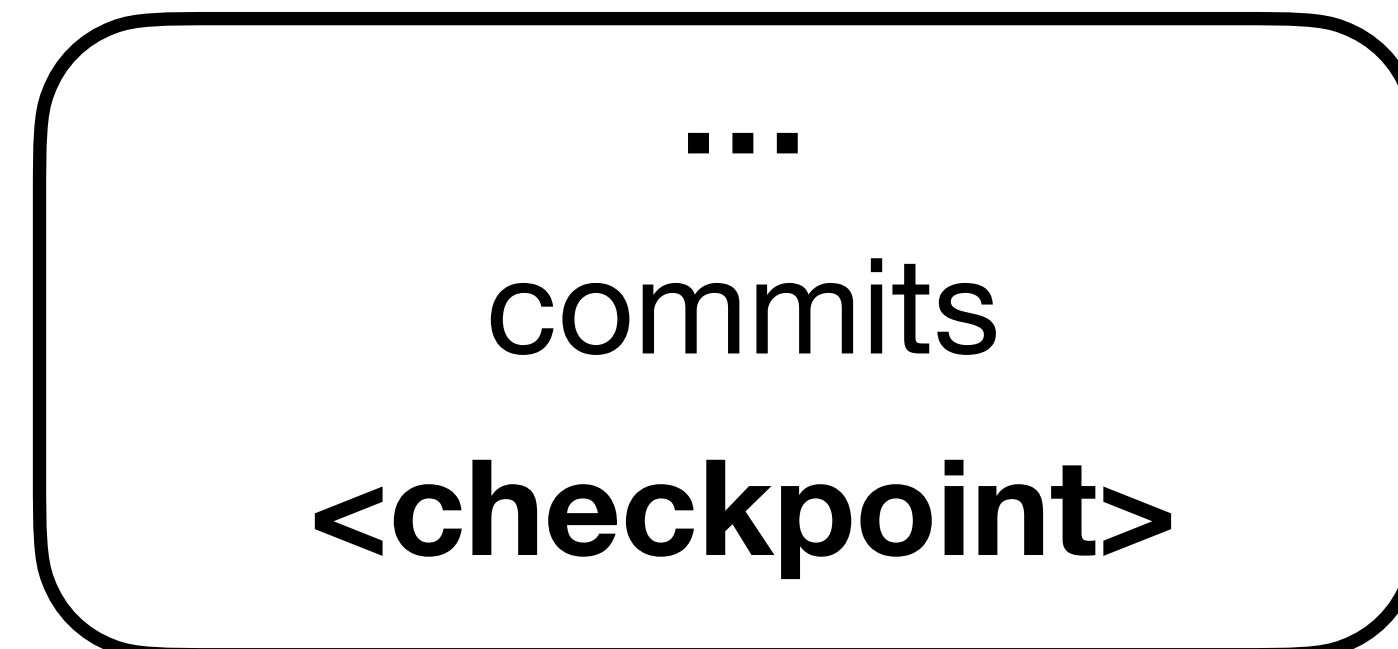


Checkpointing

- (1) Stop accepting new transactions & wait until all existing ones commit
- (2) flush log to storage
- (3) add checkpoint record to log buffer and flush again



Log buffer



log

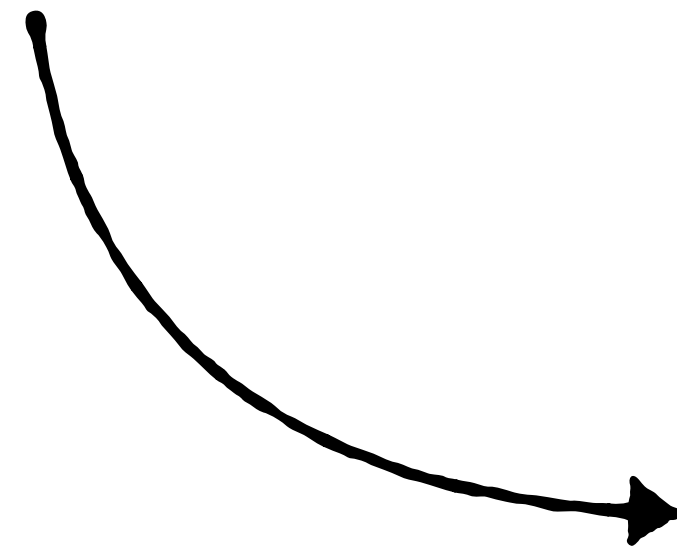
During next recovery, only traverse log up to first checkpoint record we encounter

Problems with UNDO Logging?

- (1) write before-image for any changed value to log buffer
- (2) flush log
- (3) force the changed data into storage
- (4) add commit record to log buffer & flush

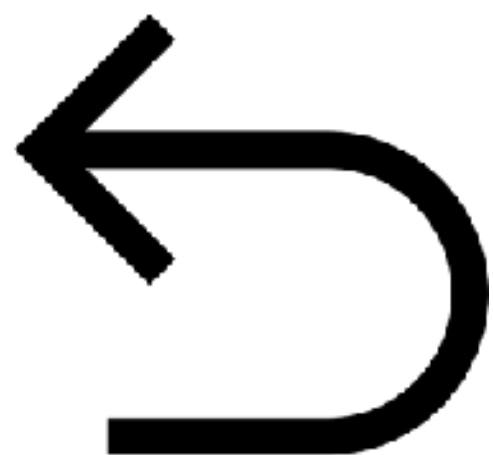
Problems with UNDO Logging?

Many random I/Os



- (1) write before-image for any changed value to log buffer
- (2) flush log
- (3) force the changed data into storage**
- (4) add commit record to log buffer & flush

Undo



Redo



Redo/Redo



random I/Os

Redo logging:

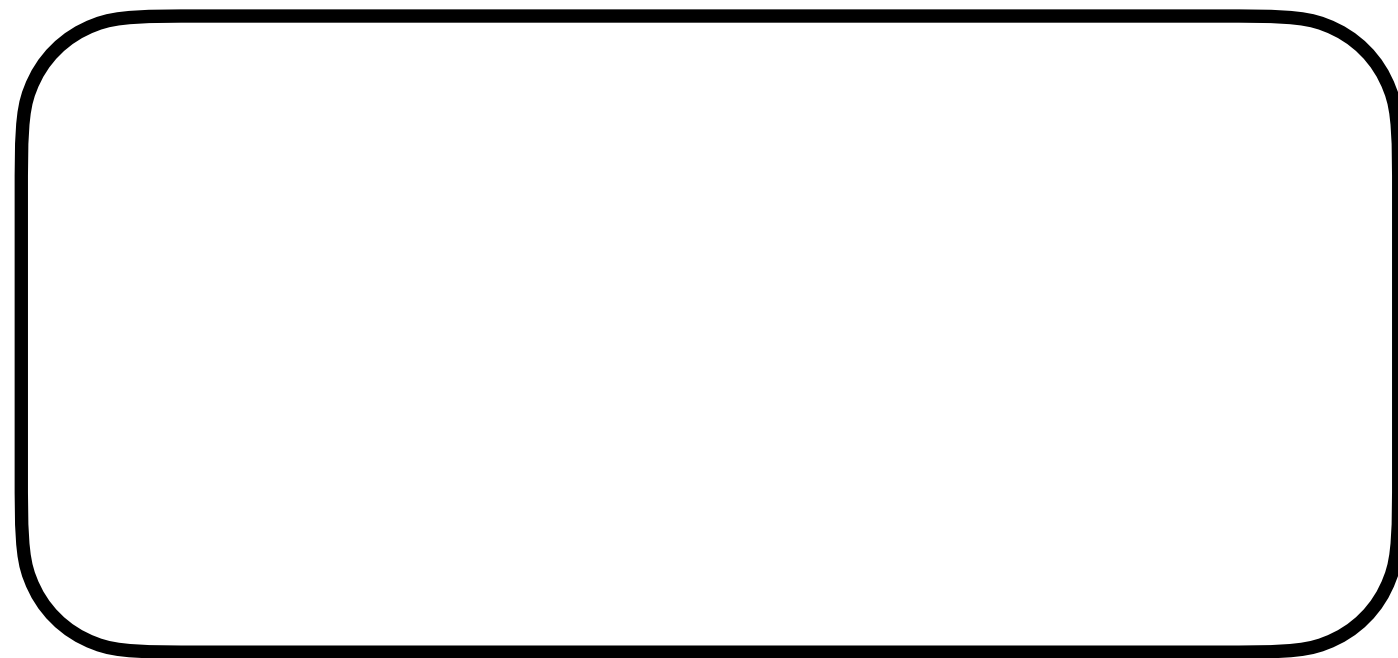
- (1) write **after**-image for any changed value to log buffer
- (2) keep changed data in buffer pool**
- (3) add commit record to log buffer & flush
- (4) flush changed data to storage at leisure**

Redo logging:

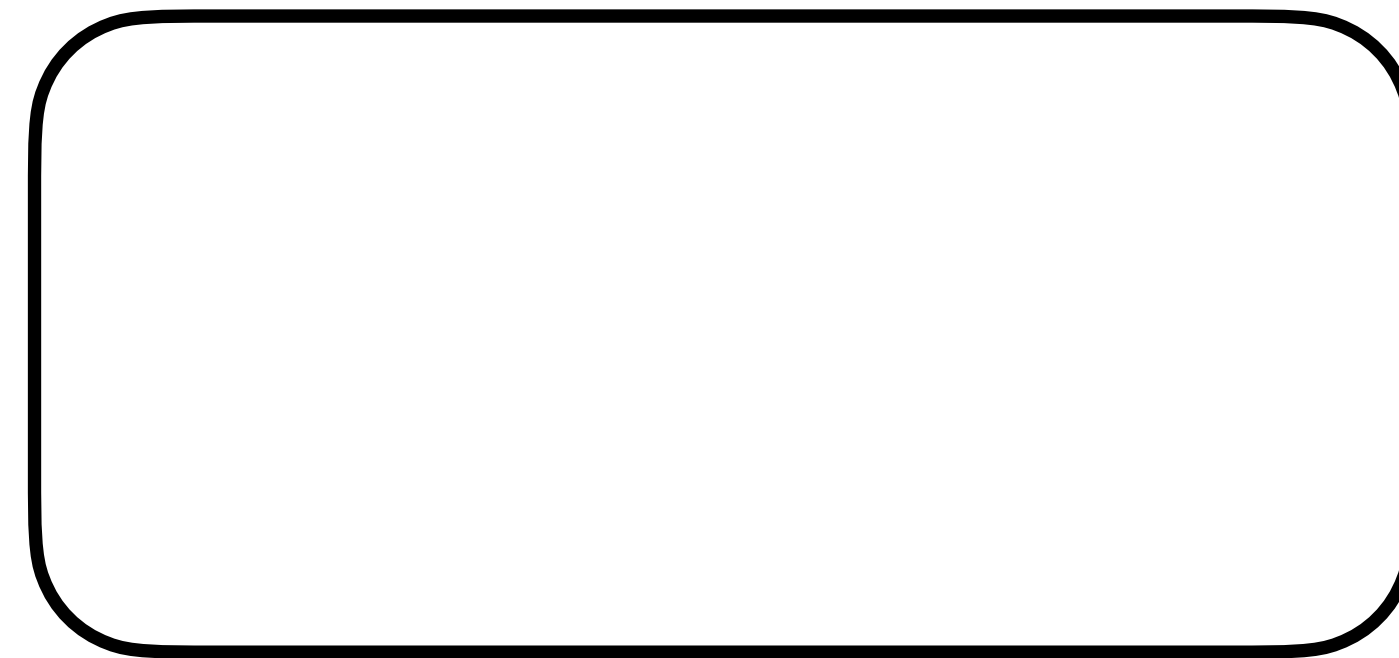
Transaction

$A = A - 100$

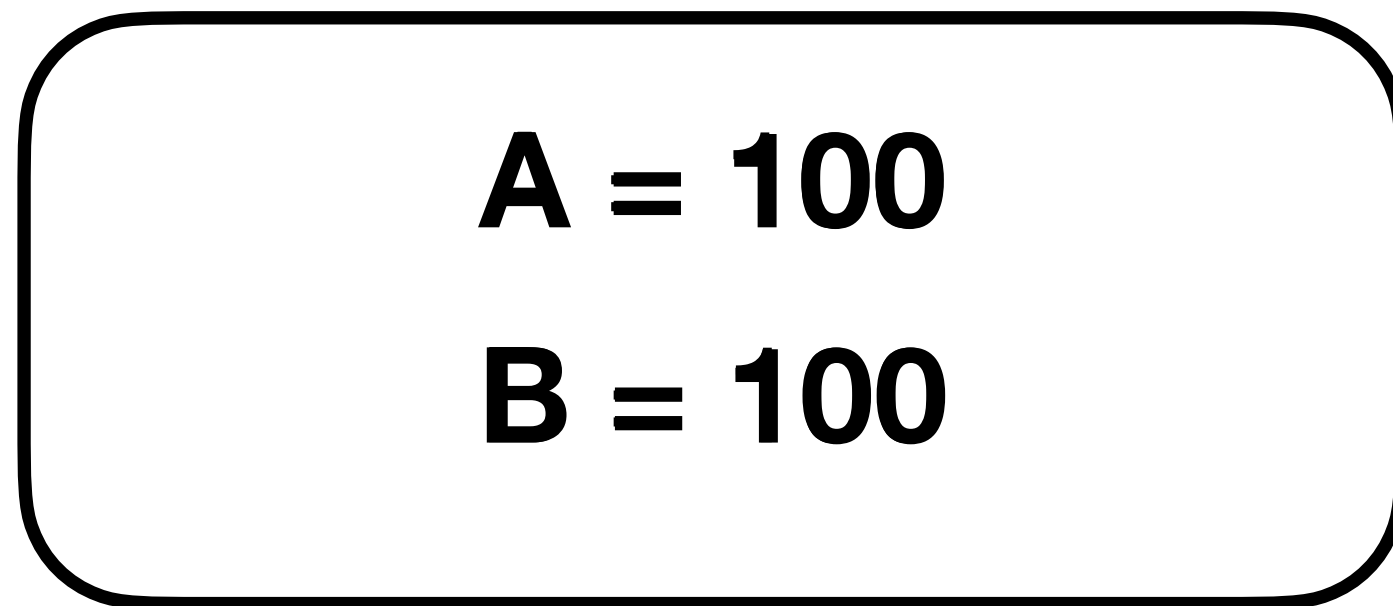
$B = B + 100$



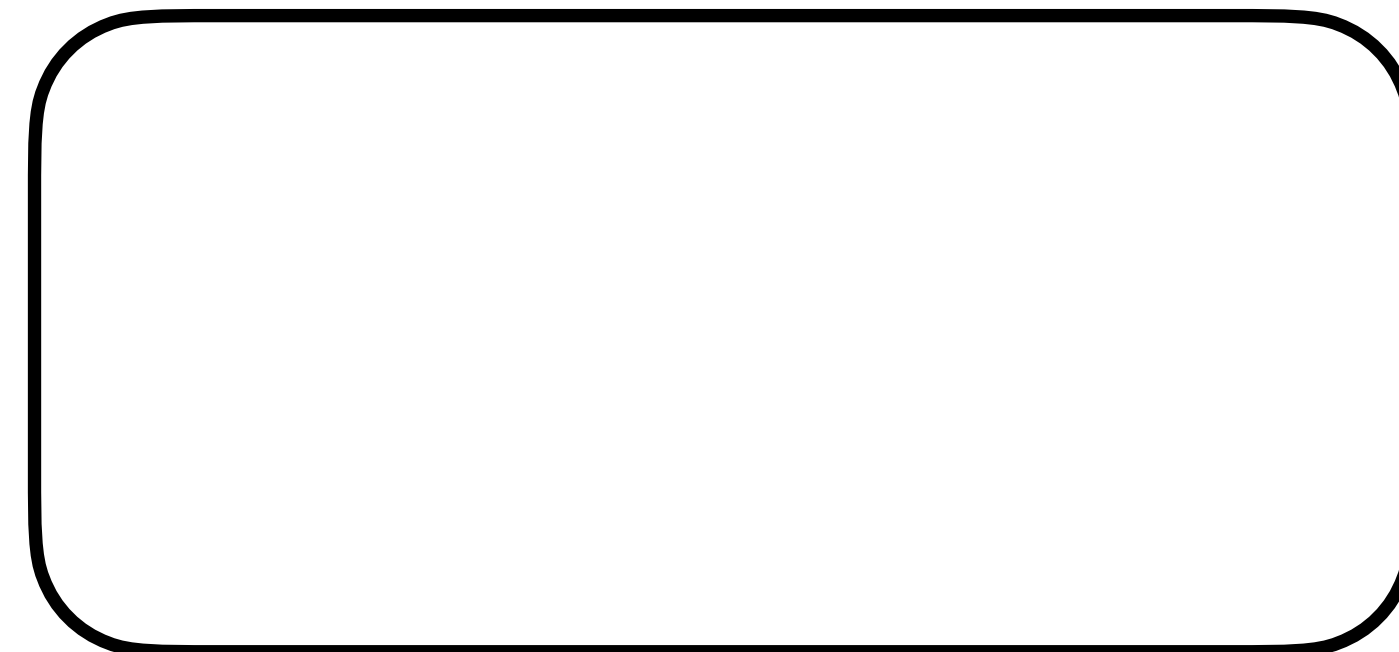
Buffer pool



Log buffer



Database



log

Redo logging:

Transaction

$A = A - 100$

$B = B + 100$

$A = 100$

$B = 100$

Buffer pool

<T1, start>

Log buffer

$A = 100$

$B = 100$

Database

log

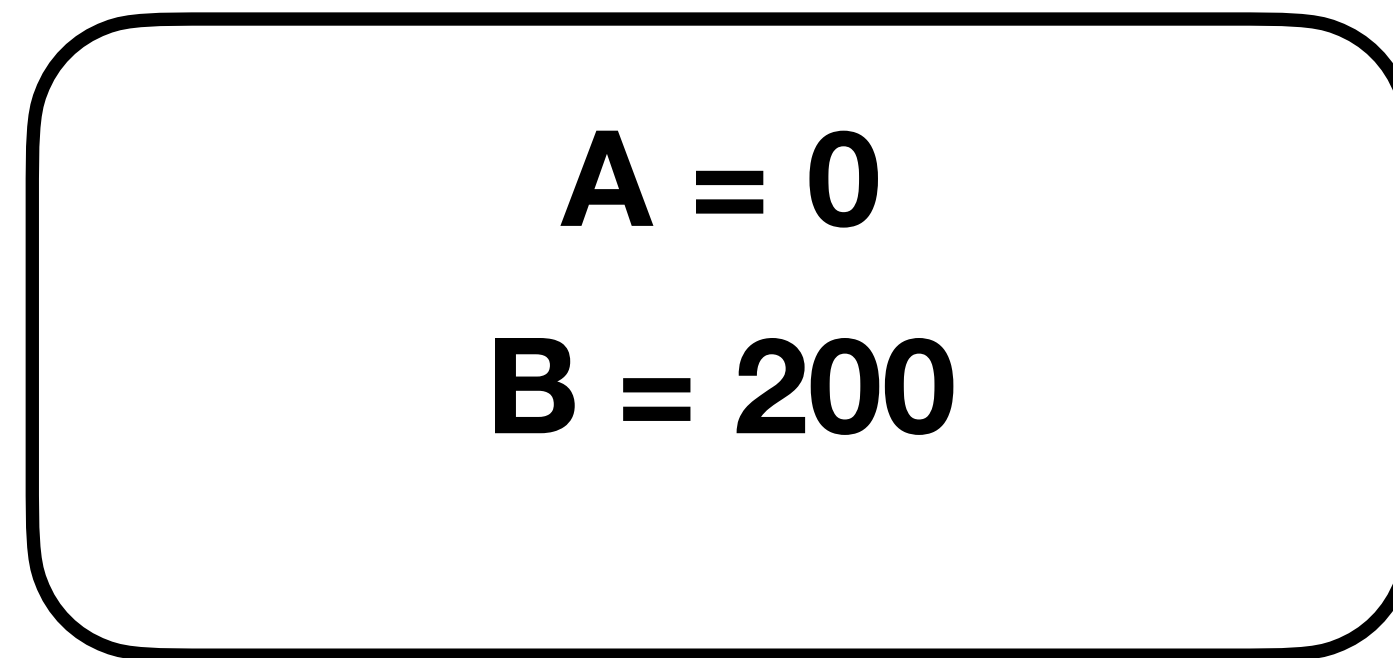
Redo logging:

Transaction

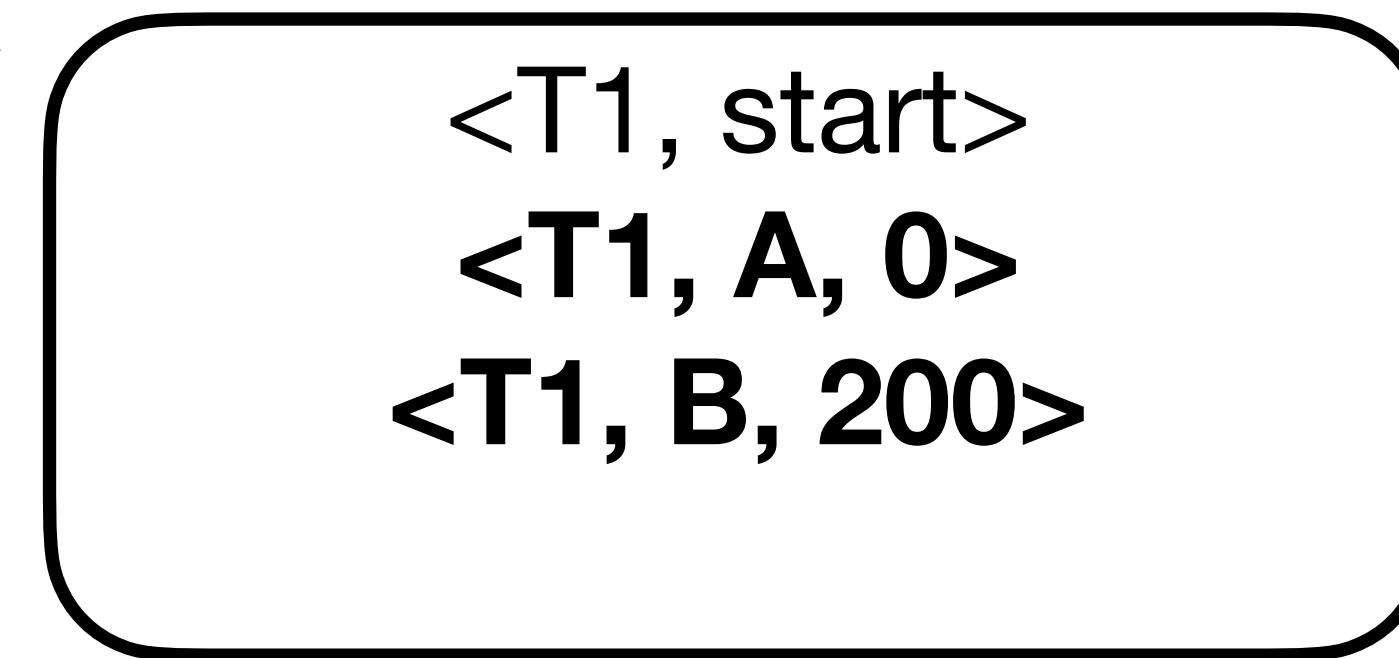
$A = A - 100$

$B = B + 100$

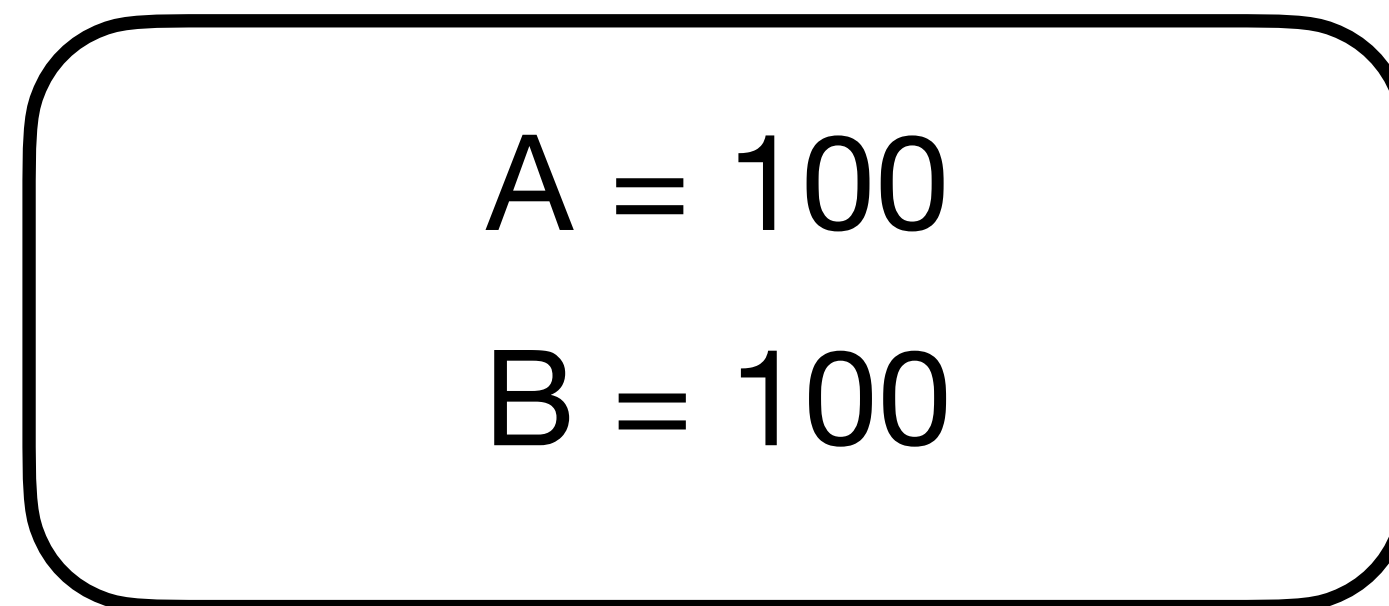
Write new values to log



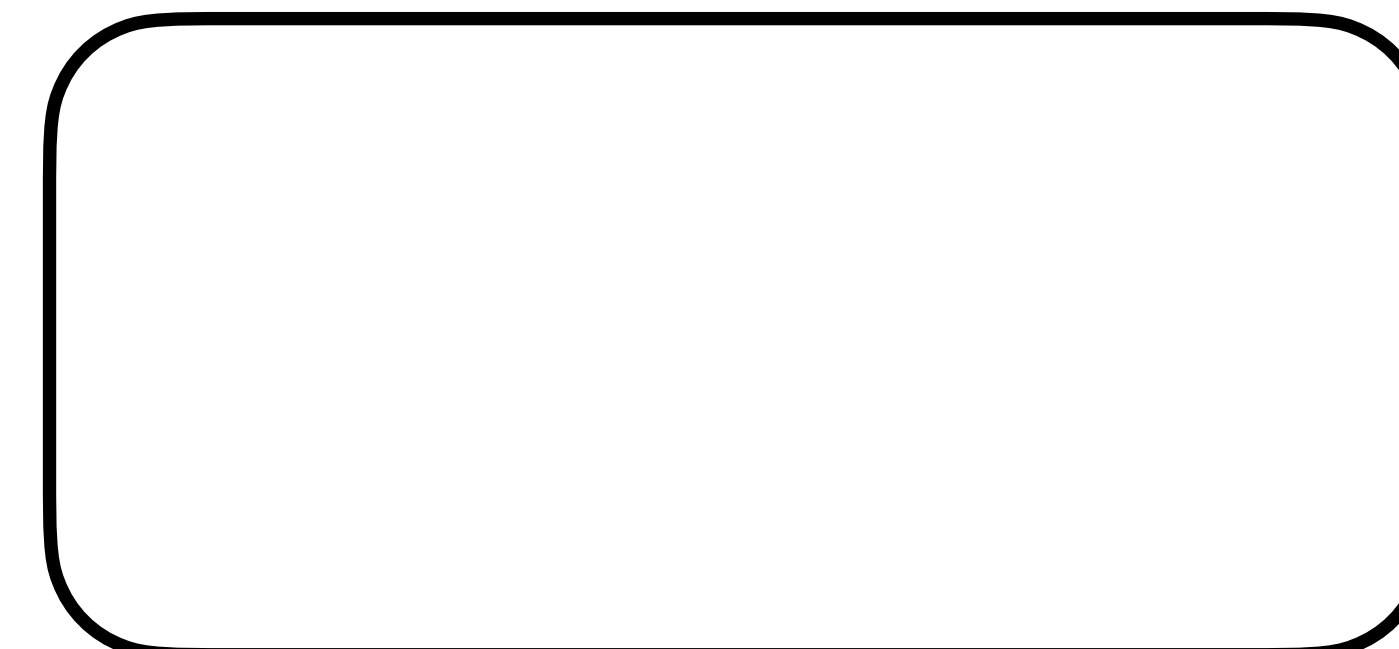
Buffer pool



Log buffer



Database



log

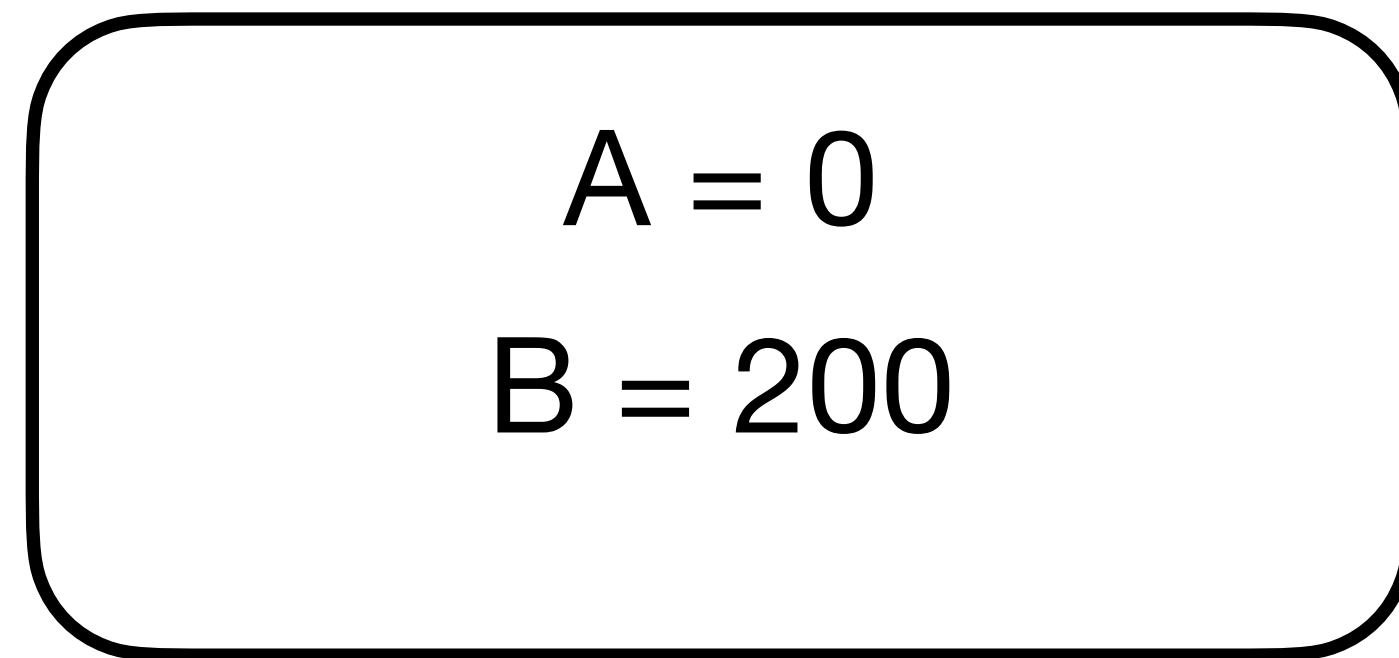
Redo logging:

Transaction

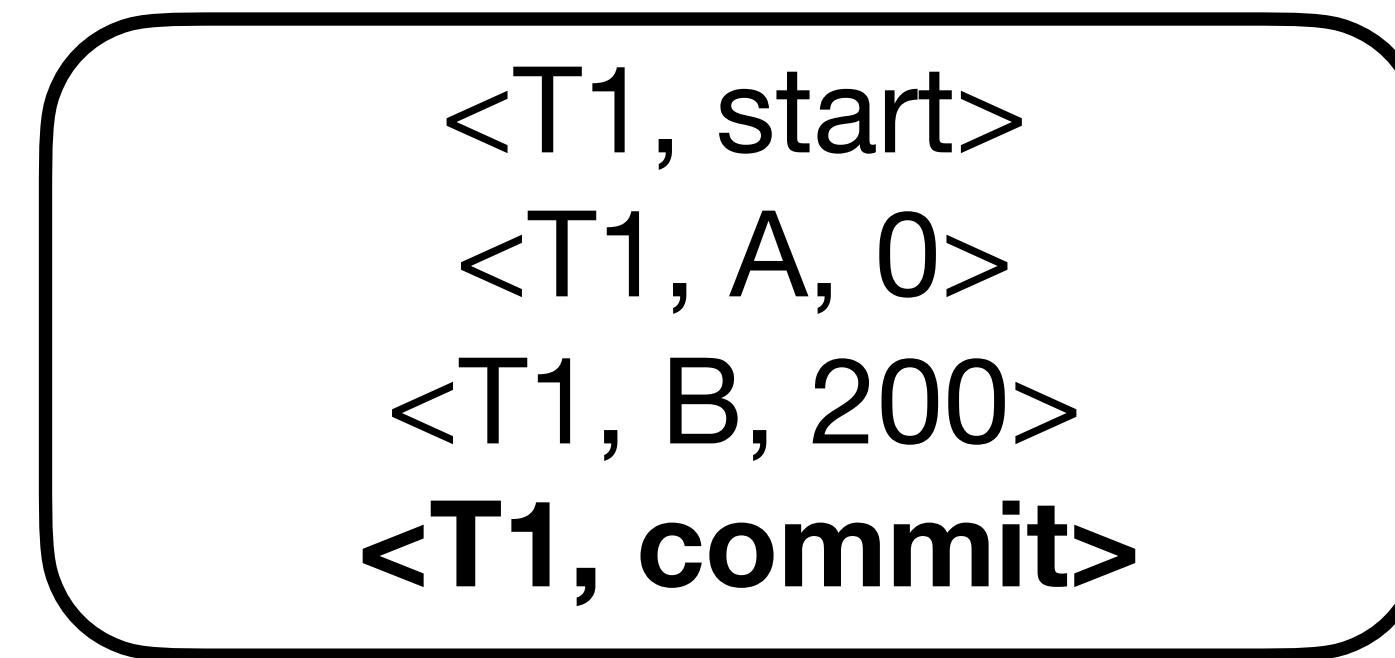
$A = A - 100$

$B = B + 100$

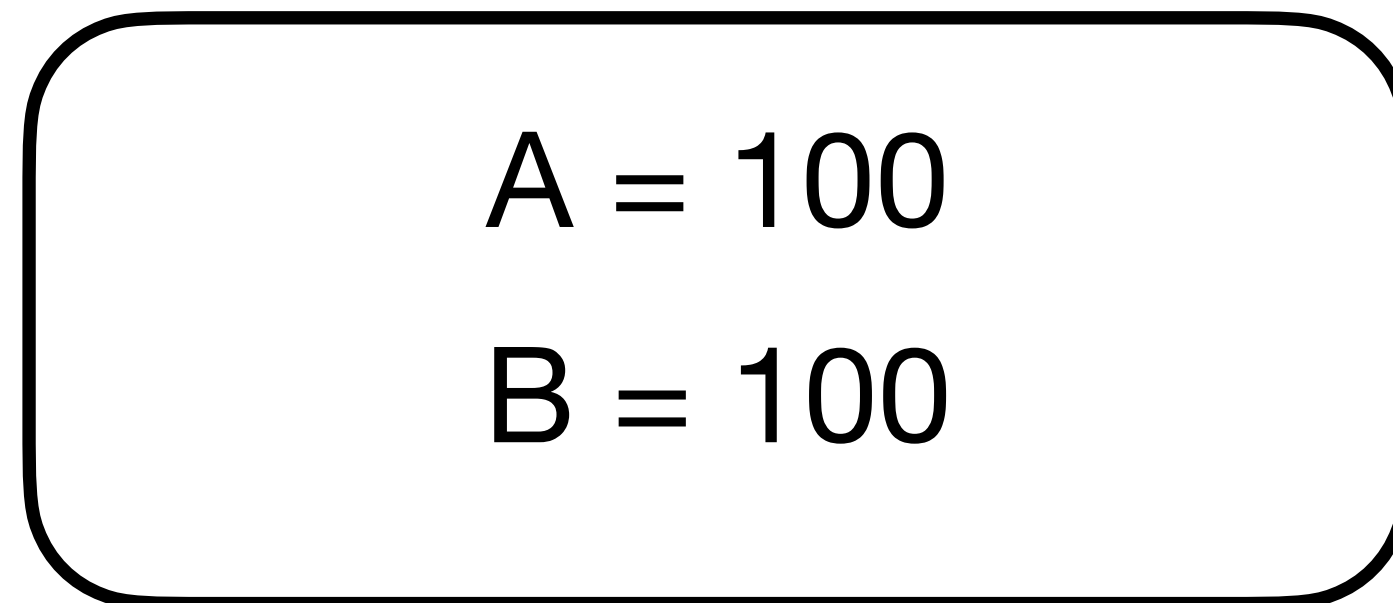
Write commit record
(diff from undo logging)



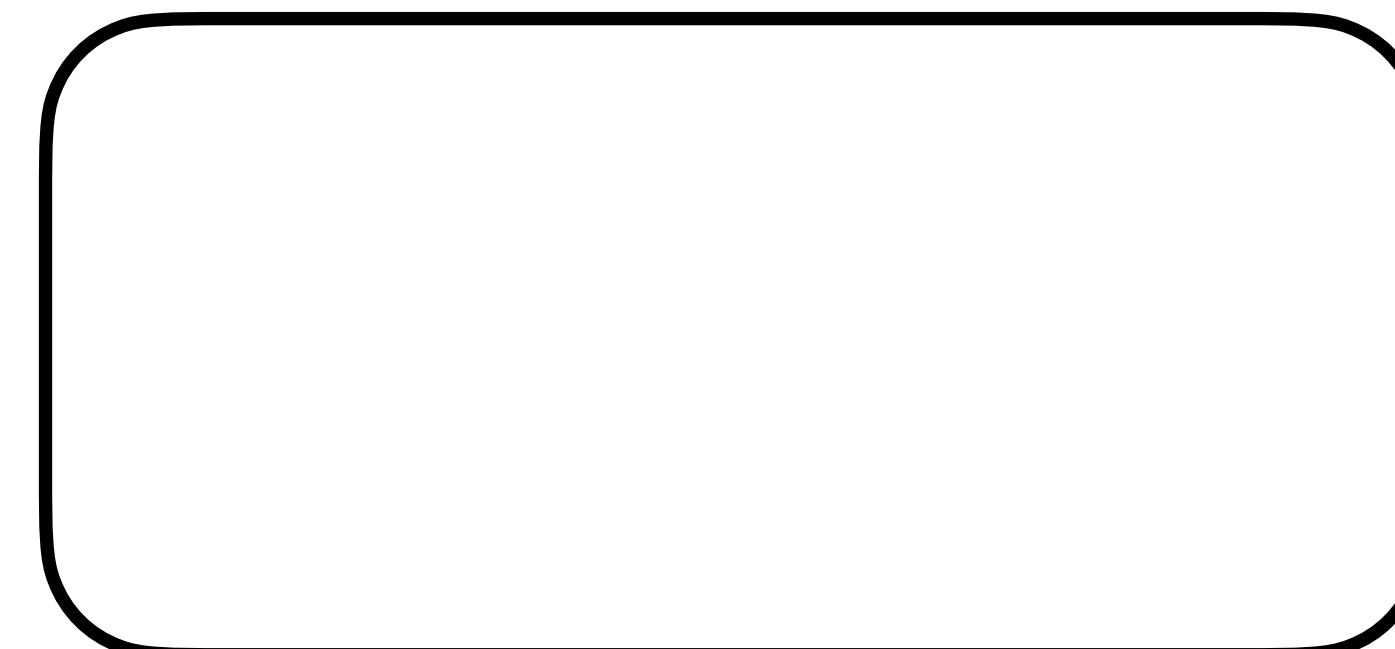
Buffer pool



Log buffer



Database



log

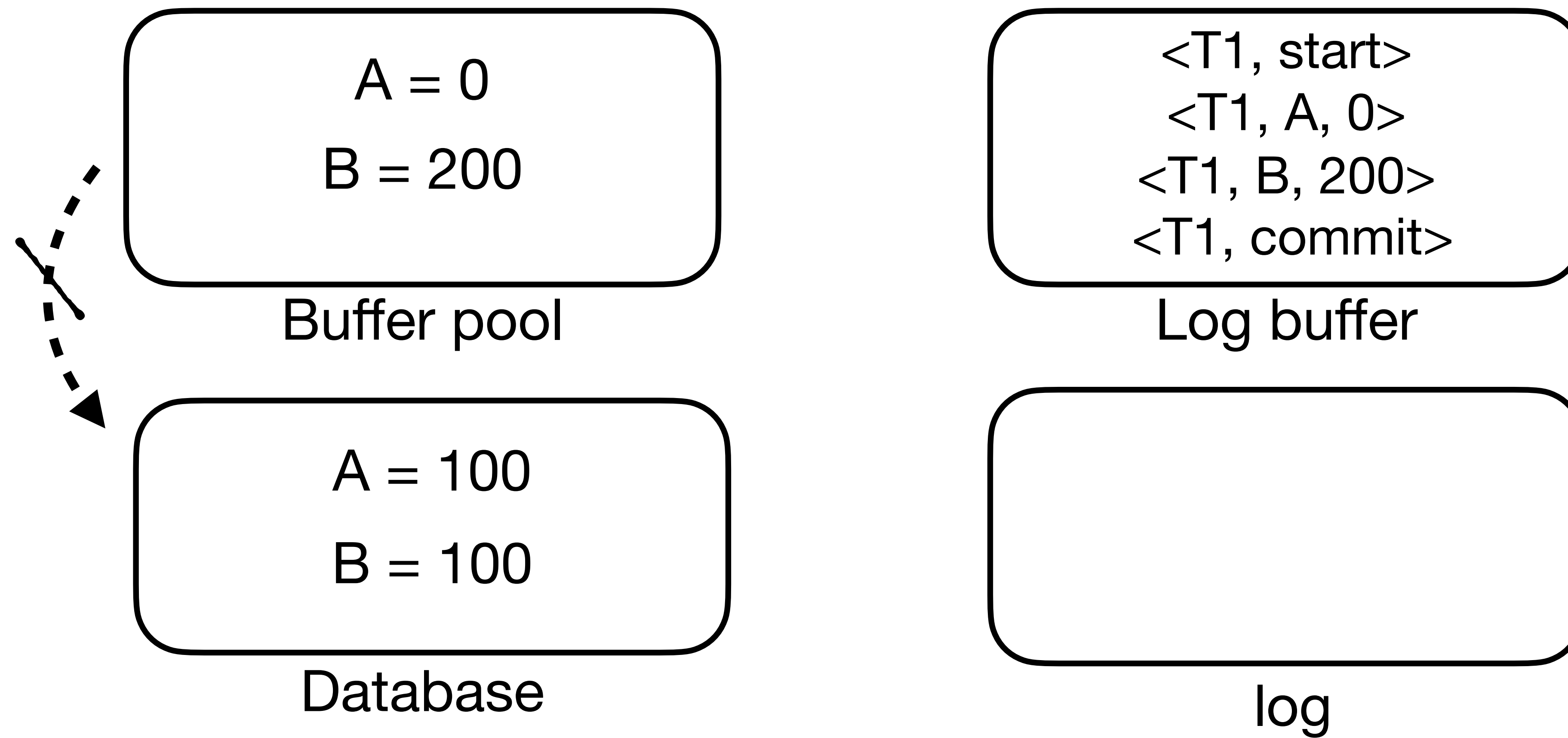
Redo logging:

Transaction

$A = A - 100$

$B = B + 100$

Not allowed to evict until log buffer flushes



Redo logging:

Transaction

$A = A - 100$

$B = B + 100$

$A = 0$

$B = 200$

Buffer pool

$A = 100$

$B = 100$

Database

$\langle T1, \text{start} \rangle$

$\langle T1, A, 0 \rangle$

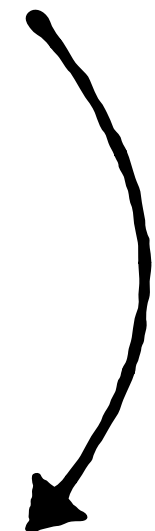
$\langle T1, B, 200 \rangle$

$\langle T1, \text{commit} \rangle$

Log buffer

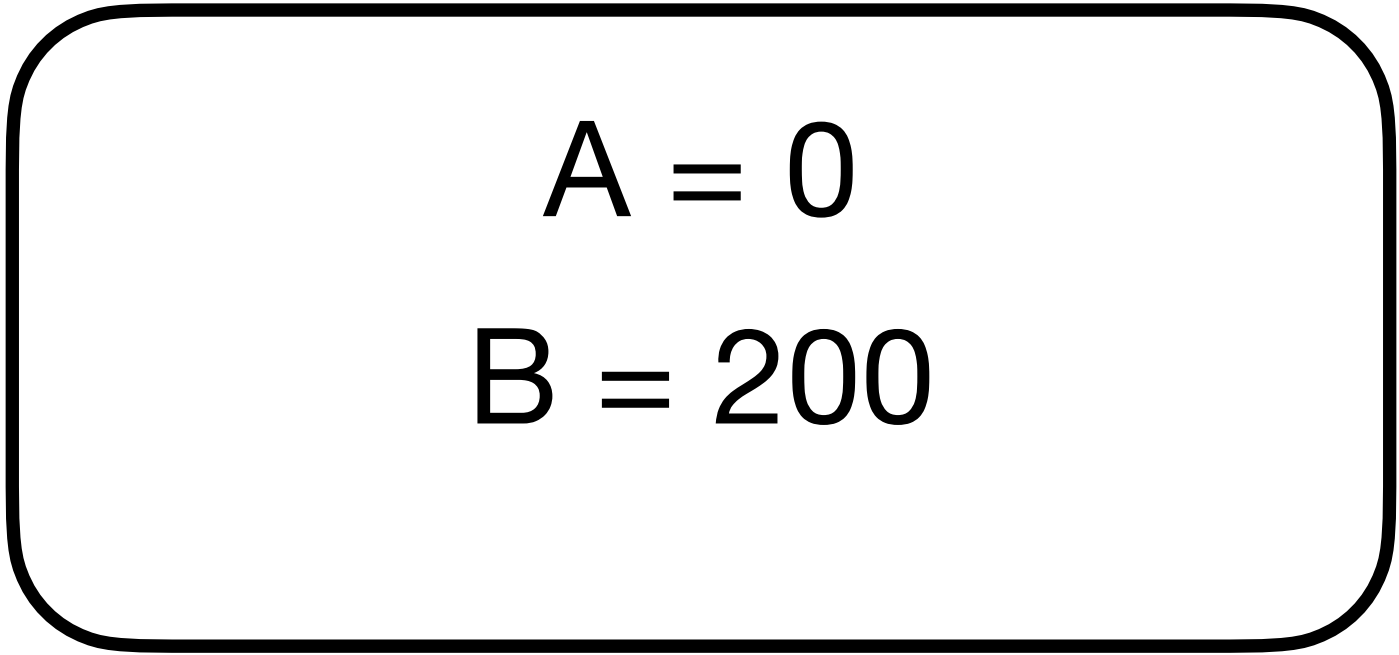
Flush

log



Redo logging:

Transaction
 $A = A - 100$
 $B = B + 100$

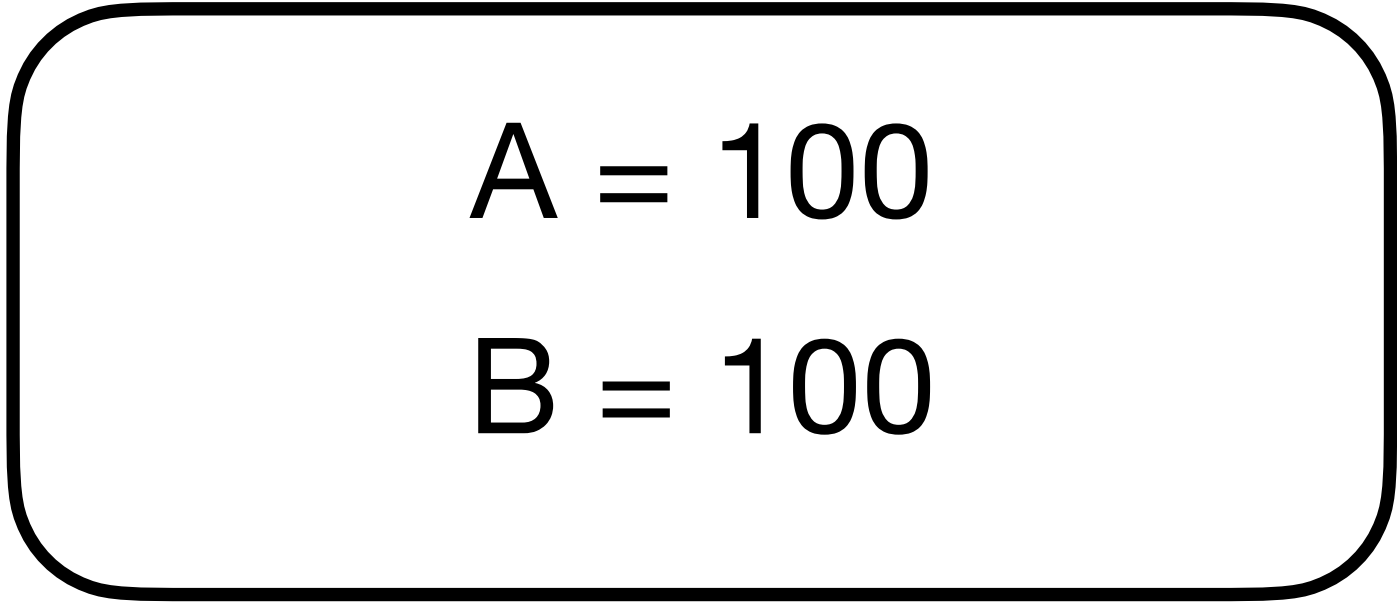
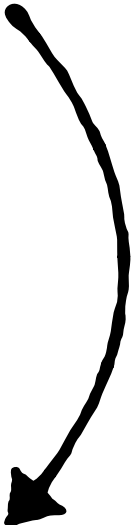


Buffer pool

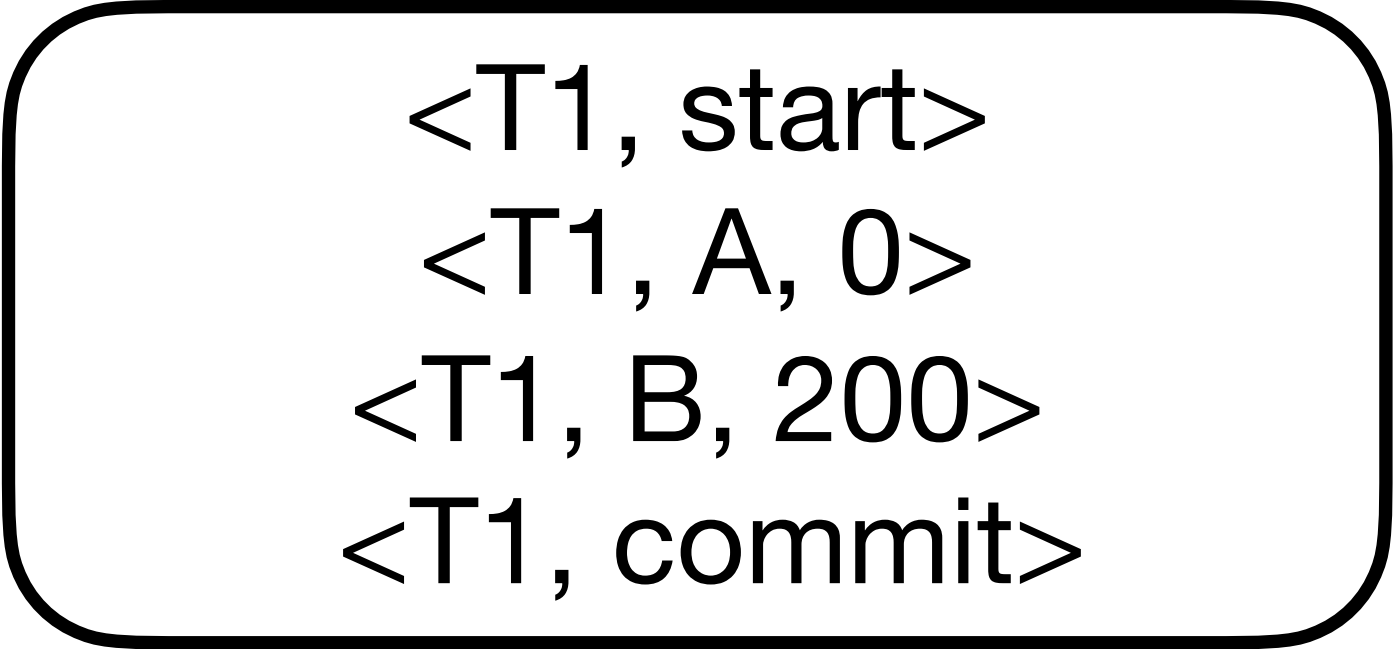


Log buffer

Flush



Database



log

Redo logging:

Transaction

$A = A - 100$

$B = B + 100$

Transaction is now committed

$A = 0$

$B = 200$

Buffer pool

$A = 100$

$B = 100$

Database

$\langle T1, \text{start} \rangle$

$\langle T1, A, 0 \rangle$

$\langle T1, B, 200 \rangle$

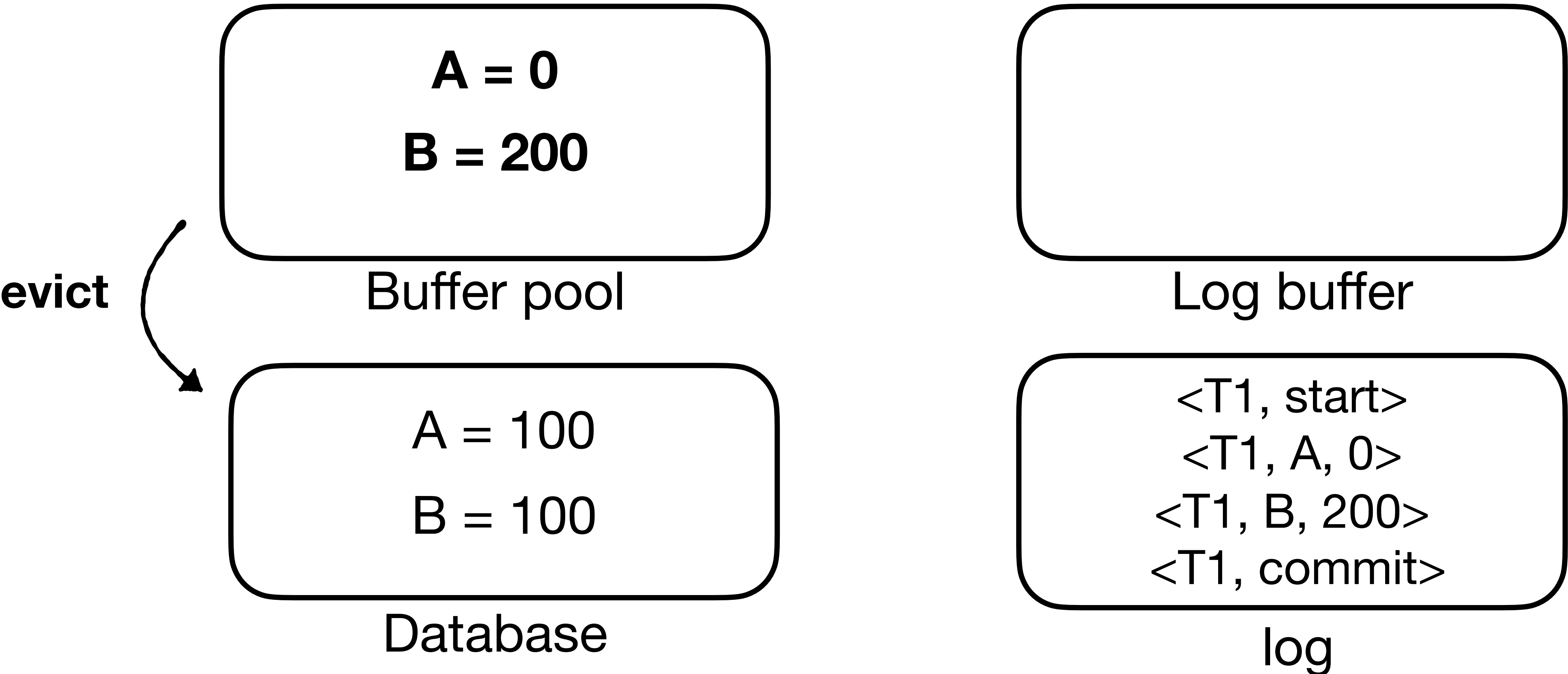
$\langle T1, \text{commit} \rangle$

log

Redo logging:

Transaction
 $A = A - 100$
 $B = B + 100$

Now allowed to evict at leisure



Redo logging:

Transaction

$A = A - 100$

$B = B + 100$

Note that we don't have to force all changes to storage at once
(A stays in memory in this example)

A = 0

Buffer pool

A = 100

B = 200

Database

<T1, start>

<T1, A, 0>

<T1, B, 200>

<T1, commit>

log

Redo logging:

Transaction

$A = A - 100$

$B = B + 100$

The buffer pool can evict autonomously based on clock or LRU :)

A = 0

Buffer pool

A = 100

B = 200

Database

<T1, start>

<T1, A, 0>

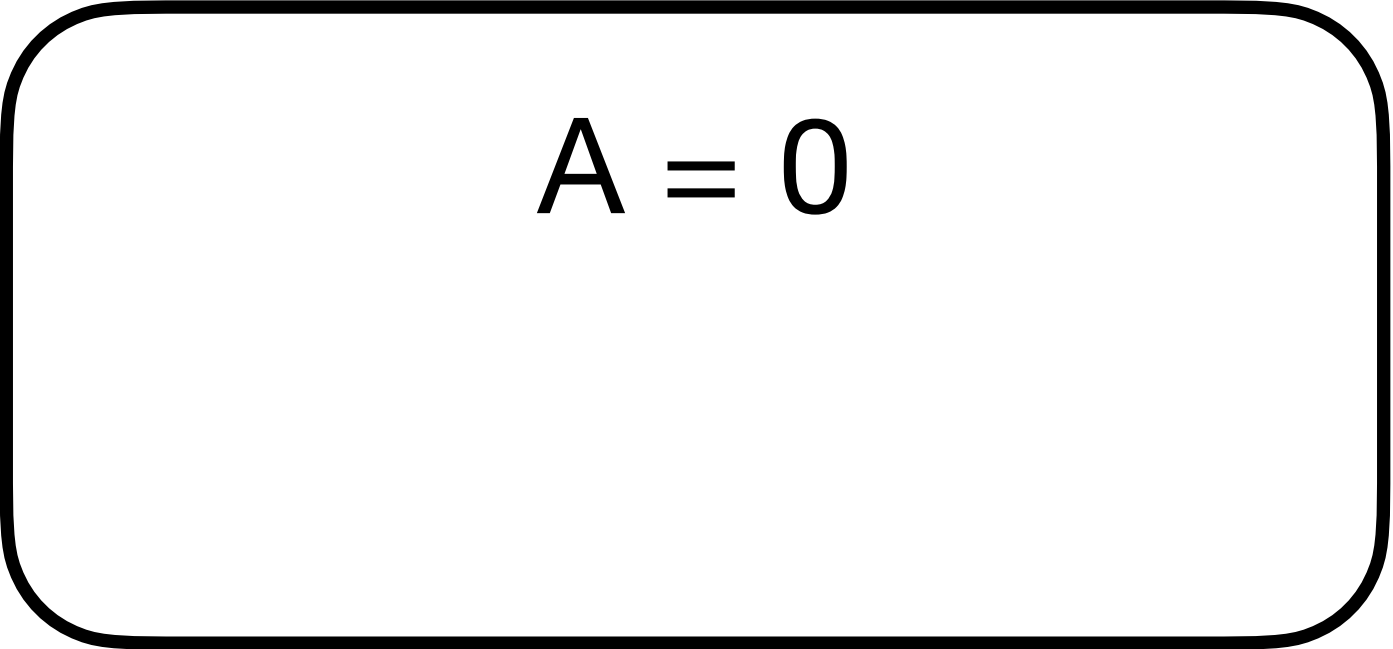
<T1, B, 200>

<T1, commit>

log

Recovery with Redo logging:

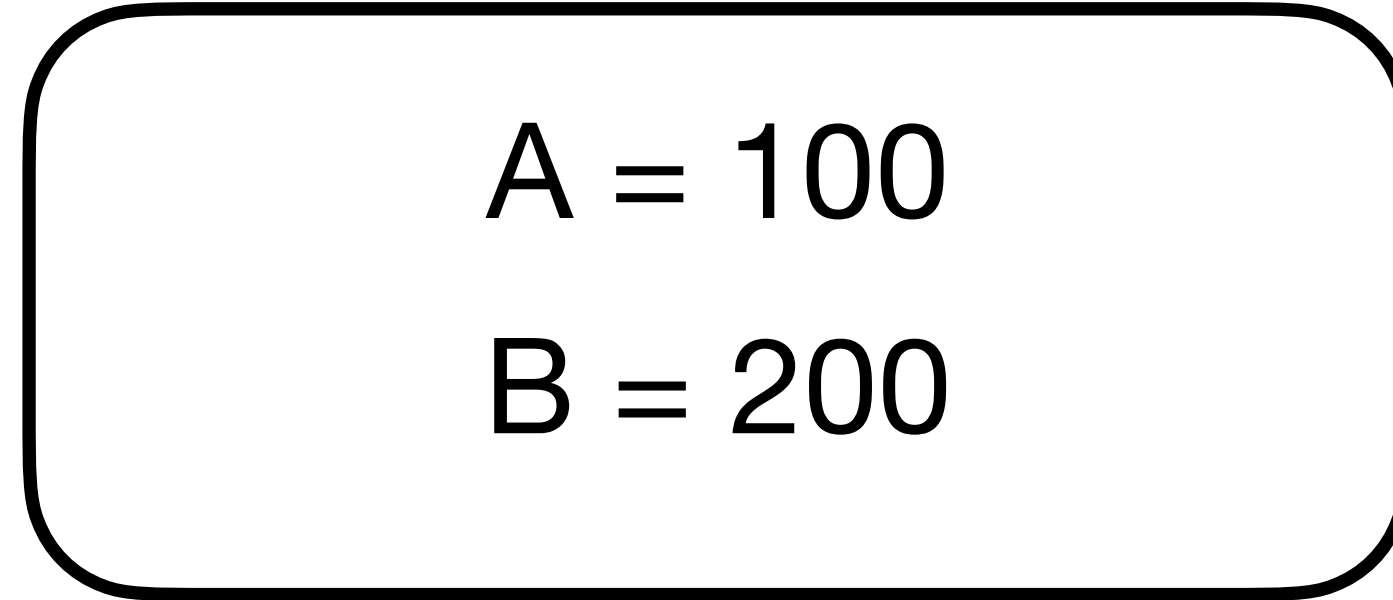
To recover, traverse log forward, replying effects of all committed transactions



Buffer pool



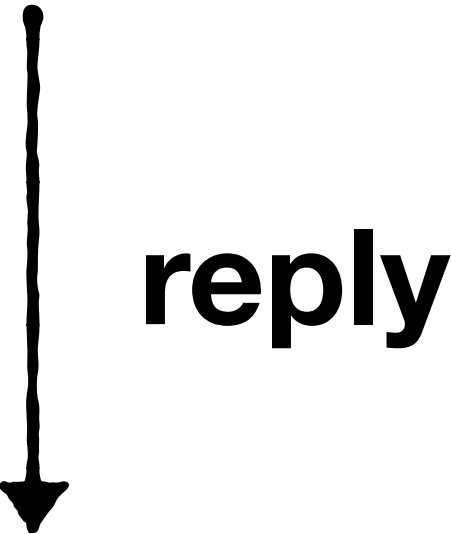
Log buffer



Database



log



Recovery with Redo logging:



Suppose the transaction was not committed and power failed

A = 0

Buffer pool

A = 100

B = 100

Database

<T1, start>

<T1, A, 0>

<T1, B, 200>

log

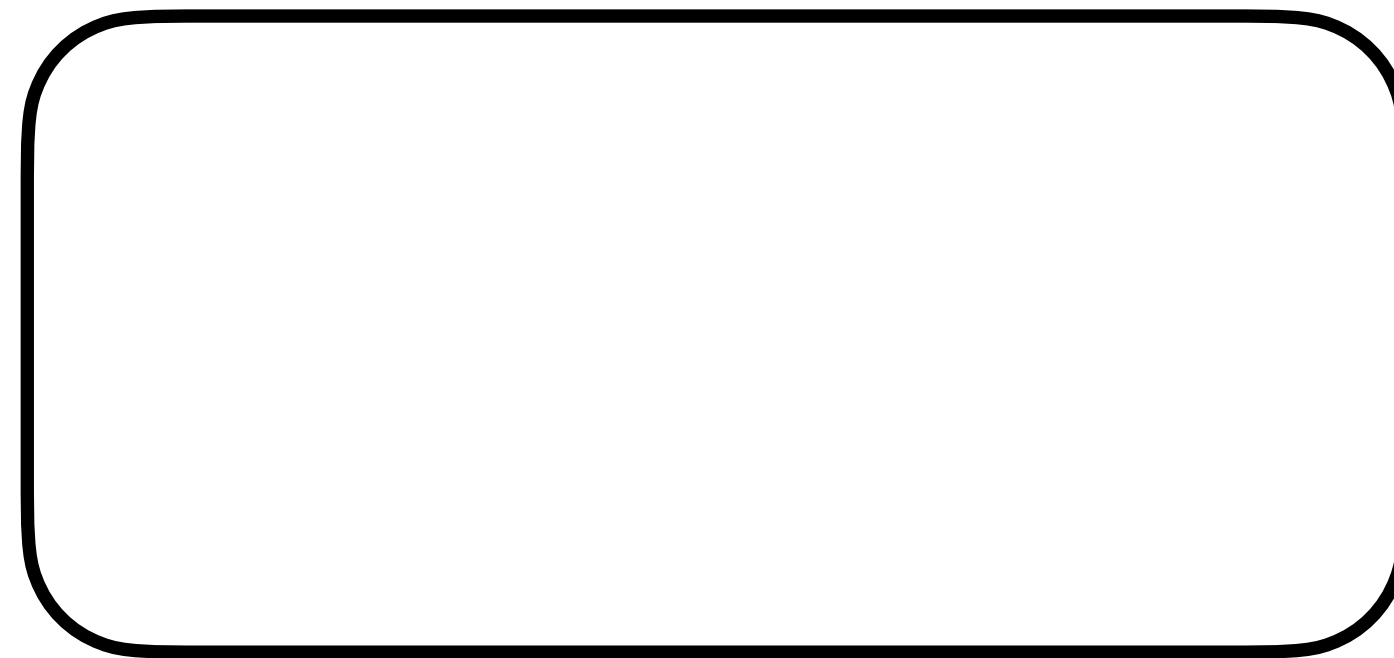


Recovery with Redo logging:

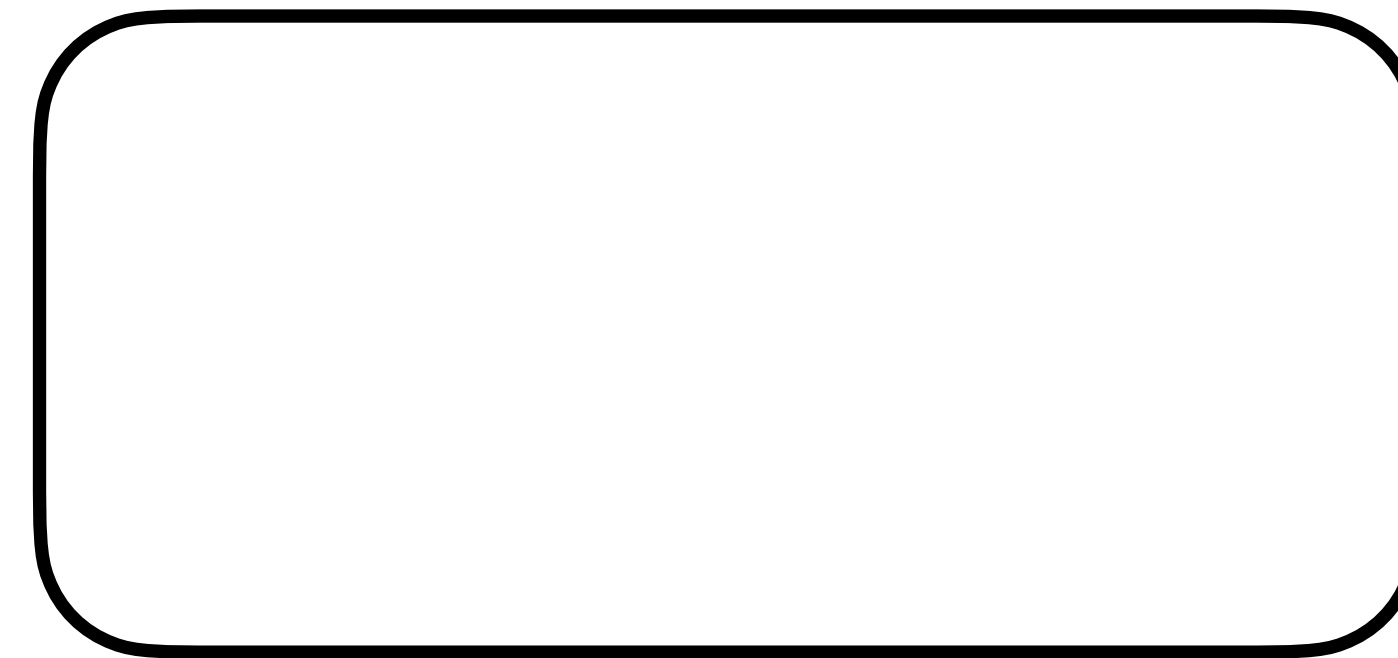


Suppose the transaction was not committed and power failed

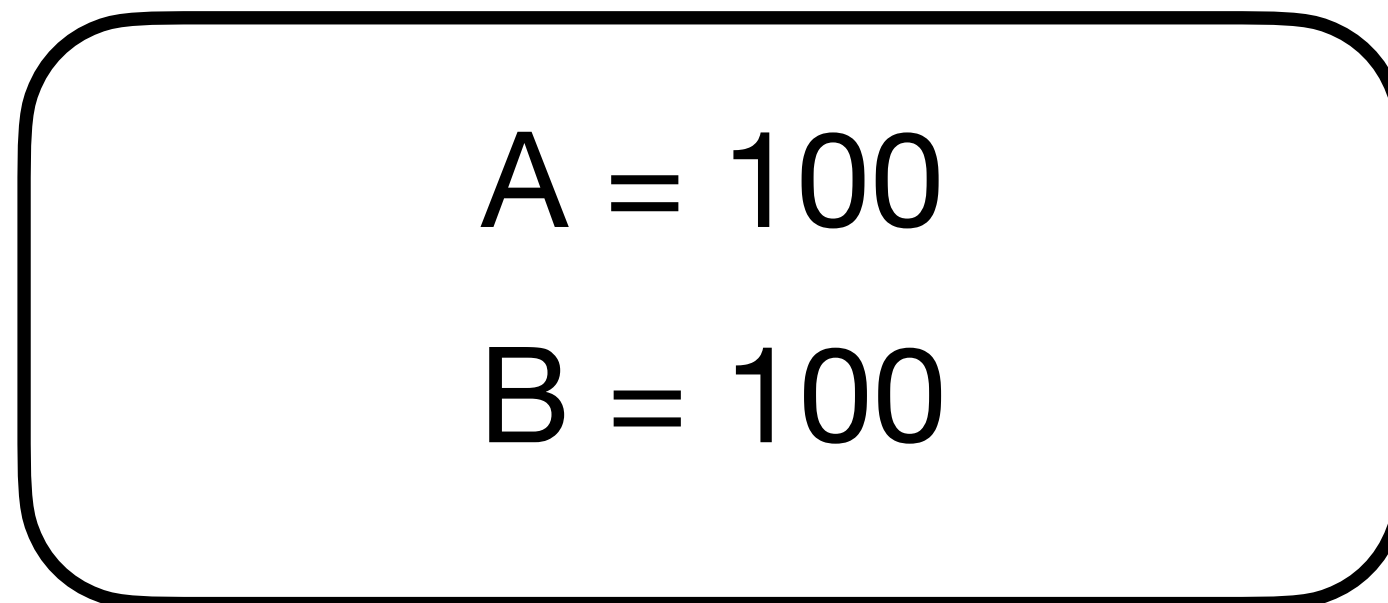
If there is no commit, nothing to do as we know modified versions didn't reach storage



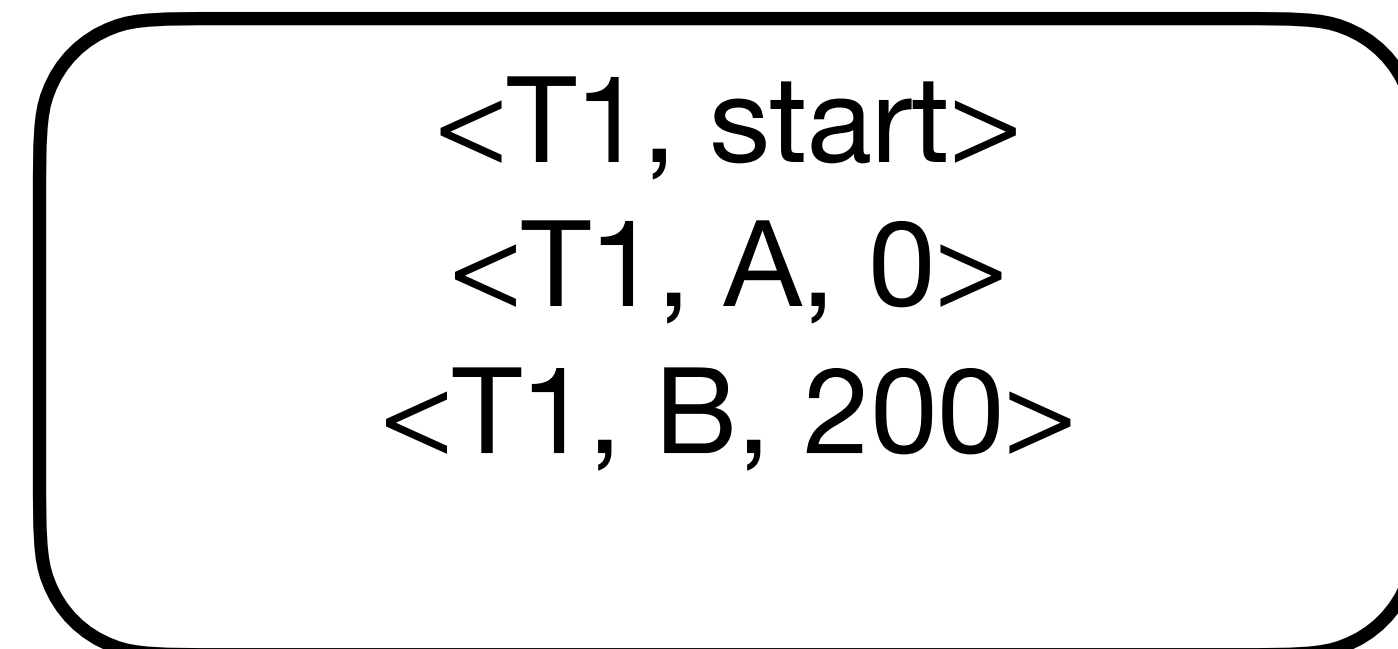
Buffer pool



Log buffer



Database



log



Recovery with Redo logging:

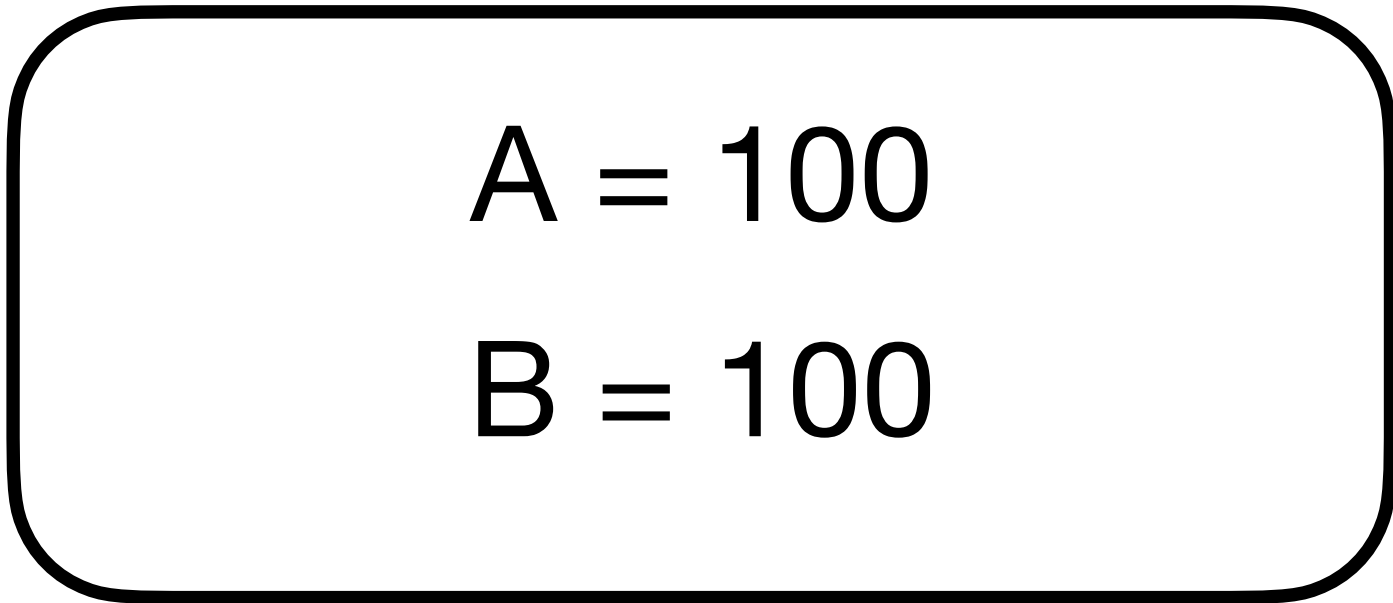
Just add rollback record



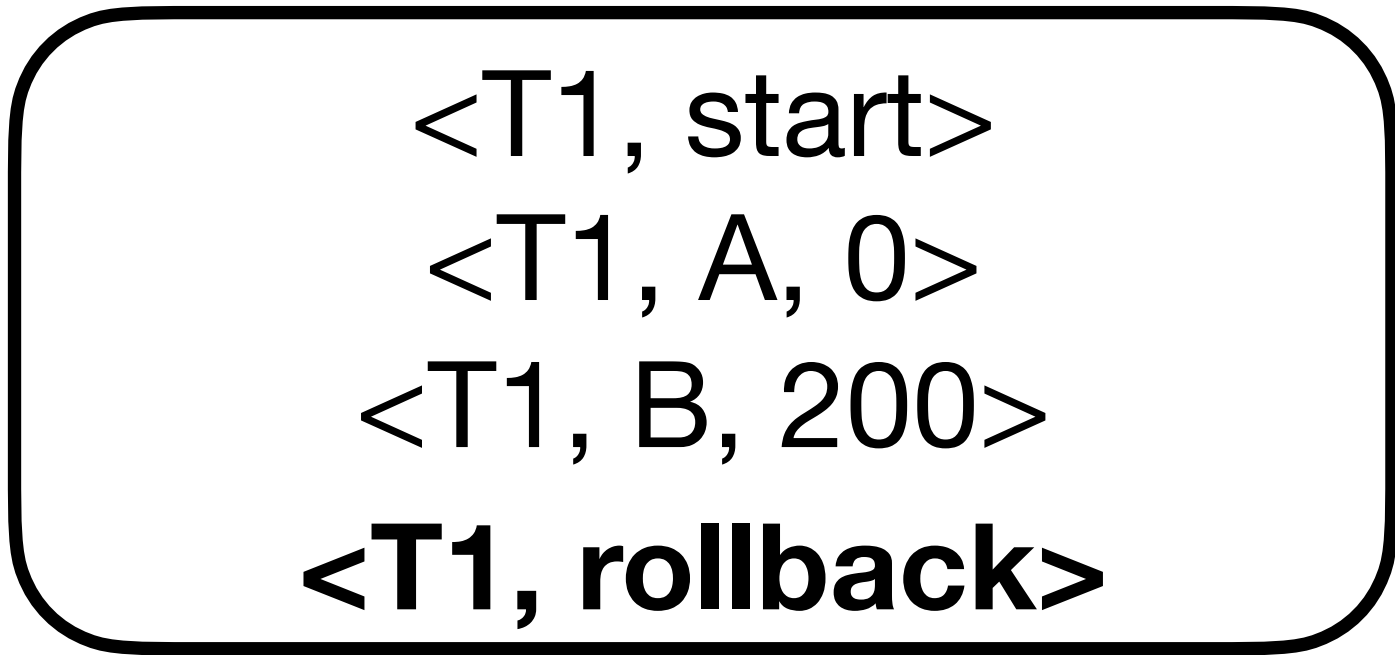
Buffer pool



Log buffer



Database



log

Recovery with Redo logging:



Now, suppose the transaction committed but power failed before all changes reached storage

A = 0

Buffer pool

A = 100

B = 200

Database

Log buffer

<T1, start>

<T1, A, 0>

<T1, B, 200>

<T1, commit>

log

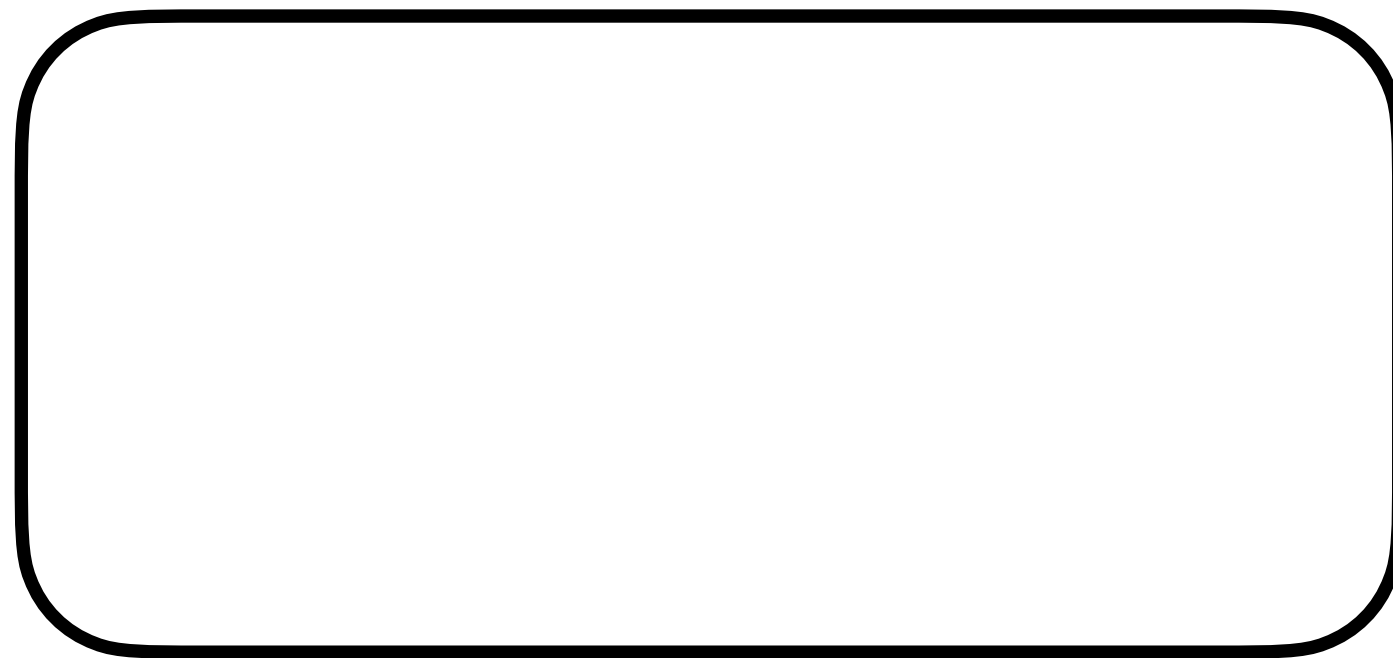


Recovery with Redo logging:

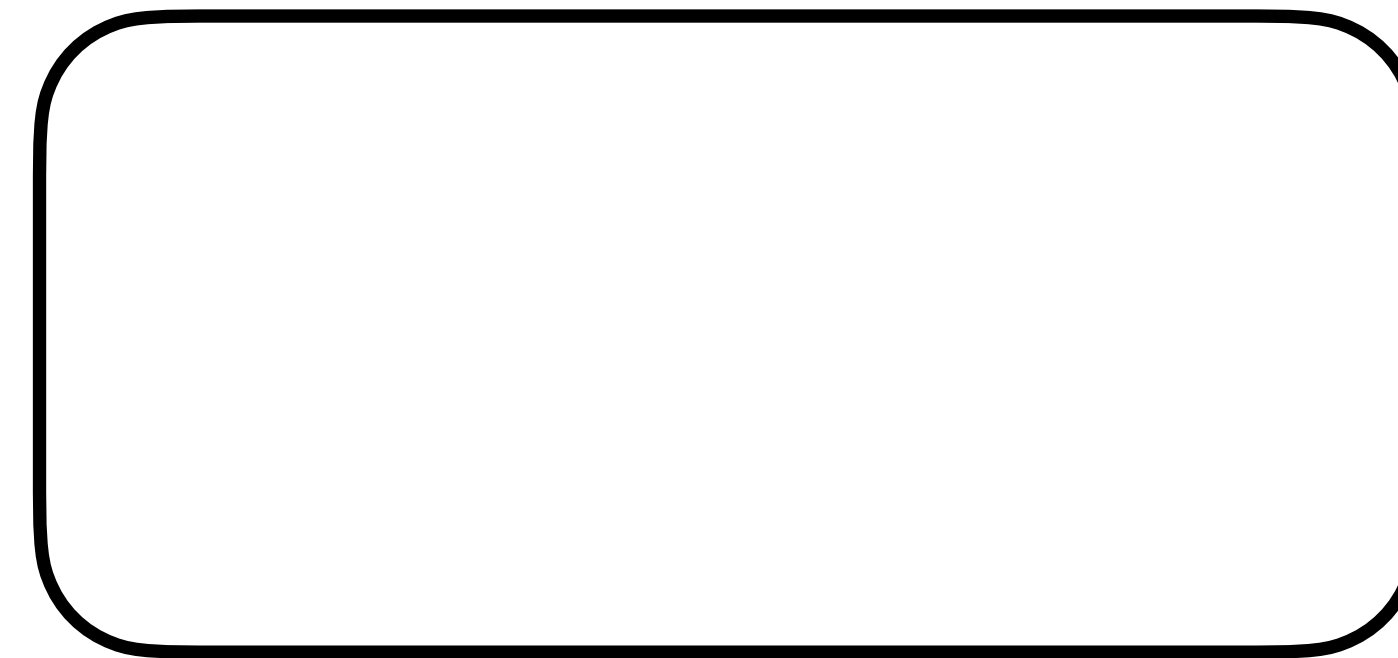


Now, suppose the transaction committed but power failed before all changes reached storage

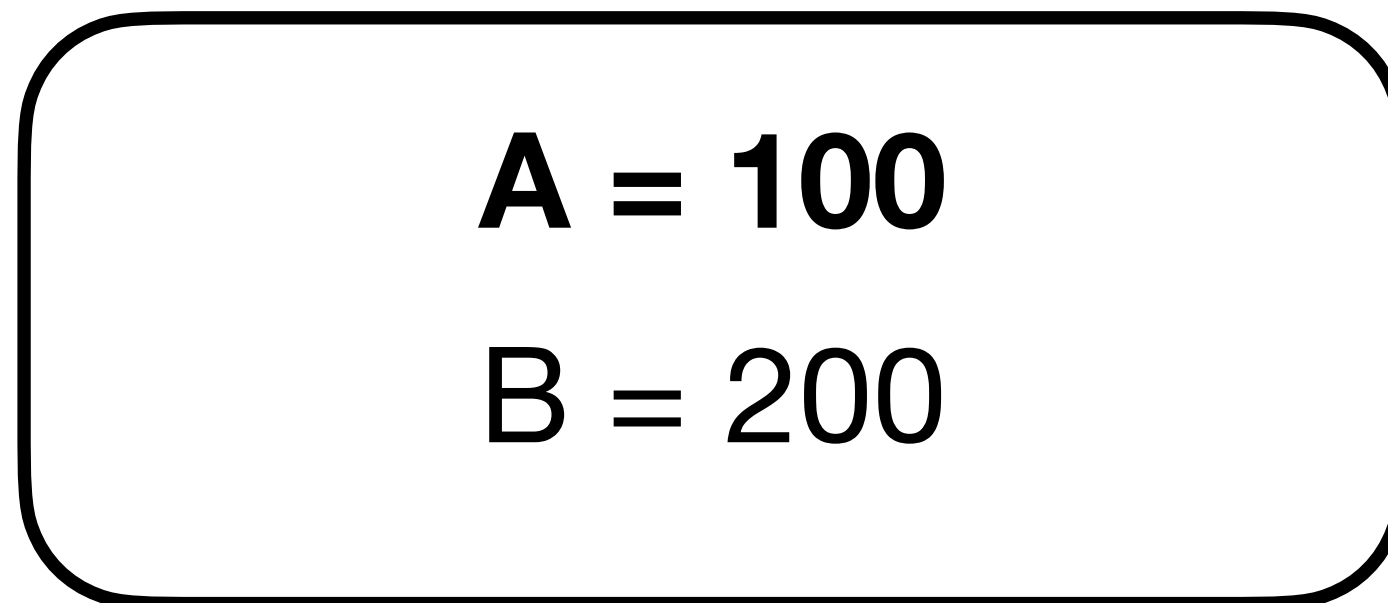
How to recover?



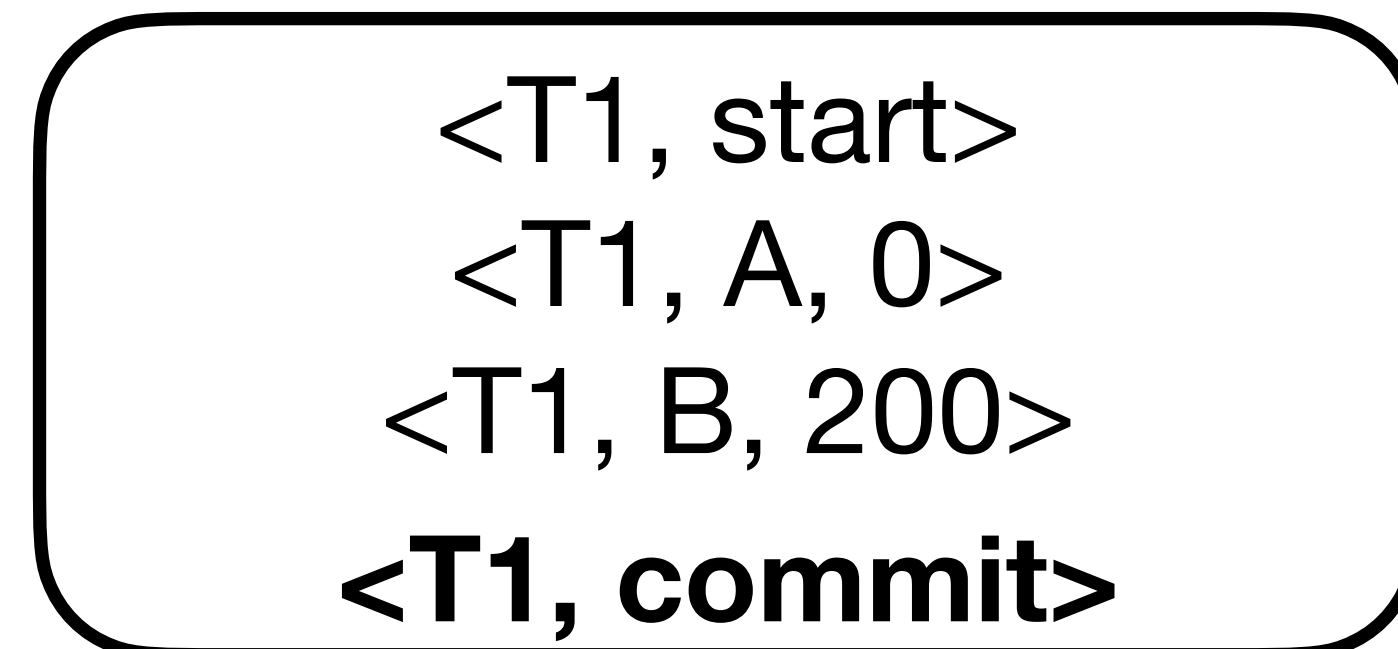
Buffer pool



Log buffer



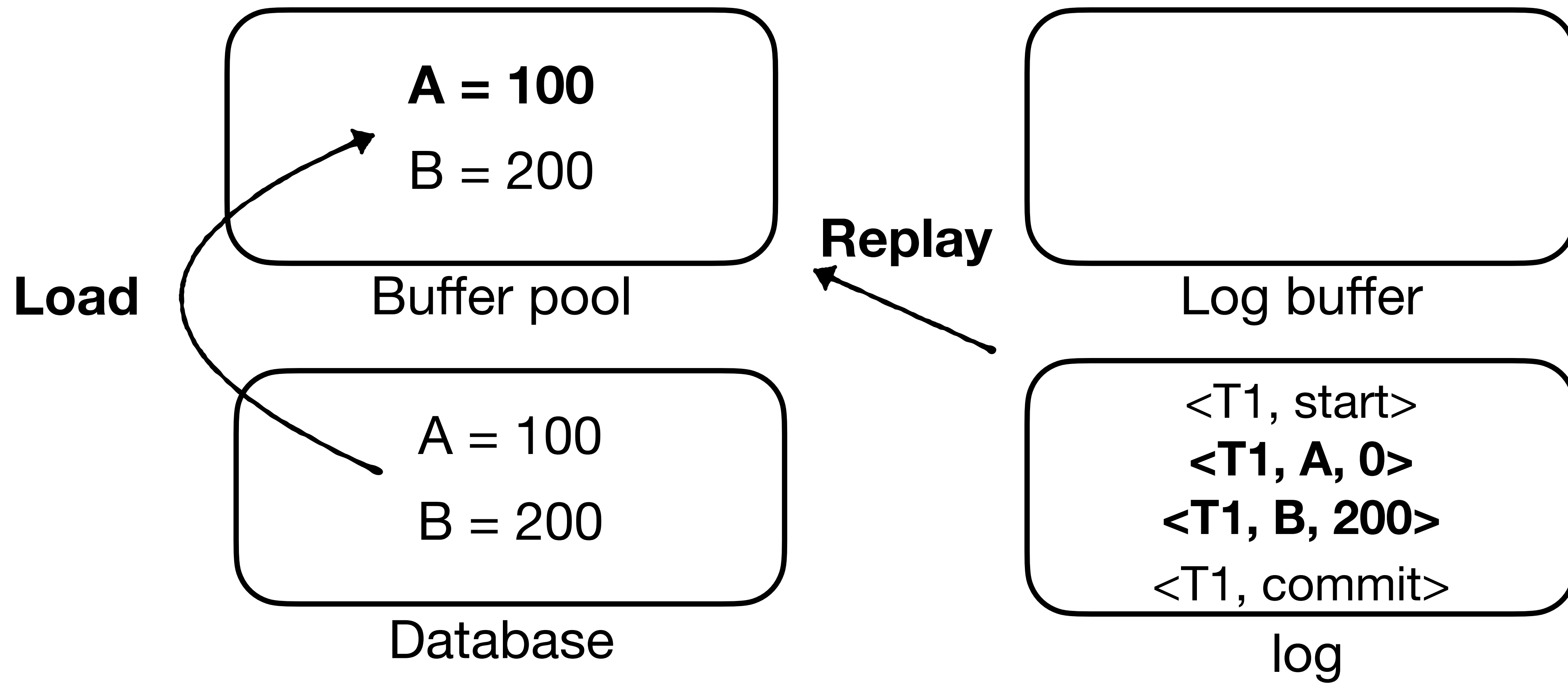
Database



log

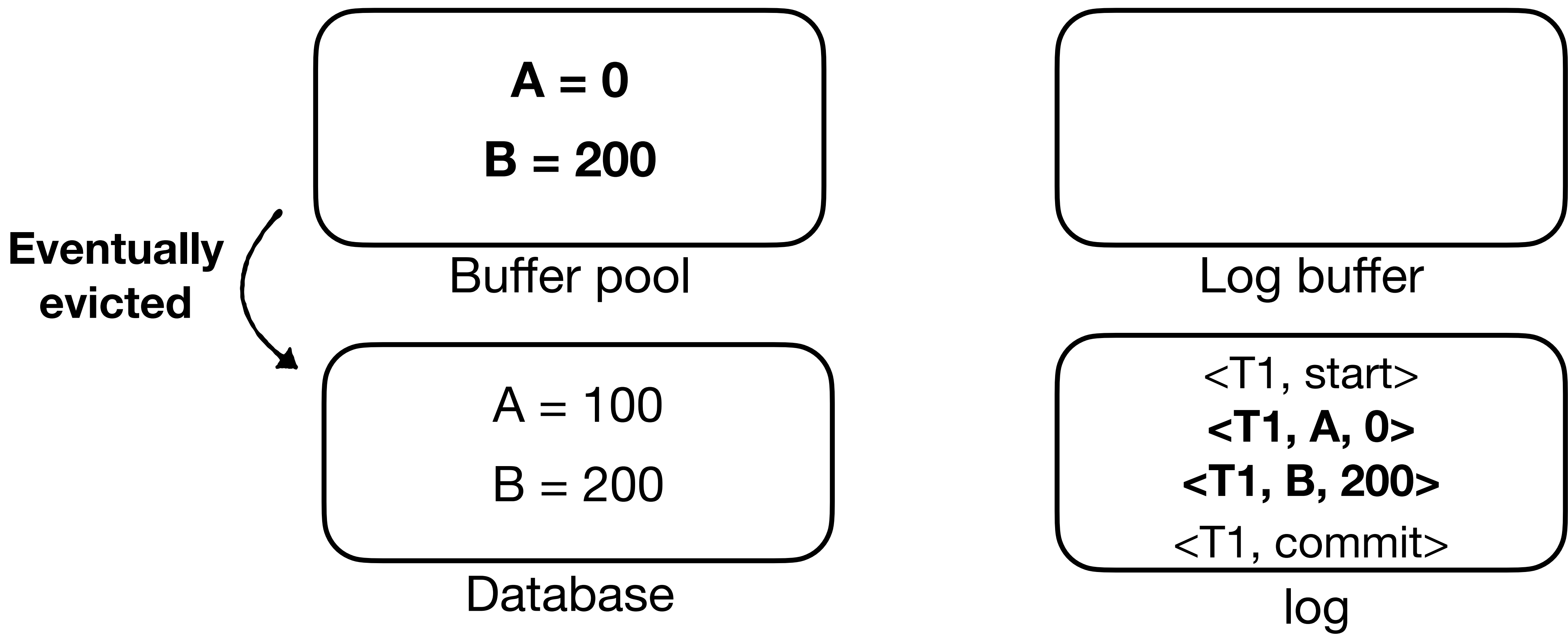
Recovery with Redo logging:

Now, suppose the transaction committed but power failed before all changes reached storage



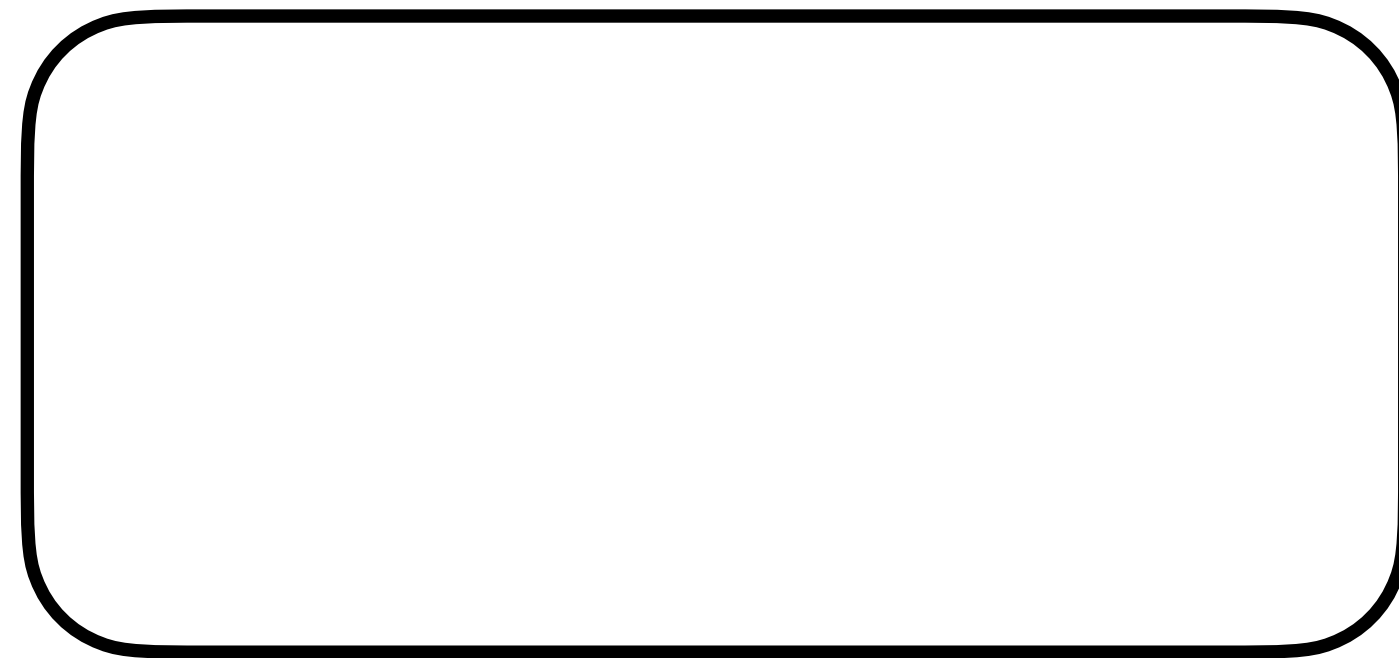
Recovery with Redo logging:

Now, suppose the transaction committed but power failed before all changes reached storage

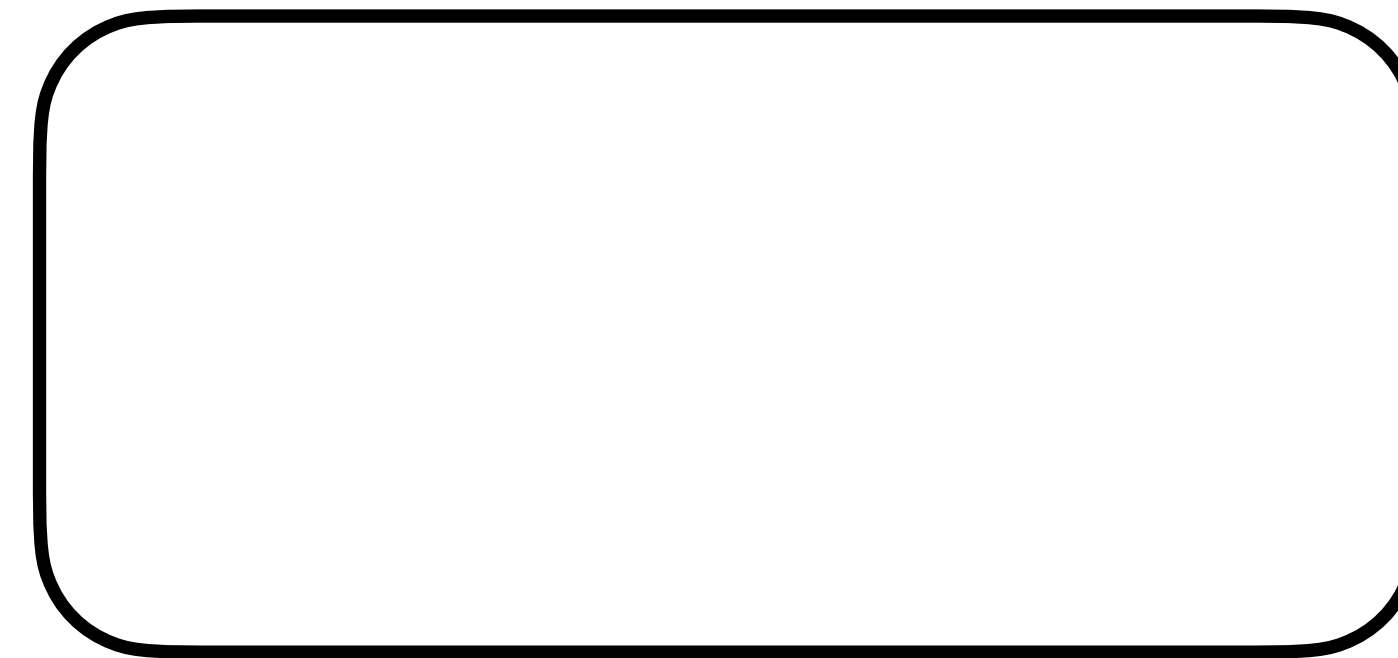


Recovery with Redo logging:

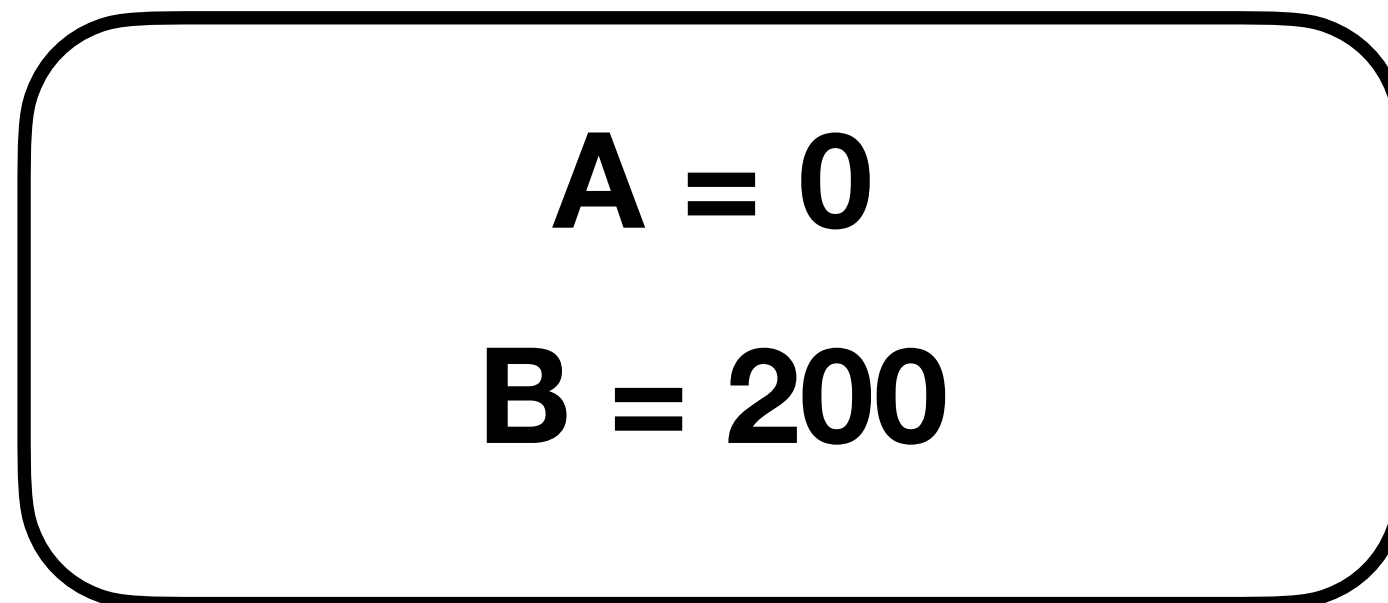
Now, suppose the transaction committed but power failed before all changes reached storage



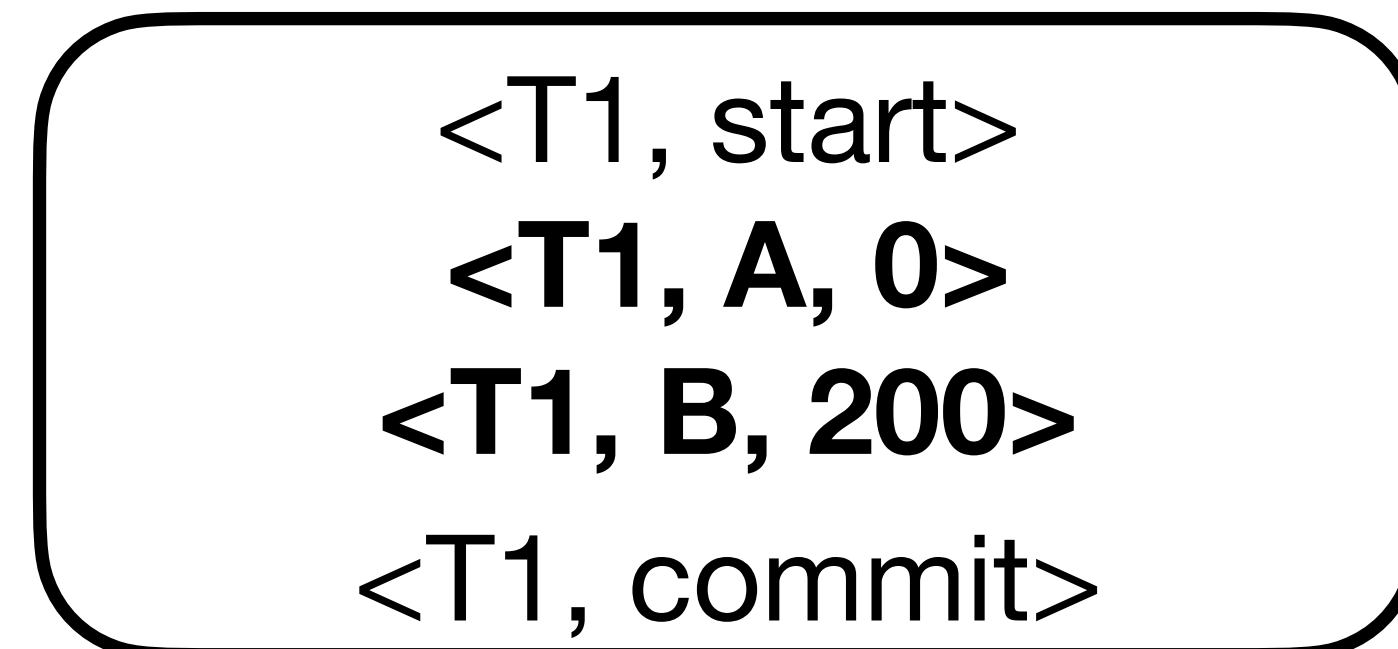
Buffer pool



Log buffer



Database



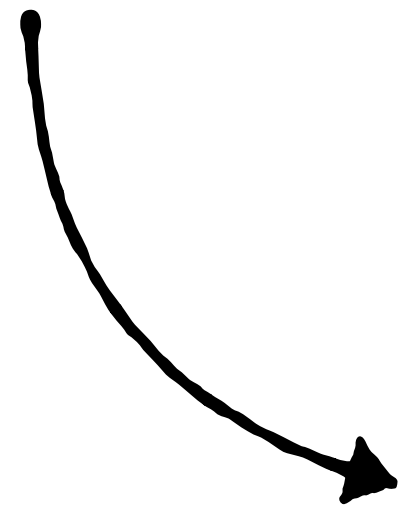
log

Any problems with Redo logging?

- (1) write after-image for any changed value to log buffer
- (2) keep changed data in buffer pool
- (3) add commit record to log buffer & flush
- (4) flush changed data to storage at leisure

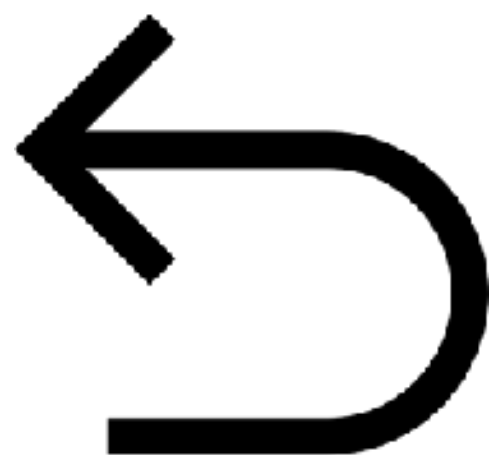
Any problems with Redo logging?

Holds up memory



- (1) write after-image for any changed value to log buffer
- (2) keep changed data in buffer pool**
- (3) add commit record to log buffer & flush
- (4) flush changed data to storage at leisure

Undo



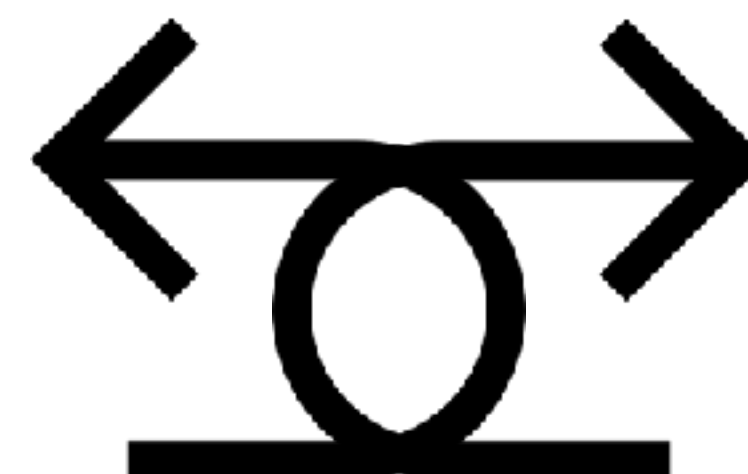
random I/Os

Redo

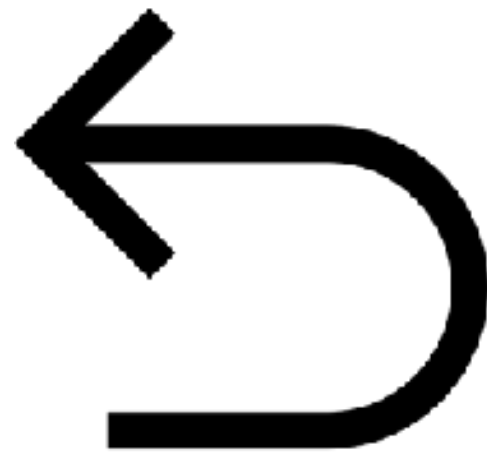


holds memory

Undo/Redo



Undo



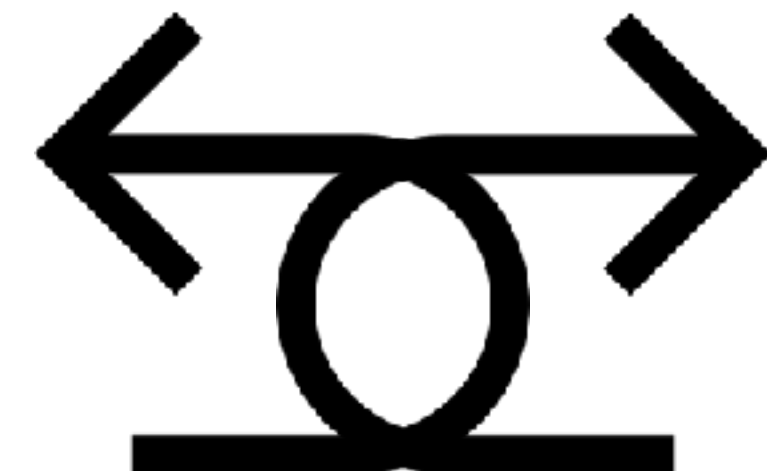
random I/Os

Redo



holds memory

Redo/Redo



**Addresses both
problems!**

Undo/Redo logging rules

- (1) write **before and after-image** for any changed value to log buffer
- (2) before modifying item on disk, must flush log record
- (3) when transaction is finished, flush commit record

Undo/Redo logging rules

- (1) write **before and after-image** for any changed value to log buffer
- (2) before modifying item on disk, must flush log record**
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Undo/Redo logging rules

- (1) write before and after-image for any changed value to log buffer
- (2) before modifying item on disk, must flush log record
- (3) when transaction is finished, flush commit record**

Undo/redo logging:

Transaction T1

$A = A - 100$

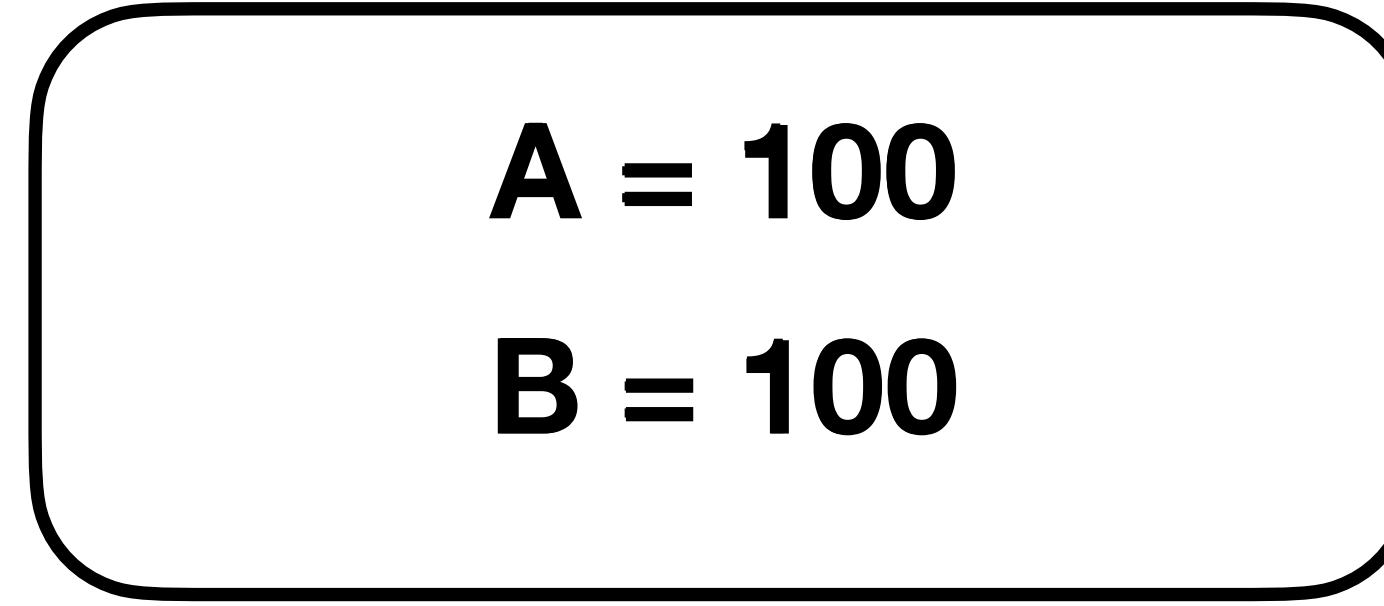
$B = B + 100$



Buffer pool



Log buffer



Database



log

Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

A = 100

B = 100

Buffer pool

A = 100

B = 100

Database

<T1, start>

Log buffer

log

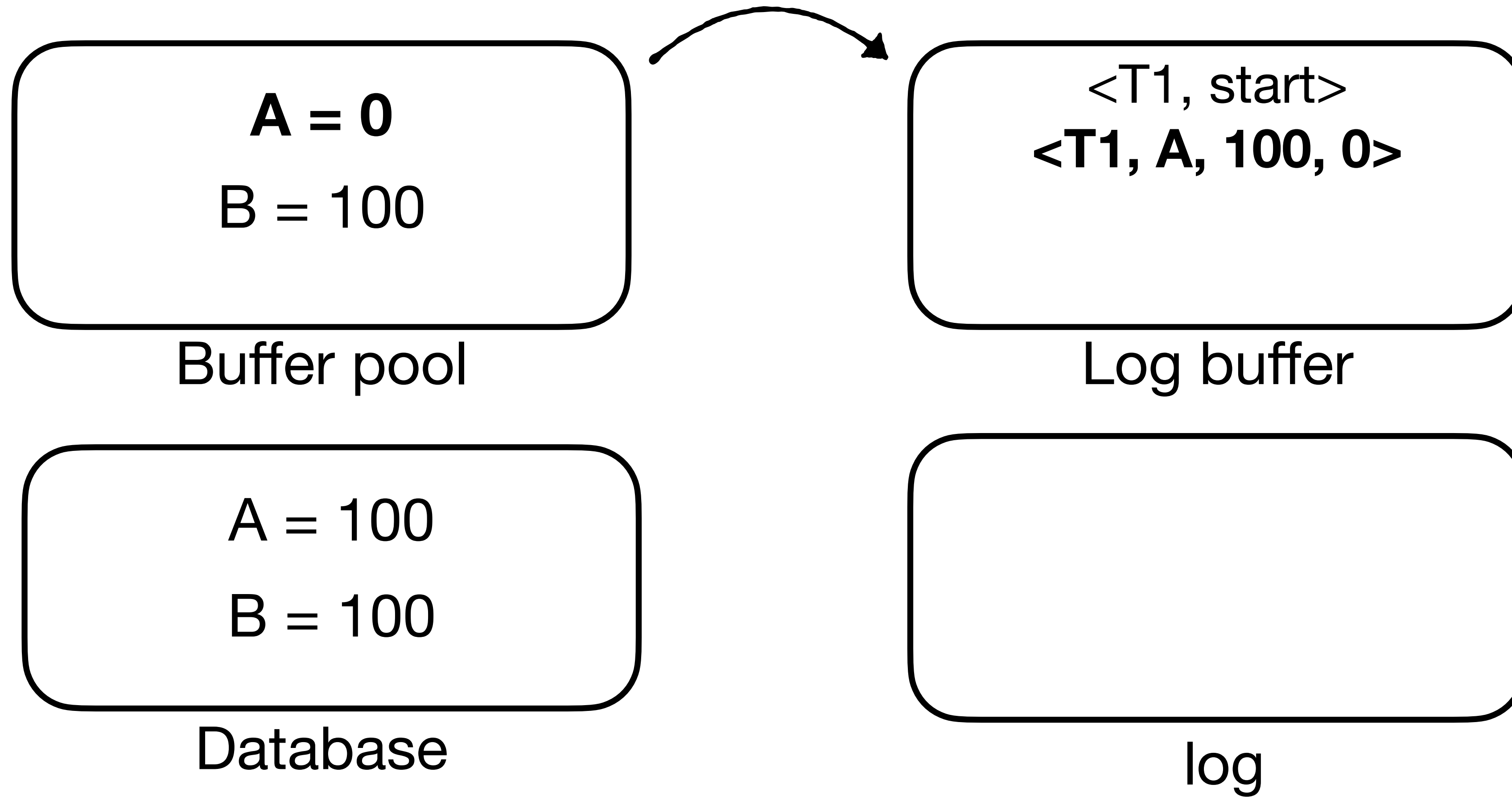
Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

Write before & after image to log



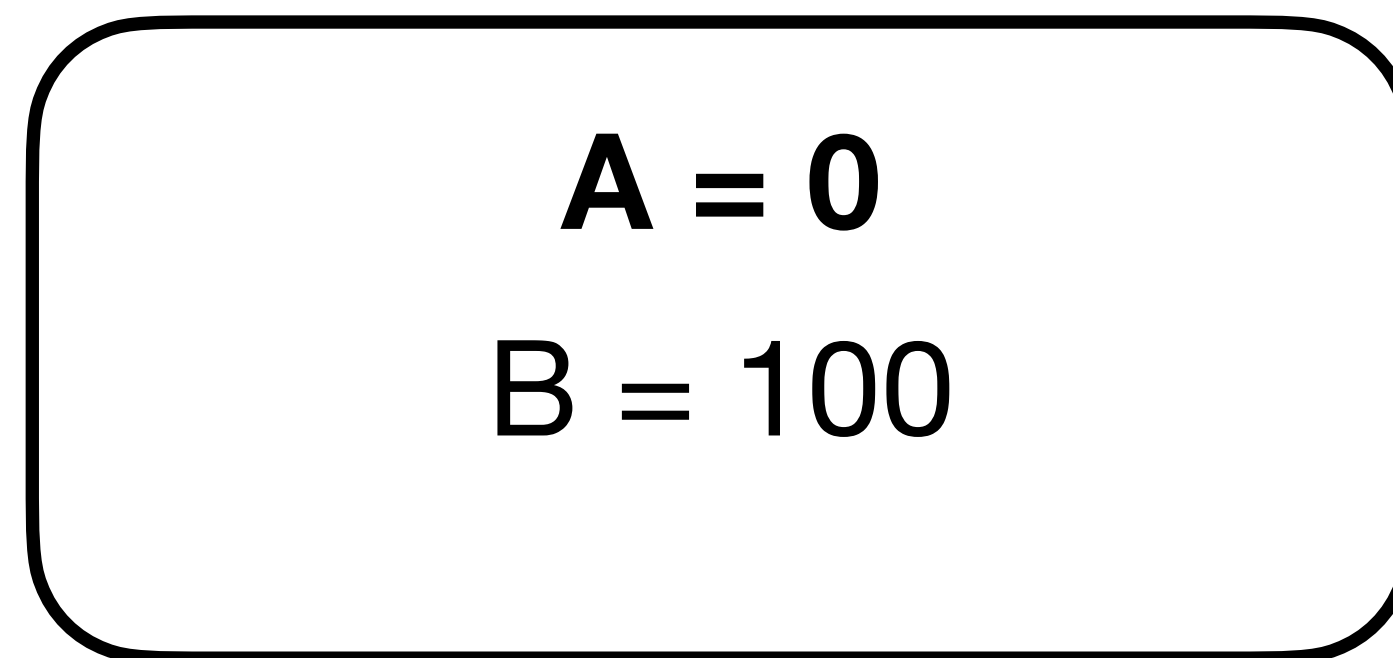
Undo logging:

Transaction T1

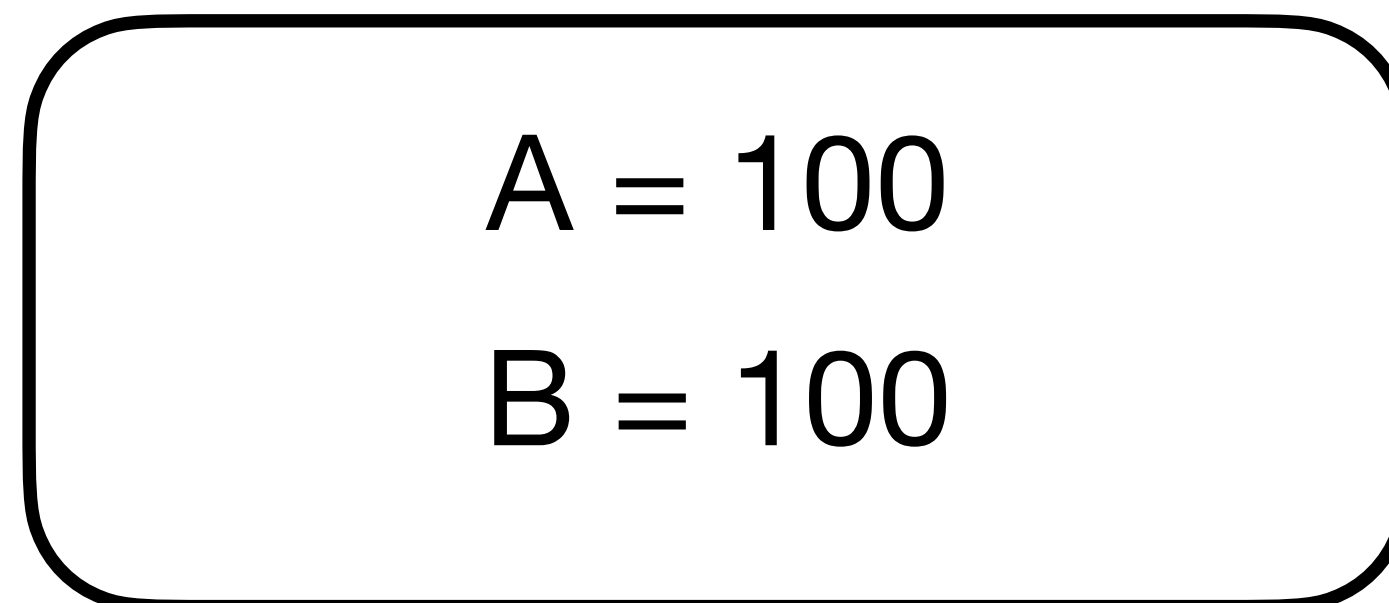
$A = A - 100$

$B = B + 100$

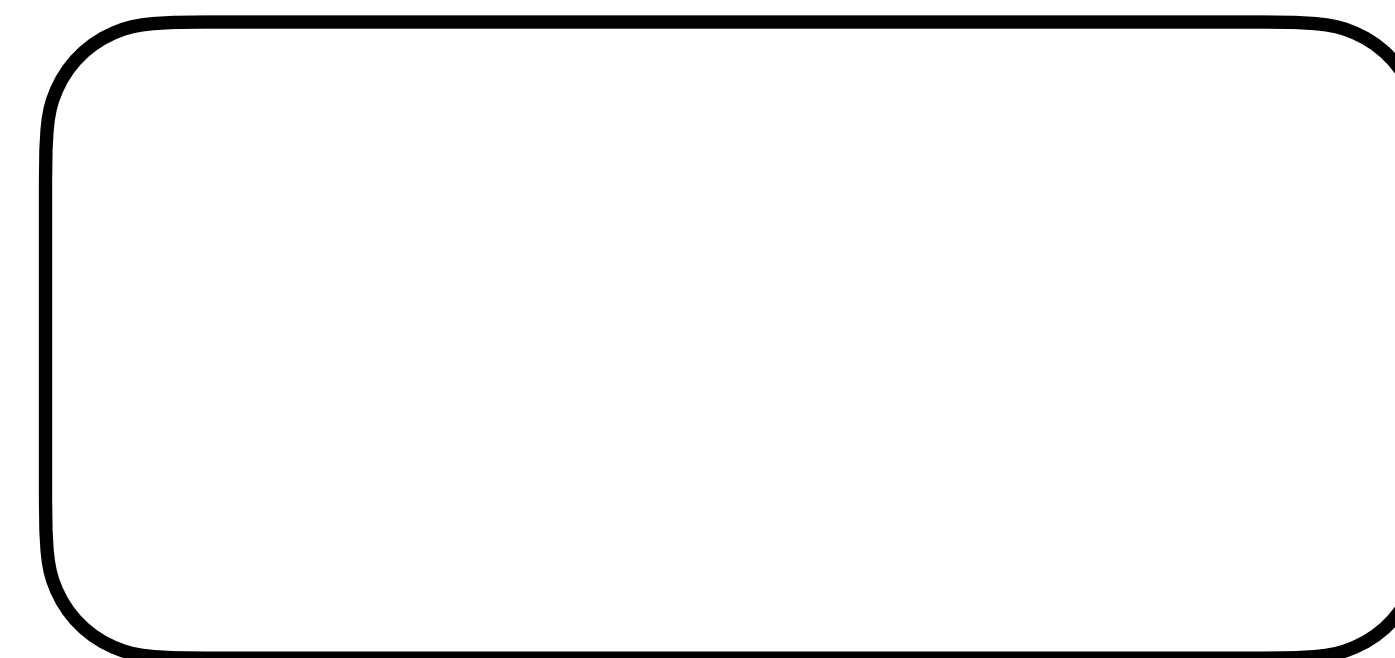
Suppose log flushes due to other transaction



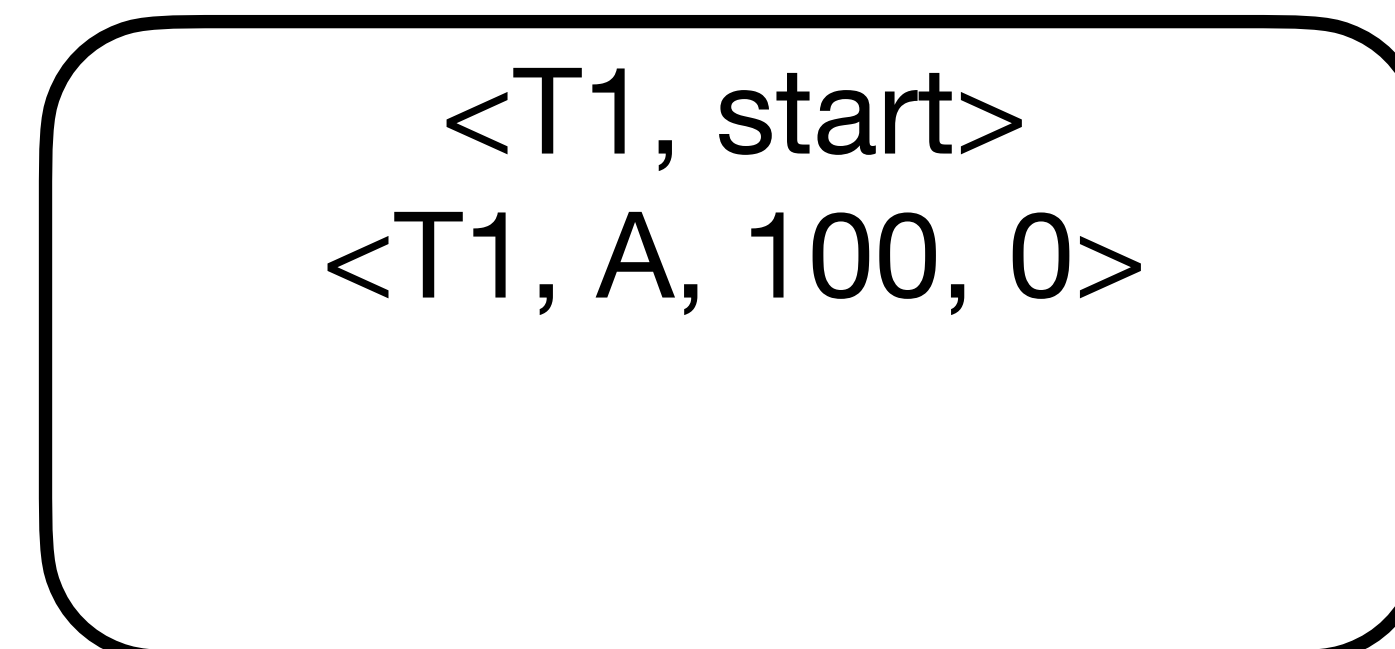
Buffer pool



Database

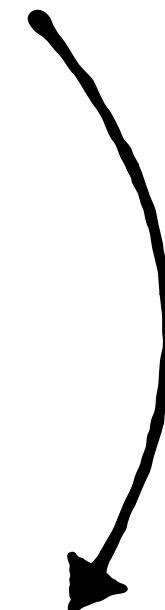


Log buffer



log

Flush



Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

We are now allowed to evict A if buffer pool needs to

Evict

A = 0

B = 100

Buffer pool

A = 100

B = 100

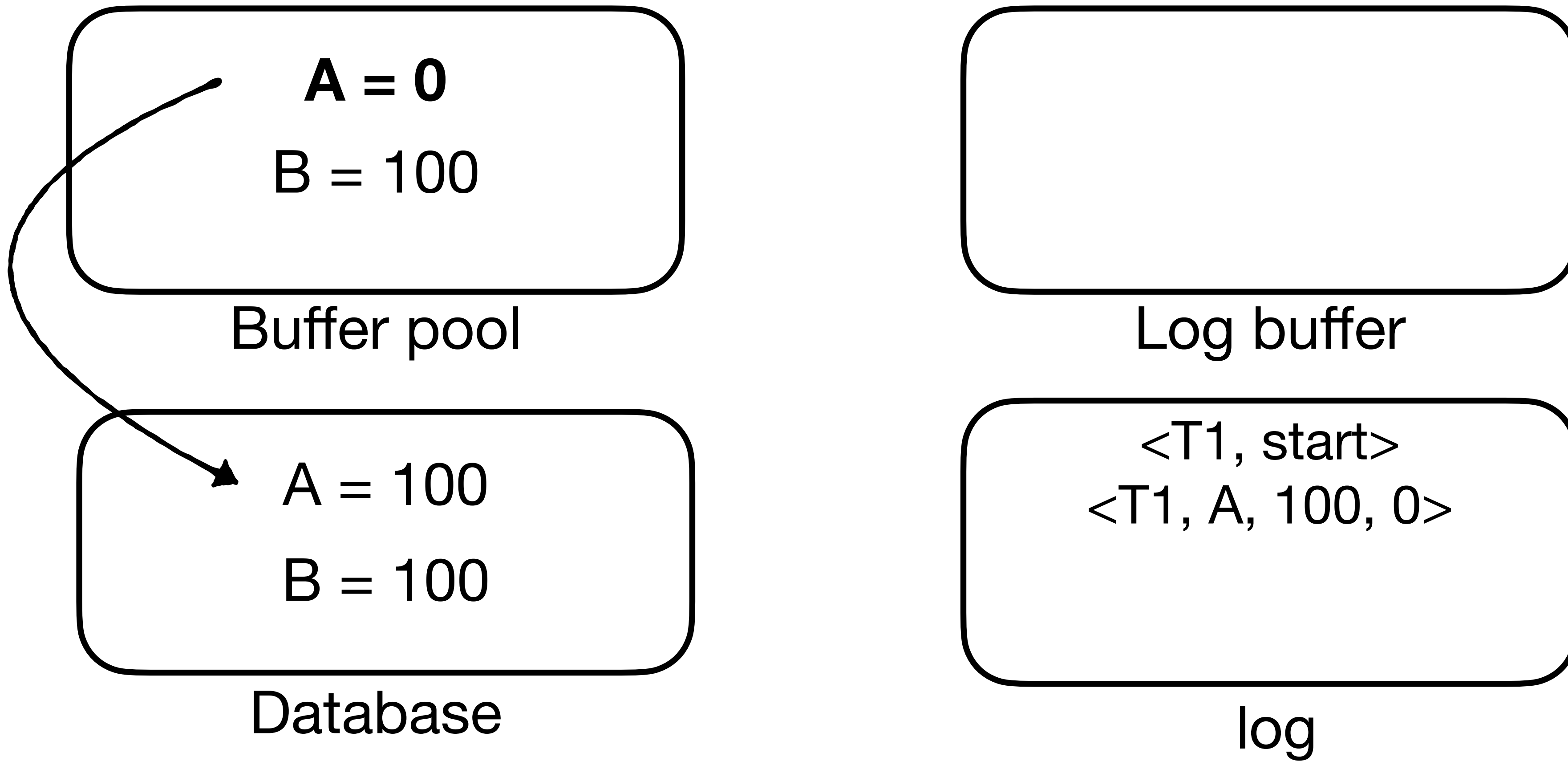
Database

Log buffer

<T1, start>

<T1, A, 100, 0>

log



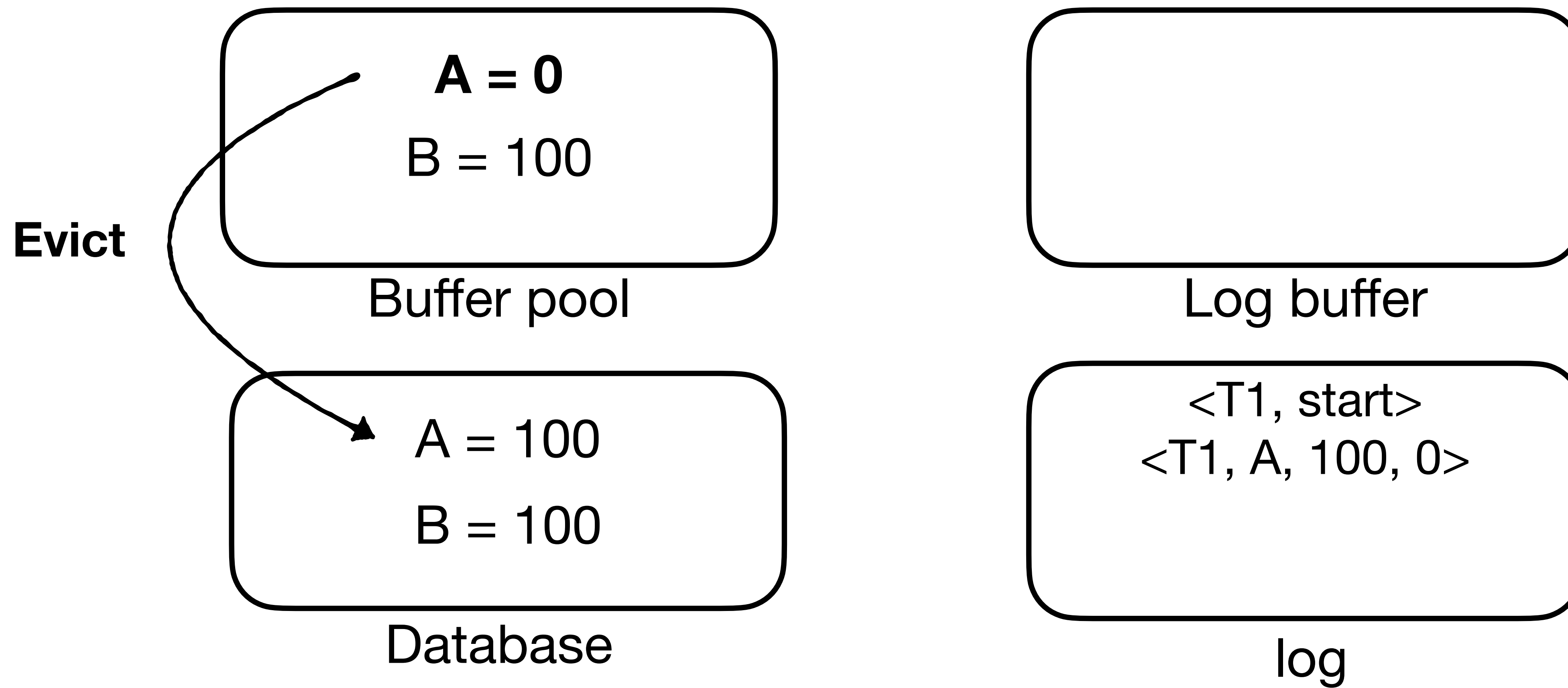
Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

We are now allowed to evict A if buffer pool needs to
we do not need to keep changed data in memory like undo logging :)



Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$



If power fails at this point, we could undo change to A using log

$B = 100$

Buffer pool

$A = 0$

$B = 100$

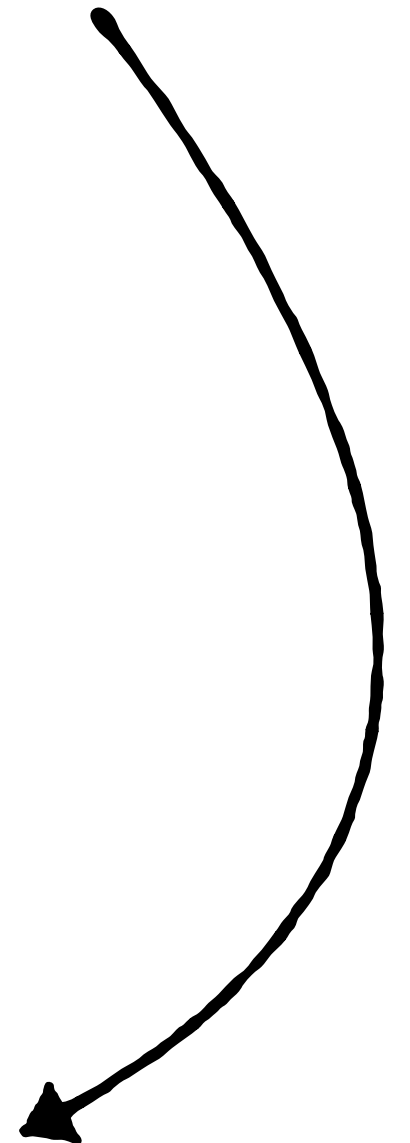
Database

Log buffer

$\langle T1, \text{start} \rangle$

$\langle T1, A, 100, 0 \rangle$

log



Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

Otherwise, continue with the transaction

B = 200

Buffer pool

A = 0

B = 100

Database

<T1, B, 100, 200>

<T1, commit>

Log buffer

<T1, start>

<T1, A, 100, 0>

log

Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$

B = 200

Buffer pool

A = 0

B = 100

Database

Log buffer

<T1, start>

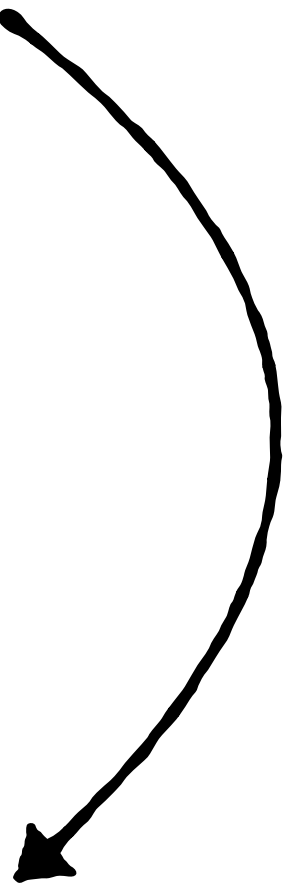
<T1, A, 100, 0>

<T1, B, 100, 200>

<T1, commit>

log

Flush



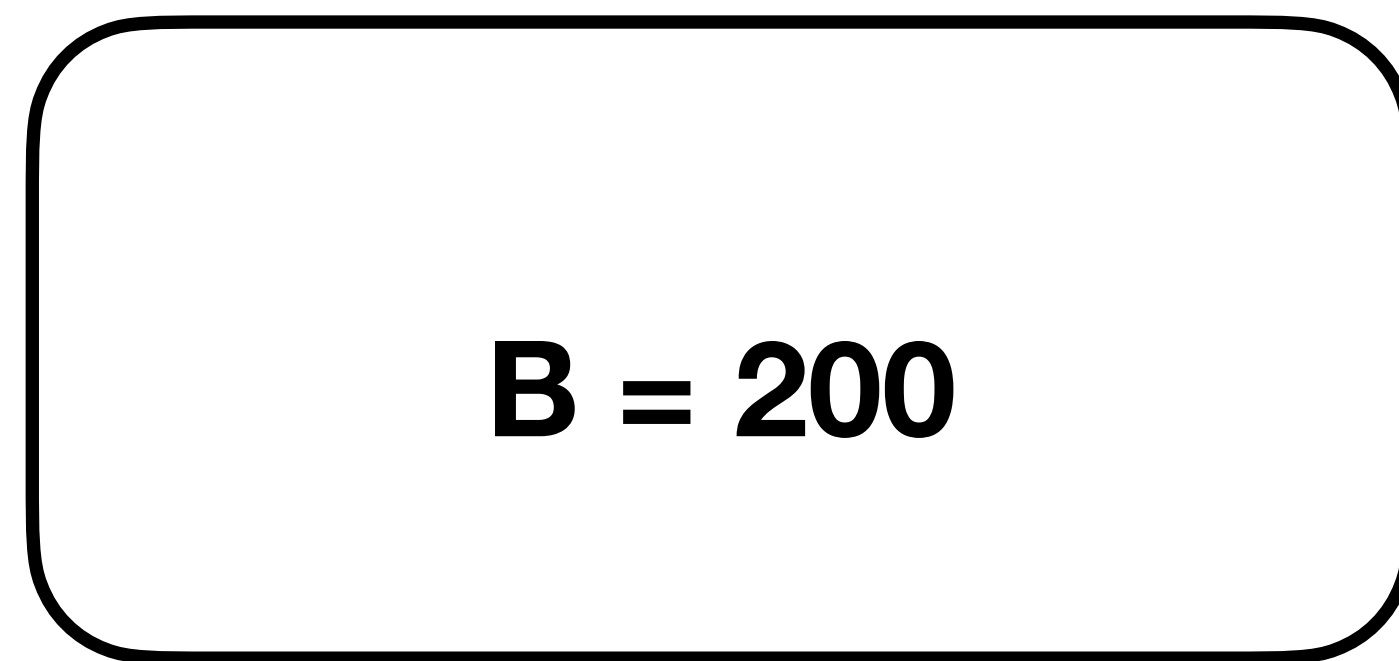
Undo logging:

Transaction T1

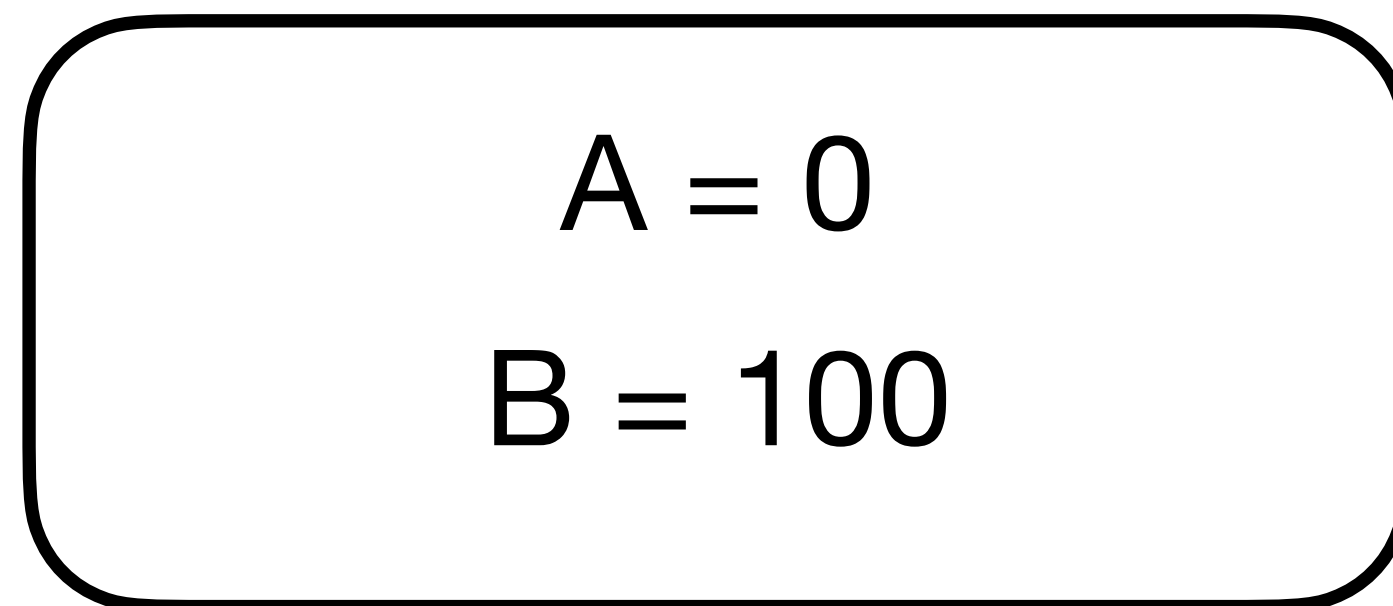
$A = A - 100$

$B = B + 100$

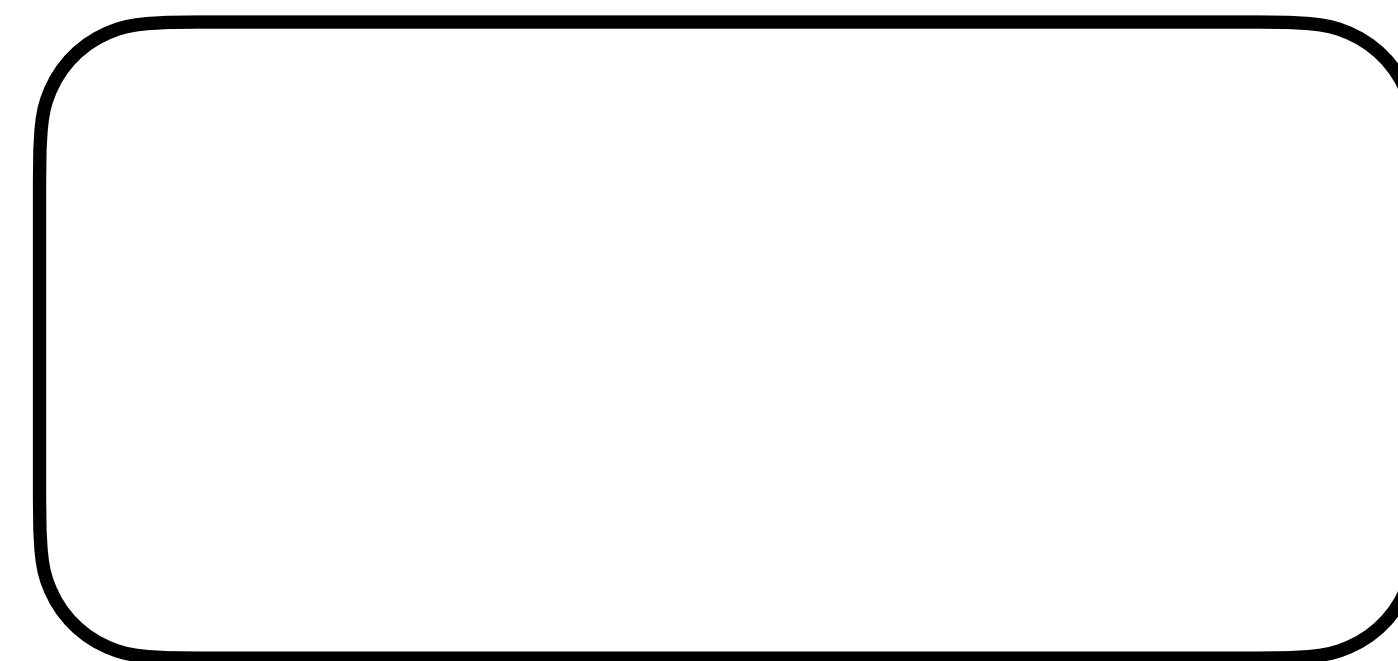
Do not now need to force changed data into storage like undo logging :)



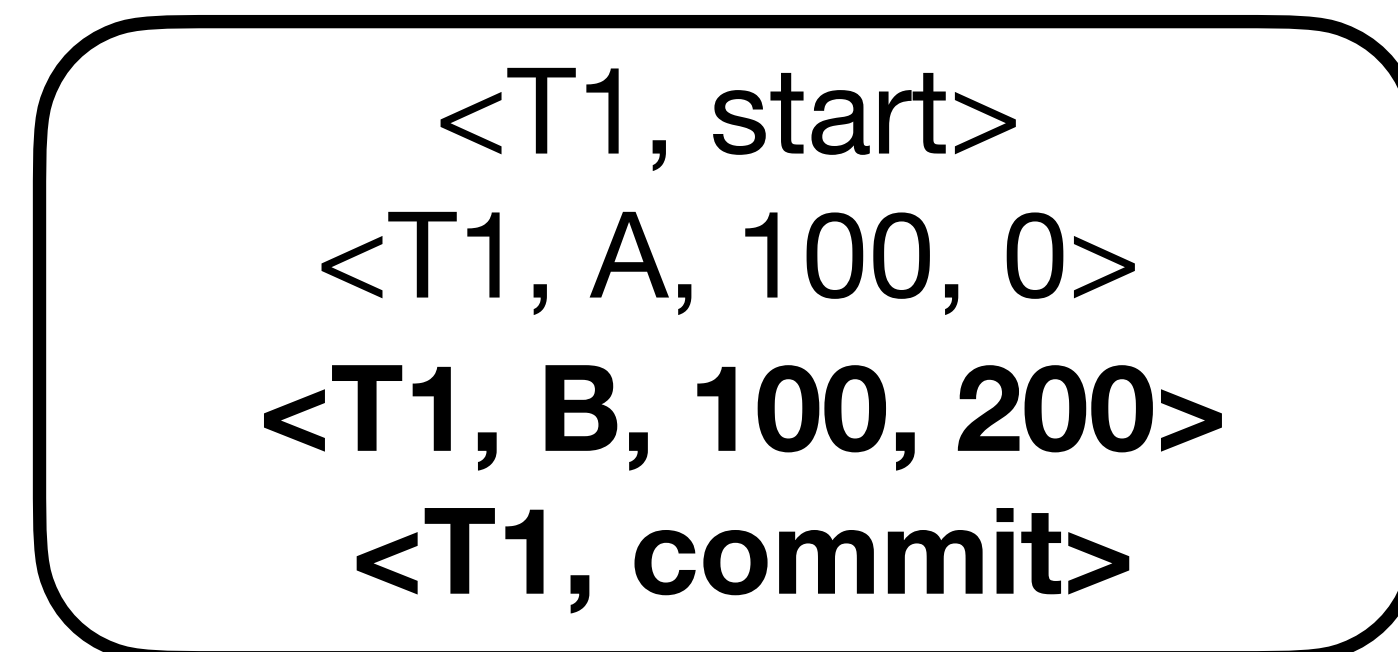
Buffer pool



Database

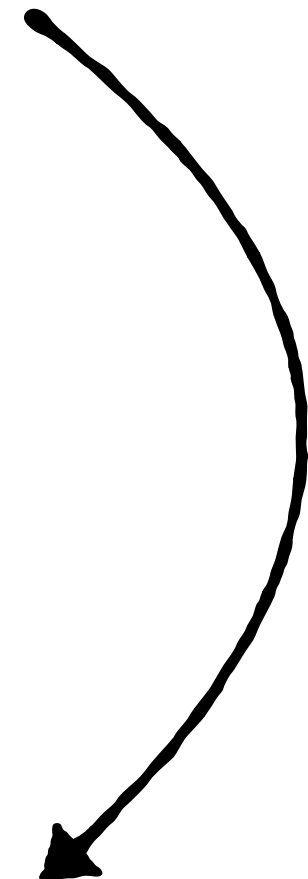


Log buffer



log

Flush



Undo logging:

Transaction T1

$A = A - 100$

$B = B + 100$



Suppose power now fails before we save B to storage

B = 200

Buffer pool

A = 0

B = 100

Database

Log buffer

<T1, start>

<T1, A, 100, 0>

<T1, B, 100, 200>

<T1, commit>

log

Undo logging:

Transaction T1

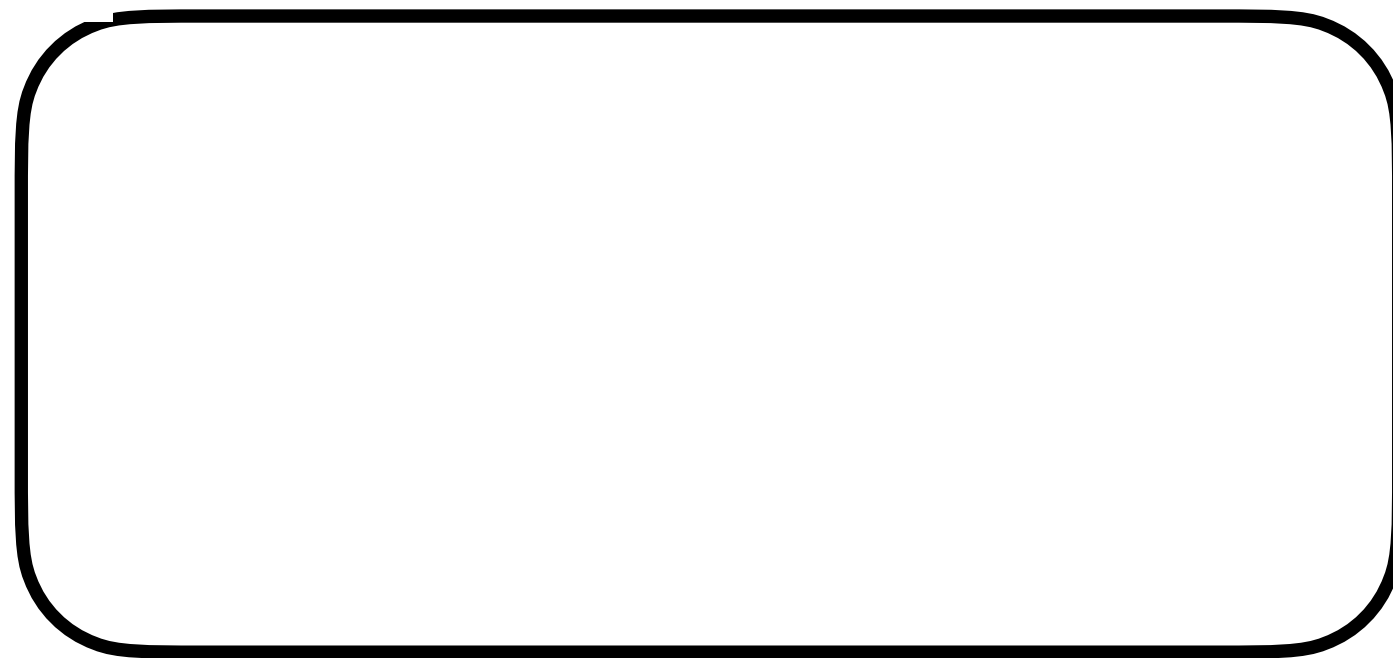
$A = A - 100$

$B = B + 100$

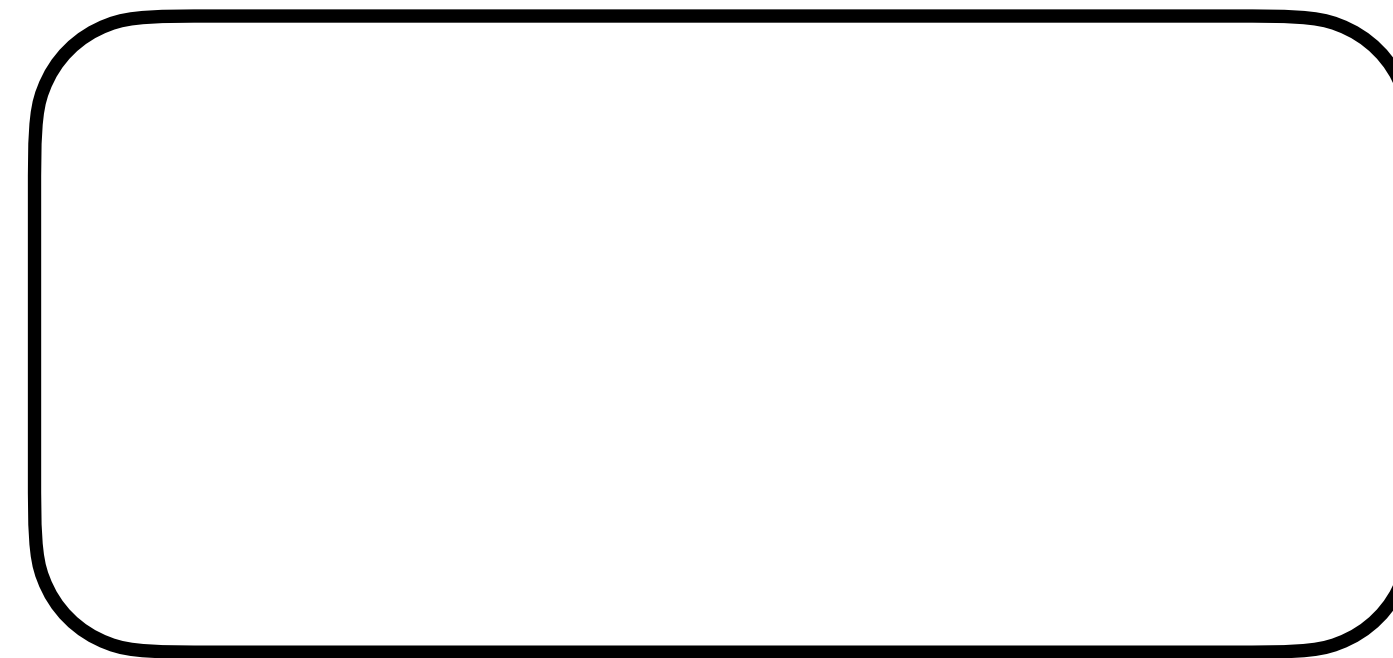


Suppose power now fails before we save B to storage

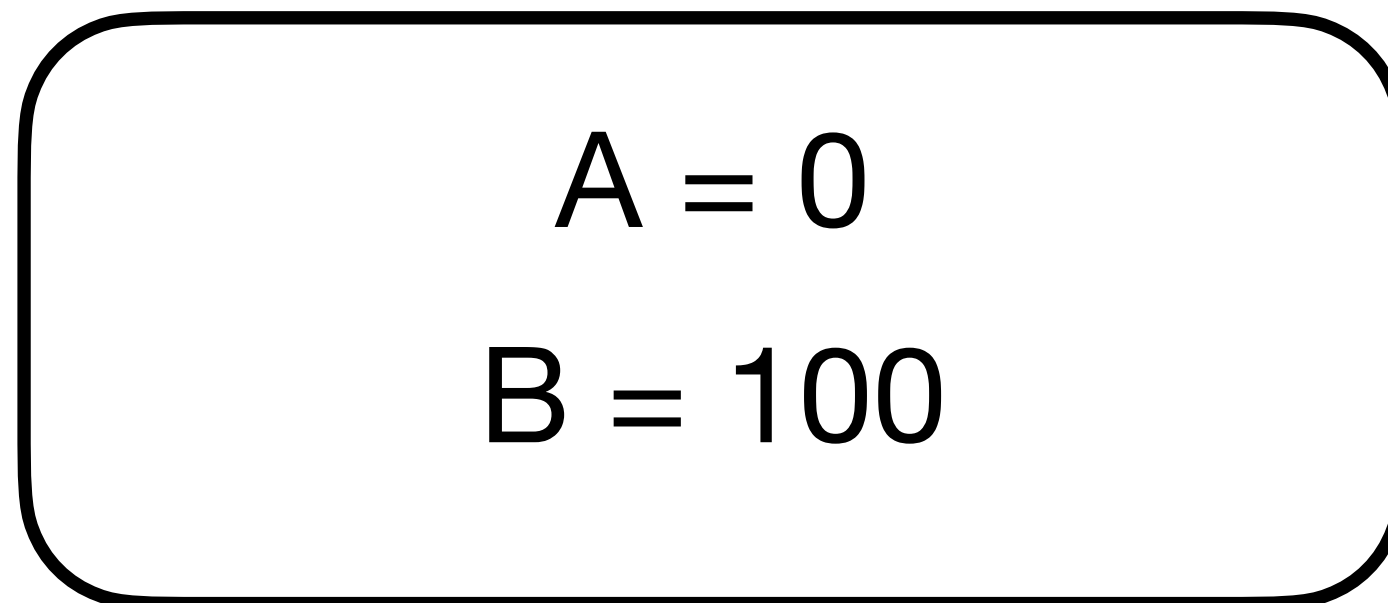
How to recover B?



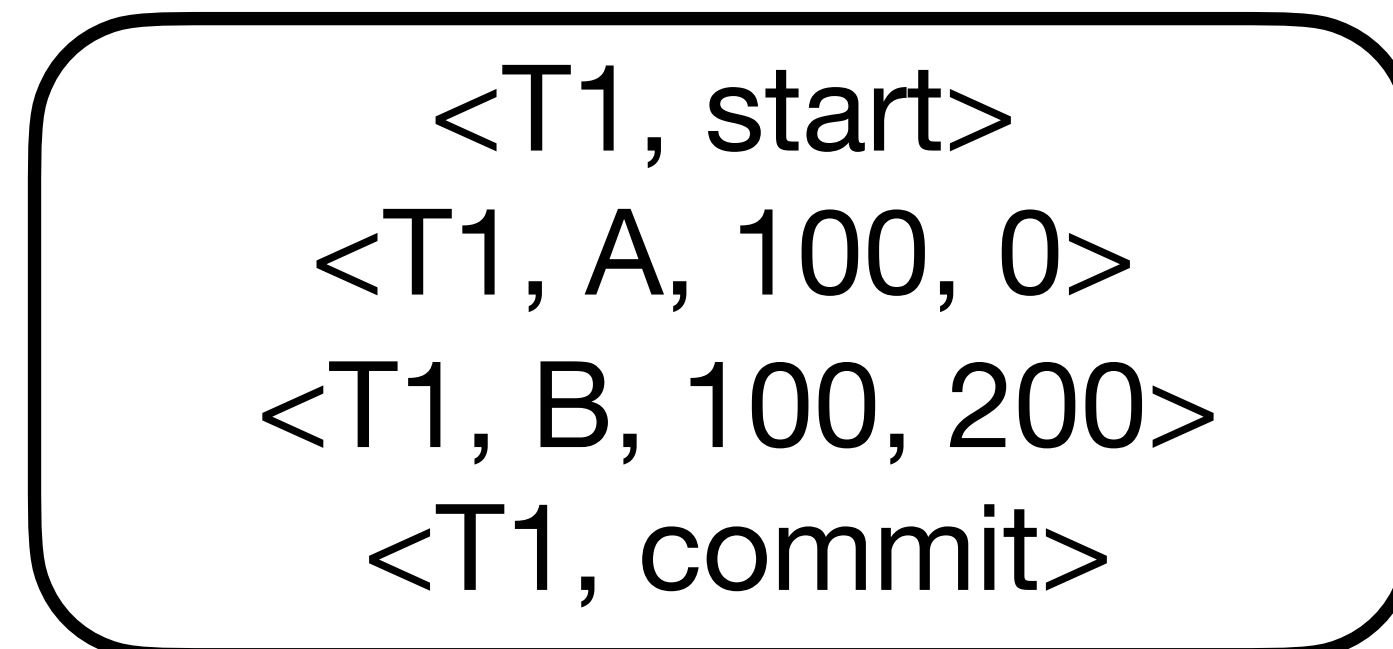
Buffer pool



Log buffer



Database



log

Undo logging:

Transaction T1

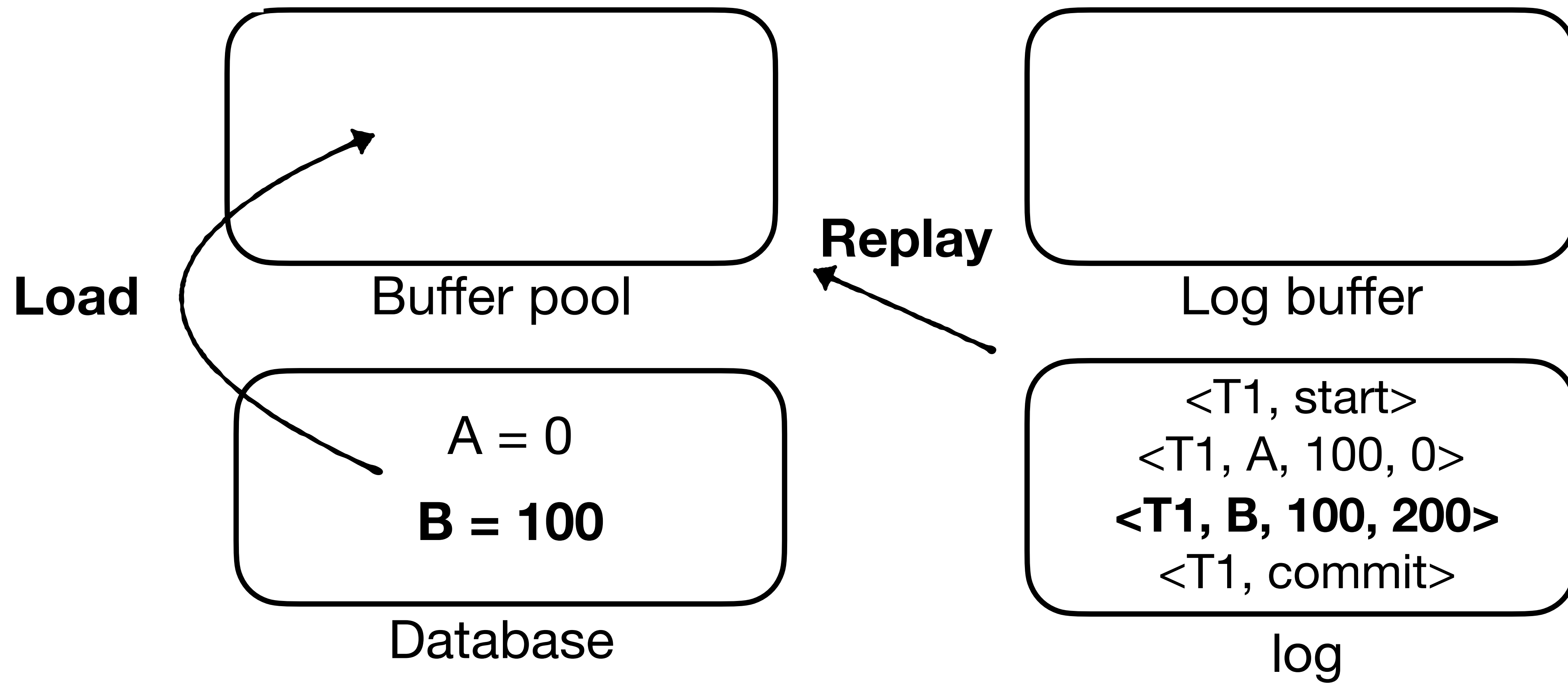
$A = A - 100$

$B = B + 100$

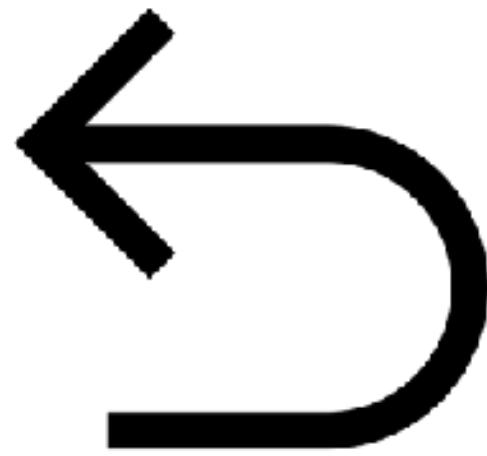


Suppose power now fails before we save B to storage

How to recover B?



Undo



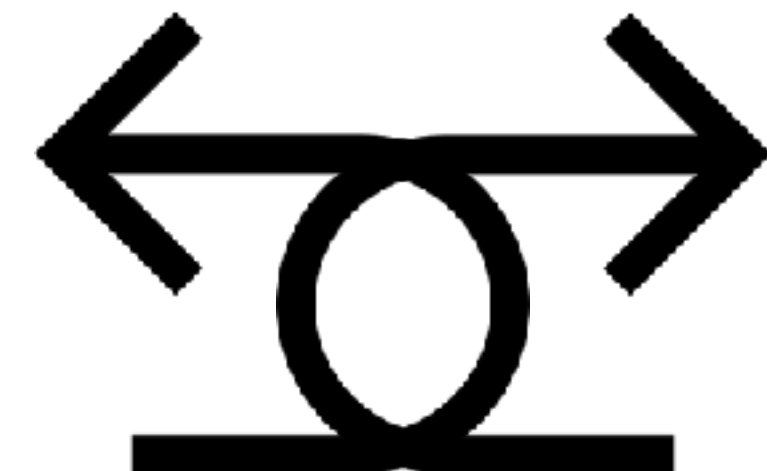
random I/Os

Redo



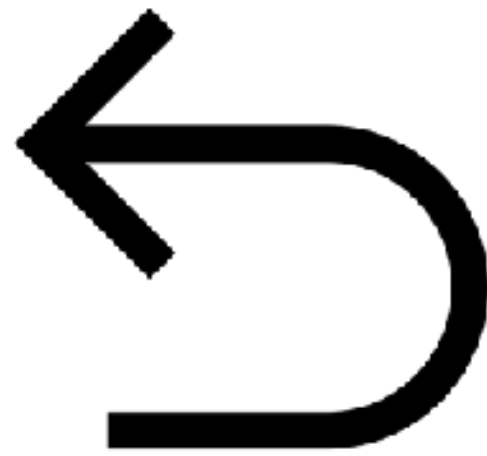
holds memory

Redo/Redo



**Address both
problems!**

Undo



random I/Os

Redo



holds memory

Redo/Redo



**Address both
problems!**

**Tutorial on Recovery
beings now :)**